AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Clay, Eric L.	Sixth Circuit Court of Appeals	05/07/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Active Status)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States Court of Appeals Theodore Levin Courthouse 231 W. Lafayette, Rm. 433 Detroit, MI 48226		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Clay, Eric L.	05/07/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Bank of America	A	Interest	N	T					
2.	Franklin Small-Mid Cap Growth A	A	Dividend	M	T					
3.	Franklin Mutual Global Discovery A	B	Dividend	M	T	Distributed (part)	12/15/23	J	D	
4.	Putnam Equity Income FD New CLA	B	Dividend	L	T	Distributed (part)	12/15/23	J	C	
5.	Putnam Funds Multi-Cap Core A	A	Dividend	L	T	Distributed (part)	12/15/23	J	B	
6.	Templeton Foreign Fund A	A	Dividend	J	T	Sold (part)	12/04/23	K	A	
7.	Eaton Vance Floating Rate FD	D	Interest	M	T					
8.	American FNDS Income Fund of America F2	A	Dividend	K	T					
9.	IShares MSCI EAFE ETF	B	Dividend	K	T	Buy	06/07/23	J		
10.	IShares S&P Mid-CAP 400 Growth ETF	A	Dividend	K	T	Sold (part)	06/07/23	K	A	
11.	IShares S&P Mid-CAP 400 Value ETF	A	Dividend	K	T					
12.	IShares S&P Mid-CAP 400 Value ETF	A	Dividend	L	T	Buy	02/06/23	L		
13.						Sold (part)	06/07/23	K	A	
14.	IShares S&P 500 Value ETF	A	Dividend	L	T	Buy	07/24/23	K		
15.						Sold	03/15/23	K	A	
16.	IShares Small CP600	A	Dividend			Buy	07/24/23	K		
17.						Sold	10/03/23	K	D	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. IShares SM Value	A	Dividend	K	T	Buy	07/24/23	K		
19. TD Ameritrade FDIC Deposit Account	A	Dividend	J	T					
20. Schwab Treasury Obligation Money Market Fund	D	Dividend	M	T					
21. Invesco DB Commodity Index	A	Dividend	K	T	Redeemed (part)	06/23/23	J		
22. FHLMC CMO 4993 UQ	A	Interest	J	T					
23. FHLMC CMO 5019 JT	A	Interest	J	T					
24. FHLMC CMO 2020-59 KA	A	Interest	J	T					
25. FREDDIE MA 5151 LU	A	Interest	J	T					
26. GNMA 2021-104 JW	A	Interest	J	T					
27. GNMA 2021-096 HU	A	Interest	J	T					
28. GNMA 2021-096 LU	A	Interest	J	T					
29. GNMA 2021-027 TW	A	Interest	K	T					
30. GNMA 2021-027 YW	A	Interest	J	T					
31. GNMA GNR 2021-130 EW	A	Interest	J	T					
32. GNMA CMO 2020-122 MA	A	Interest	J	T					
33. GNMA CMO 2020-134 XB	A	Interest	J	T					
34. GNMA CMO 202-160 KU	A	Interest	J	T					

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35. GNMA CMO 2021-149 KW	A	Interest	J	T					
36. TD Ameritrade FDIC Insured Deposit Account	A	Dividend	J	T					
37. US T-Bills	A	Interest	J	T					
38. Federal Home Loan Bank	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Clay, Eric L.	05/07/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Eric L. Clay

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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