AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Dubina, Joel F.	U.S. Court of Appeals/11th Cir	05/15/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. Circuit Judge -- Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
One Church Street, Rm 408 Montgomery, AL 36104		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner	Investments Unlimited, a limited liability corp. ("LLC")
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Dubina, Joel F.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. I. JOEL F. DUBINA (H)									
2. Insignia Fini Group Inc.	A	Dividend	J	T					
3. GLOBE LIFE INS. CO formerlyTorchmark Corp Name Change 8/9/19	A	Dividend	K	T					
4. Apartment Investment Management Co.	A	Dividend	J	T					See Seciton VIII
5. U. S. Shelter Corp. Del	A	Dividend	J	T					
6. Money Market Funds Stifel, Nicolaus & Company	A	Interest	K	T					
7. 12.5% interest Investments Unlimited, an L.L.C.	F	Rent	O	W					See Section VIII.
8. 12.5% interest in house, Ada, AL		None	L	W					See Section VIII
9. Mosaic	A	Dividend	J	T					
10. VF Corp.	A	Dividend	J	T					
11. Bershire Hathaway Inc.		None	L	T					
12. Franklin Growth	B	Dividend	K	T					
13. Franklin AL Tax Free Income CLA	A	Dividend			Sold	12/15/22	J		
14. Huntsville AL WTR SYS REV 4.000% 11/01/31	A	Interest	J	T					
15. Mobile AL WTR & SWR Commrs Rev 98.500 4.118%	A	Interest	J	T					
16. Pepsico INC	A	Dividend	K	T					
17. University of AL at Birmingham 3.000% 10/01/30	A	Interest			Redeemed	10/03/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	Wal Mart Stores Inc	A	Dividend	K	T					
19.	Yum Brands Inc.	A	Dividend	J	T					
20.	Yum China holdings Inc.	A	Dividend	J	T					
21.	Bristol Myers Squibb	A	Dividend	J	T					
22.	3 D Systems		None	J	T					
23.	Construction Partners Inc.		None	J	T					
24.	Vanguard Federal Money Market Investor CL	A	Dividend			Sold	12/15/22	J		
25.	Apple, Inc.	A	Dividend	J	T					
26.	Astrazeneca PLC Sponsored ADR	A	Dividend	J	T					
27.	Kinder Morgan Inc. DE	A	Dividend	J	T					
28.	Pfizer Inc.	A	Dividend			Sold	08/25/22	J	C	
29.	Schrodinger Inc.		None	J	T					
30.	JP Morgan Chase & Co	A	Dividend	J	T	Buy	08/25/22	J		
31.	BENEFICIARY IRA									
32.	Money Market Funds Stifel, Nicholas & Company	A	Interest	J	T					
33.	Exxon Mobil Corp.	A	Dividend	J	T					
34.	Nokia Corp.		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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☐ NONE *(No reportable income, assets, or transactions.)*

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35.	II. TRUSTS ASSETS #1									
36.	Money Market Funds 19/19 Investment Counsel & Trust	E	Interest	M	T					
37.	GLOBE LIFE INC. COM formerly Torchmark Corp.	A	Dividend	M	T					
38.	River Bank and Trust	A	Dividend	K	T					
39.	Federated Intermediate Mun. TrShBenInt.	B	Dividend	L	T					
40.	U.S. Treasury Bond 5/375%	C	Interest	L	T					
41.	Altria Group Inc.	B	Dividend	K	T					
42.	John Hancock Flexible Prem. Life Ins.		None	L	U					
43.	Adobe Systems		None	K	T					
44.	Microsoft Corp.	A	Dividend	L	T					
45.	Booking Holdings Inc. (formerly Priceline Com		None	K	T					
46.	Alphabet Inc. Cap STK CLA (Formerly Google, Inc.)		None	L	T					
47.	Apple Computer, Inc.	A	Dividend	L	T	Sold (part)	08/18/22	J	D	
48.	Amazon.Com, Inc.		None	L	T					
49.	Emerson Electric Co.	A	Dividend	K	T					
50.	Alphabet Inc Cap STK CLC (Formerly Google Inc. CL A))		None	K	T					
51.	Bank of America Corp.	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. Chevron Corp. New.	B	Dividend	L	T	Sold (part)	03/15/22	J	B	
53. Fidelity Invst IMM FD Govt. 1 #57	A	Interest	M	T	Sold (part)	12/31/22	N		
54.					Buy (add'l)	12/31/22	N		
55. AT&T INC. Com	A	Dividend			Sold	07/25/22	K		
56. Oracle Corp SRBD 3.4% DTD 7/8/2014 Due 7/8/2024	A	Interest	K	T					
57. Pfizer Inc. Com	B	Dividend	K	T					
58. Southern Co. Com	A	Dividend	J	T					
59. United Parcel Service In. CLD	A	Dividend	K	T					
60. U.S. Bankcorp MTN Sub NTS FR 2.95% DTD 7/23/20 Dues 7/15/22	A	Interest			Redeemed	06/15/22	K		
61. United Health Group Inc. SR. NT 2.875% DTD 12/8/2014 due 12/15/2021	A	Interest	K	T					
62. UnitedHealth Group Inc. Com					Sold	05/16/22	K	D	only part. sold last year
63. Walmart Stores Inc. Com	A	Dividend	K	T					
64. Cisco Sys Inc. OM	B	Dividend	K	T					
65. Cintas Corp. Com	A	Dividend	K	T					
66. Costco Whsl Corp. New com	A	Dividend	K	T	Sold (part)	04/21/22	J	D	
67. Crown Castle Intl Corp. New Com	A	Dividend	K	T					
68. Deere & Co. SR GLBC	A	Interest			Matured	06/08/22	K		

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69.	Merck & Co. Inc. New Com	B	Dividend	K	T					
70.	U. S. Treasury Note 1.625% 2/15/16 due 2/15/26	A	Interest	K	T					
71.	T-Rowe Price Tax Free High Yield Fund #59	B	Dividend	L	T					
72.	Beckton Dickinson & Co. Com	A	Dividend	K	T					
73.	Facebook Inc. CLA Now META PLATFORM INC		None	J	T					
74.	Teleflex	A	Dividend	K	T					
75.	Disney Walt Co. Com Disney		None			Sold	11/23/22	J		
76.	TRUIST FINL. Corp. Com	A	Dividend	K	T					
77.	Eversource Energy.com 200 SH	A	Dividend	K	T					
78.	IQVIA HLDGS INC. Com		None	K	T					
79.	Prologis Inc. Com	A	Dividend	K	T					
80.	U. S. Treasury Note 2.00% 1/20/2020 Due 11/30/2022	C	Interest			Matured	11/30/22	M		
81.	Verizon Communications Inc. Com	A	Dividend	K	T	Buy (add'l)	07/25/22	J		
82.	Linde PLC Com	A	Dividend	K	T	Buy (add'l)	03/15/22	J		
83.	AutoDesk Inc. Com		None	J	T					
84.	Home Depot Inc.	A	Dividend	K	T					
85.	Honeywell Intl.Inc. Com	A	Dividend	K	T	Buy (add'l)	01/26/22	J		

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86. PayPal Hldgs Inc. Com		None			Sold	08/16/22	J		
87. SalesForce Com. Inc.Com		None	J	T					
88. Schwab Charles Corp. New Com	A	Dividend	J	T					
89. Steel Dynamics Inc. Com	A	Dividend	K	T					
90. Union PAC Corp. Com	A	Dividend	K	T					
91. Valero Energy Corp. Com	A	Dividend	K	T					
92. Zimmer Biomet Holdings Inc.	A	Dividend	K	T	Buy (add'l)	02/08/22	J		
93. Goldman Sachs Fin SQ Gov Fund #465		None	K	T	Buy	12/31/22	K		
94.					Sold (part)	12/31/22	J		
95. U. S. Treasury Bill Due 4/13/23		None	M	T	Buy	12/05/22	M		
96. U.S. Treasury Note 2.375% DTD 01/31/2018 Due 01/31/23		None	L	T	Buy	09/29/22	L		
97. Discovery IW Com Ser A		None			Spinoff (from line 92)	04/12/22	J		
98.					Sold (part)	07/25/22	J		
99. PERSONAL HOLDINGS:									
100. Money Market Funds Sifel, Nicolaus & Co.	A	Interest	K	T					
101. GLOBE LIFE INC. (formerly TORCHMARK)	A	Dividend	L	T					
102. 56 TMK, United Inc.	A	Dividend	J	T					

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103. 12.5% interest Investments Unlimited, as L.L.C.	F	Rent	O	W					See Section VIII
104. 12.5% interest in house, Ada, AL		None	L	W					See Section VIII
105. Hancey Mill Road Farm, L.L.C.		None	M	R					See Section VIII
106. Huntsville AL RFDG & Cap Improvements WTS Ser A	A	Interest	J	T					
107. Novartis AG RMT Sponsored ADR	A	Dividend	J	T					
108. River Bank & Trust RMT	A	Dividend	L	T					
109. Amgen Inc.	B	Dividend	K	T					
110. Homewood AL Edl Bldg Rev Samford Univ. 4.500%	B	Interest			Redeemed	12/02/22	K		
111. Huntsville AL RFDG WTS OID @97.541 3.3% CUSIP 447025NY9	A	Interest	K	T					
112. Enterprise AL WTS Ser A B/E OID @96.949 4.85%	A	Interest			Redeemed	11/01/22	K		
113. Foley AL WTS BE OID @98.446 4.1% CPN 4.000% due 6/1/39	A	Interest	K	T					
114. SERVISFIRST Bancshares Inc.	A	Dividend	K	T					
115. V F Corp.	A	Dividend	J	T					
116. AL State Pub HC Auth Pub HLTH FAc OID 96.120 4%	A	Interest	K	T					
117. Berkshire Hathaway Inc		None	K	T					
118. Mobile AL Wtr & Swr Commrs. Rev B/E OID 98.500 @4.118%	A	Interest	K	T					
119. Jasper AL WTS BAMBLE CPN 3.250%	A	Interest	K	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Dubina, Joel F.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. HENA Inc , name change, now named Control Flow Inc.		None	L	T					
121. ALPS AIERIAN MLP ETF New	C	Dividend	K	T					
122. Bristol Myers Squibb	A	Dividend	K	T					
123. 3-D Systems Corp. Del New		None	J	T					
124. Tuscaloosa AL Pub. Edl 8 Bldg Stdnt HSG Rev 3.00% due 7/1/31	A	Interest	K	T					
125. Construction Partners Inc.		None	J	T					
126. Apple Inc.	A	Dividend	J	T					
127. Astrazeneca Plc. Sponsored ADR	A	Dividend	J	T					
128. Kinder Morgan Inc DS	A	Dividend	K	T					
129. Pfizer Inc.	A	Dividend			Sold	08/25/22	J		
130. Schrodinger Inc.		None	J	T					
131. JP Morgan Chase & Co.	A	Dividend	J	T	Buy	08/25/22	J		
132. PRINCETON MANAGED ACCT									
133. Money Market Funds Stiffel, Nicolaus & Co.	A	Interest	J	T					
134. AT&T	A	Dividend			Sold	02/01/22	J		
135. American Tower Corp New	A	Dividend	J	T					
136. Apple Inc	A	Dividend	K	T	Sold (part)	09/27/22	J	B	

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137. Boeing Company		None	J	T	Buy (add'l)	03/18/22	J		
138.					Buy (add'l)	09/27/22	J		
139. Bristol Myers Squibb Company	A	Dividend	J	T	Sold (part)	06/10/22	J	A	
140. Canadian Pacific Railway Limited	A	Dividend	J	T	Sold (part)	03/18/22	J	A	
141. Cisco systems Inc.	A	Dividend	J	T					
142. Crown Castle Intl Corp New	A	Dividend	J	T					
143. Alphabet Inc. (Formerly Google Inc. CL A)		None	J	T					
144. Microsoft Corp	A	Dividend	K	T					
145. QualComm Inc.	A	Dividend	J	T					
146. Union Pacific Corp	A	Dividend	J	T					
147. Verizon Communications Inc.	A	Dividend	J	T	Buy (add'l)	02/01/22	J		
148. NOVO Nordisk AS ADR	A	Dividend	J	T					
149. Facebook Inc CL A now META PLATFORMS INC CLA		None			Sold (part)	09/21/22	J	A	
150.					Sold	11/02/22	J		
151. Pfizer Inc.	A	Dividend	J	T					
152. Visa Inc. Class A	A	Dividend	J	T					
153. Abbvie Inc.	A	Dividend	J	T					

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
154. Amazon Com Inc.		None	J	T	Buy (add'l)	03/11/22	J		
155. Comcast corp. CL Anew	A	Dividend			Sold	08/10/22	J		
156. Walt Disney co.		None	J	T	Buy (add'l)	09/27/22	J		
157. United Parcel Service	A	Dividend	J	T	Sold (part)	03/11/22	J	A	
158.					Sold (part)	03/18/22	J	B	
159. Abbott Laboratories	A	Dividend			Sold	08/18/22	J		
160. Digital Realty	A	Dividend	J	T	Buy (add'l)	10/18/22	J		
161. AMGEN Inc.	A	Dividend	J	T	Buy	11/08/22	J		
162. Eli Lilly & Co.	A	Dividend	J	T	Buy	06/10/22	J		
163. T Mobile U.S. Inc.		None	J	T	Buy	10/18/22	J		
164. CHARITABLE ACCOUNT									
165. Money Market Funds Stifel, Nicolaus & Co.	A	Interest	K	T					
166. Troy AL RFDG WTS AGM OID @ 99.108 2.17% 2% due 8/1/23 3	A	Interest	J	T					
167. AL State Bd. of Ed Rev RFDG 98.742 3.00% 7/1/23	A	Interest	K	T					
168. Birmingham AL SPL Care FACS HLTHCARE 5:00% Due 6/1/24	A	Interest	K	T	Buy	08/04/22	J		
169. HORIZON ACCOUNT @ Stifel, Nicolaus & Co.									
170. Tax-exempt Accrued Interest Account		None							See Section VIII

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171. Pacific Life Ins. Co. Pac Index Fundtn 5 indexed annuity	D	Interest	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments & Trusts.

No. 4, 7, 8, . Property located in Montgomery County, AL; (*Walton County, FL), Lowndes County, AL 103-105 *In 2014, Investments Unlimited sold the beach house in Walton County, Florida for $2.8 million. A [redacted] and I received 12.5% each of the proceeds.

No. 103-105. Effective December 31, 2007, I gifted my interest in land in Montgomery County, equally to [redacted]. I formed an L.L.C. named "Hanche Mill Road Farm, L.L.C." My 50% interest in the land was deeded into Hanche Mill Road Farm, L.L.C. and then gifted to [redacted] in equal shares. The total value of this property at the time it gifted was $790,000 to be equally shared by the 4. I filed a gift tax return showing this transfer on 4/15/08.

No. 170. The Tax-exempt Accrued Interest Account was rolled over into the Pacific Life Ins. Co. PAC Index Fundtn 5 Indexed Annuity.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joel F. Dubina

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544