AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Dubina, Joel F.	U.S. Court of Appeals/11th Cir	05/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. Circuit Judge -- Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
One Church Street, Rm 408 Montgomery, AL 36104		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner	Investments Unlimited, a limited liability corp. ("LLC")
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Dubina, Joel F.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	I. JOEL F. DUBINA (H)									
2.	Insignia Fini Group Inc.	A	Dividend	J	T					
3.	GLOBE LIFE INS. CO formerlyTorchmark Corp Name Change 8/9/19	A	Dividend	K	T					
4.	Apartment Investment Management Co.	A	Dividend	J	T					See Seciton VIII
5.	U. S. Shelter Corp. Del	A	Dividend	J	T					
6.	Money Market Funds Stifel, Nicolaus & Company	A	Interest	J	T					
7.	12.5% interest Investments Unlimited, an L.L.C.	F	Rent	O	W					See Section VIII.
8.	12.5% interest in house, Ada, AL		None	L	W					See Section VIII
9.	Mosaic	A	Dividend	J	T					
10.	VF Corp.	A	Dividend	J	T					
11.	Bershire Hathaway Inc.		None	L	T					
12.	Franklin Growth	B	Dividend	K	T					
13.	Franklin AL Tax Free Income CLA	A	Dividend							
14.	Huntsville AL WTR SYS REV 4.000% 11/01/31	A	Interest	J	T					
15.	Mobile AL WTR & SWR Commrs Rev 98.500 4.118%	A	Interest			Redeemed	01/03/23	J		
16.	Pepsico INC	A	Dividend	K	T					
17.	Wal Mart Stores Inc	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Dubina, Joel F.	05/01/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Yum Brands Inc.	A	Dividend	J	T					
19. Yum China holdings Inc.	A	Dividend	J	T					
20. Bristol Myers Squibb	A	Dividend	J	T					
21. 3 D Systems		None	J	T					
22. Construction Partners Inc.		None	K	T					
23. Vanguard Federal Money Market Investor CL	A	Dividend							
24. Apple, Inc.	A	Dividend	J	T					
25. Astrazeneca PLC Sponsored ADR	A	Dividend	J	T					
26. Kinder Morgan Inc. DE	A	Dividend	J	T					
27. Schrodinger Inc.		None	J	T					
28. JP Morgan Chase & Co	A	Dividend	K	T					
29. BENEFICIARY IRA									
30. Money Market Funds Stifel, Nicholas & Company	A	Interest	J	T					
31. Exxon Mobil Corp.	A	Dividend	J	T					
32. Nokia Corp.	A	Dividend			Sold	12/06/23	J		
33. II. TRUSTS ASSETS #1									
34. Money Market Funds 19/19 Investment Counsel & Trust	E	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)
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H1 =$1,000,001 - $5,000,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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35. GLOBE LIFE INC. COM formerly Torchmark Corp.	B	Dividend	M	T					
36. River Bank and Trust	A	Dividend	K	T					
37. Federated Intermediate Mun. TrShBenInt.	B	Dividend	L	T					
38. U.S. Treasury Bond 5/375%	C	Interest	L	T					
39. Altria Group Inc.	B	Dividend	K	T					
40. John Hancock Flexible Prem. Life Ins.		None	L	U					
41. Adobe Systems		None	L	T					
42. Microsoft Corp.	A	Dividend	M	T					
43. Booking Holdings Inc. (formerly Priceline Com		None	L	T					
44. Alphabet Inc. Cap STK CLA (Formerly Google, Inc.)		None	L	T					
45. Apple Computer, Inc.	A	Dividend	M	T					
46. Amazon.Com, Inc.		None	L	T					
47. Emerson Electric Co.	A	Dividend	K	T					
48. Alphabet Inc Cap STK CLC (Formerly Google Inc. CL A))		None	K	T					
49. Bank of America Corp.	A	Dividend	K	T					
50. Chevron Corp. New.	B	Dividend	K	T					
51. Fidelity Invst IMM FD Govt. 1 #57	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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52. Oracle Corp SRBD 3.4% DTD 7/8/2014 Due 7/8/2024	A	Interest	K	T					
53. Pfizer Inc. Com	B	Dividend	K	T					
54. Southern Co. Com	A	Dividend	J	T					
55. United Parcel Service In. CLD	A	Dividend	K	T					
56. United Health Group Inc. SR. NT 2.875% DTD 12/8/2014 due 12/15/2021	A	Interest	K	T					
57. Walmart Stores Inc. Com	A	Dividend	K	T					
58. Cisco Sys Inc. OM	A	Dividend	K	T	Sold (part)	07/25/23	J	C	
59. Cintas Corp. Com	A	Dividend	K	T					
60. Costco Whsl Corp. New com	A	Dividend	K	T					
61. Crown Castle Intl Corp. New Com	A	Dividend	K	T					
62. Merck & Co. Inc. New Com	B	Dividend	K	T					
63. U. S. Treasury Note 1.625% 2/15/16 due 2/15/26	A	Interest	K	T					
64. T-Rowe Price Tax Free High Yield Fund #59	A	Dividend			Sold	02/16/23	L		
65. Beckton Dickinson & Co. Com	A	Dividend	K	T					
66. META PLATFORM INC		None	K	T					
67. Teleflex	A	Dividend			Sold	03/22/23	K	A	
68. TRUIST FINL. Corp. Com	A	Dividend			Sold	08/22/23	J	B	

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69.	Eversource Energy.com 200 SH	A	Dividend			Sold	09/27/23			
70.	IQVIA HLDGS INC. Com		None	K	T					
71.	Prologis Inc. Com	A	Dividend	K	T					
72.	Verizon Communications Inc. Com	B	Dividend	K	T					
73.	Linde PLC Com	A	Dividend	K	T					
74.	AutoDesk Inc. Com		None	J	T					
75.	Home Depot Inc.	A	Dividend	K	T					
76.	Honeywell Intl.Inc. Com	A	Dividend	K	T					
77.	SalesForce Com. Inc.Com		None	J	T					
78.	Schwab Charles Corp. New Com	A	Dividend	K	T	Buy (add'l)	09/27/23	J		
79.	Steel Dynamics Inc. Com	A	Dividend	K	T					
80.	Union PAC Corp. Com	A	Dividend	K	T					
81.	Valero Energy Corp. Com	A	Dividend	K	T					
82.	Zimmer Biomet Holdings Inc.	A	Dividend	K	T					
83.	Goldman Sachs Fin SQ Gov Fund #465	C	Dividend	L	T	Buy	12/31/23	N		
84.						Sold (part)	12/31/23	O		
85.	U. S. Treasury Bill Due 4/13/23	C	Interest			Matured	04/13/23	M	C	

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86. U.S. Treasury Note 2.375% DTD 01/31/2018 Due 01/31/23	B	Interest			Matured	01/31/23	L	B	
87. Thermo-Fisher Scientific Inc. Corp	A	Dividend	K	T	Buy	03/22/23	K		
88. U.S. Treasury Bill Due 10/5/23 96.967416	C	Interest			Buy	02/06/23	L		
89.					Matured	10/05/23	L	C	
90. U. S. Treasury Bill Due 02/22/24 97.014333		None	K	T	Buy	09/25/23	K		
91. U. S. Treasury Bill Due 2/15/24	A	Interest	L	T	Buy	10/10/23	L		
92. U. S. Treasury Bill Due 3/21/24		None	L	T	Buy	04/17/23	L		
93. U. S. Treasury Note Due 2/28/25 4.625%	C	Interest	L	T	Buy	04/17/23	M		
94. U. S. Treasury Note Due 12/31/24 2.25%	A	Interest	K	T	Buy	02/17/23	K		
95. Zoetis Inc CLA	A	Dividend	K	T	Buy	03/22/23	K		
96. PERSONAL HOLDINGS:									
97. Money Market Funds Sifel, Nicolaus & Co.	A	Interest	J	T					
98. GLOBE LIFE INC. (formerly TORCHMARK)	A	Dividend	L	T					
99. 56 TMK, United Inc.	A	Dividend	J	T					
100. 12.5% interest Investments Unlimited, as L.L.C.	F	Rent	O	W					See Section VIII
101. 12.5% interest in house, Ada, AL		None	L	W					See Section VIII
102. Hancey Mill Road Farm, L.L.C.		None	M	R					See Section VIII

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103. Huntsville AL RFDG & Cap Improvements WTS Ser A	A	Interest	J	T					
104. Novartis AG RMT Sponsored ADR	A	Dividend	K	T					
105. River Bank & Trust RMT	A	Dividend	K	T					
106. Amgen Inc.	B	Dividend	K	T					
107. Huntsville AL RFDG WTS OID @97.541 3.3% CUSIP 447025NY9	A	Interest	K	T					
108. Foley AL WTS BE OID @98.446 4.1% CPN 4.000% due 6/1/39	A	Interest			Sold	11/08/23	K	A	
109. SERVISFIRST Bancshares Inc.	A	Dividend	K	T					
110. V F Corp.	A	Dividend	J	T					
111. AL State Pub HC Auth Pub HLTH FAc OID 96.120 4%	A	Interest	K	T					
112. Berkshire Hathaway Inc		None	K	T					
113. Mobile AL Wtr & Swr Commrs. Rev B/E OID 98.500 @4.118%	A	Interest			Redeemed	01/03/23	K	A	
114. Jasper AL WTS BAMBLE CPN 3.250%	A	Interest	J	T					
115. HENA Inc , name change, now named Control Flow Inc.		None	L	T					
116. ALPS AIERIAN MLP ETF New	C	Dividend	K	T					
117. Bristol Myers Squibb	A	Dividend	K	T					
118. 3-D Systems Corp. Del New		None	J	T					
119. Tuscaloosa AL Pub. Edl 8 Bldg Stdnt HSG Rev 3.00% due 7/1/31	A	Interest	K	T					

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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120. Construction Partners Inc.		None	K	T					
121. Apple Inc.	A	Dividend	J	T					
122. Astrazeneca Plc. Sponsored ADR	A	Dividend	J	T					
123. Kinder Morgan Inc DS	B	Dividend	K	T					
124. Schrodinger Inc.		None	J	T					
125. JP Morgan Chase & Co.	A	Dividend	K	T					
126. SANDOZ Group AG Sponds ADS		None	J	T	Spinoff (from line 104)	10/04/23	J		
127. PRINCETON MANAGED ACCT									
128. Money Market Funds Stiffel, Nicolaus & Co.	A	Interest	J	T					
129. American Tower Corp New	A	Dividend	J	T	Buy (add'l)	07/31/23	J		
130.					Sold (part)	01/25/23	J	A	
131. Apple Inc	A	Dividend	K	T	Buy (add'l)	01/25/23	J		
132.					Sold (part)	09/15/23	J	B	
133. Boeing Company		None	J	T					
134. Bristol Myers Squibb Company	A	Dividend			Sold	01/10/23	J	A	
135. Canadian Pacific Railway Limited now Canadian Pacific Kansas City LTD	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
136. Cisco systems Inc.	A	Dividend			Buy (add'l)	01/25/23	J		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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137.					Sold	07/20/23	J	B	
138. Crown Castle Intl Corp New	A	Dividend			Buy (add'l)	01/25/23	J		
139.					Sold	10/20/23	J	A	
140. Alphabet Inc. (Formerly Google Inc. CL A)		None	K	T	Sold (part)	01/25/23	J	A	
141.					Sold (part)	01/31/23	J	A	
142. Microsoft Corp	A	Dividend	K	T	Buy (add'l)	01/25/23	J		
143.					Sold (part)	03/30/23	J	B	
144.					Sold (part)	07/31/23	J	B	
145.					Sold (part)	11/14/23	J	C	
146. QualComm Inc.	A	Dividend	J	T	Buy (add'l)	11/14/23	J		
147. Union Pacific Corp	A	Dividend			Sold	04/26/23	J	B	
148. Verizon Communications Inc.	A	Dividend	J	T	Buy (add'l)	11/14/23	J		
149.					Sold (part)	07/19/23	J		
150. NOVO Nordisk AS ADR	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
151.					Buy (add'l)	07/31/23	J		
152. Pfizer Inc.	A	Dividend			Sold	07/20/23	J	A	
153. Visa Inc. Class A	A	Dividend	J	T	Sold (part)	07/20/23	J	C	

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154. Abbvie Inc.	A	Dividend			Buy (add'l)	01/25/23	J		
155.					Buy (add'l)	07/31/23	J		
156.					Sold	11/14/23	J	C	
157. Amazon Com Inc.		None	J	T	Buy (add'l)	07/21/23	J		
158.					Sold (part)	01/25/23	J	A	
159. Walt Disney co.		None	J	T	Buy (add'l)	05/16/23	J		
160.					Buy (add'l)	11/14/23	J		
161.					Sold (part)	07/20/23	J		
162. United Parcel Service	A	Dividend			Sold (part)	01/25/23	J	A	
163.					Sold	04/26/23	J	B	
164. Digital Realty	A	Dividend			Sold	04/11/23	J		
165. AMGEN Inc.	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
166.					Buy (add'l)	07/31/23	J		
167. Eli Lilly & Co.	A	Dividend	J	T	Buy (add'l)	07/21/23	J		
168.					Buy (add'l)	07/31/23	J		
169. T Mobile U.S. Inc.		None			Buy (add'l)	07/21/23	J		
170.					Sold	11/14/23	J	A	

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171. Broadcom Inc.	A	Dividend	J	T	Buy	09/05/23	J		
172. Mastercard Inc. CLA	A	Dividend	J	T	Buy	07/21/23	J		
173. Merck & Co Inc. New	A	Dividend	J	T	Buy	01/10/23	J		
174.					Buy (add'l)	07/21/23	J		
175.					Sold (part)	07/21/23	J		
176. Federated Hermes Prime Cash Wealth CL	A	Dividend			Buy	06/14/23	K		
177.					Sold	07/20/23	K		
178. Textron Inc.	A	Dividend	J	T	Buy	07/21/23	J		
179. Zoetis Inc. CL-A	A	Dividend	J	T	Buy	07/21/23	J		
180.					Sold (part)	07/31/23	J	A	
181. ZXCALER INC.			J	T	Buy	11/14/23	J		
182. Linde PLC	A	Dividend	J	T	Buy	07/21/23	J		
183. CHARITABLE ACCOUNT									
184. Money Market Funds Stifel, Nicolaus & Co.	A	Interest	K	T					
185. Troy AL RFDG WTS AGM OID @ 99.108 2.17% 2% due 8/1/23 3	A	Interest			Redeemed	08/01/23	J		
186. AL State Bd. of Ed Rev RFDG 98.742 3.00% 7/1/23	A	Interest			Redeemed	07/03/23	J		
187. Birmingham AL SPL Care FACS HLTHCARE 5:00% Due 6/1/24	B	Interest	K	T					

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188. HORIZON ACCOUNT @ Stifel, Nicolaus & Co.									
189. Tax-exempt Accrued Interest Account		None							See Section VIII
190. Pacific Life Ins. Co. Pac Index Fundtn 5 indexed annuity	D	Interest	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments & Trusts.

No. 4, 7, 8, . Property located in Montgomery County, AL; (*Walton County, FL), Lowndes County, AL 103-105 *In 2014, Investments Unlimited sold the beach house in Walton County, Florida for $2.8 million. A[redacted] and I received 12.5% each of the proceeds.

No. 103-105. Effective December 31, 2007, I gifted my interest in land in Montgomery County, equally to[redacted]. I formed an L.L.C. named "Hanche Mill Road Farm, L.L.C." My 50% interest in the land was deeded into Hanche Mill Road Farm, L.L.C. and then gifted to[redacted] in equal shares. The total value of this property at the time it gifted was $790,000 to be equally shared by the[redacted] I filed a gift tax return showing this transfer on 4/15/08.

No. 170. The Tax-exempt Accrued Interest Account was rolled over into the Pacific Life Ins. Co. PAC Index Fundtn 5 Indexed Annuity.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joel F. Dubina

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544