AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Clay, Eric L.	Sixth Circuit Court of Appeals	09/13/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Active Status)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States Court of Appeals Theodore Levin Courthouse 231 W. Lafayette, Rm. 433 Detroit, MI 48226		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Clay, Eric L.	09/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Bank of America	A	Interest	M	T					
2.	Franklin Small-Mid Cap Growth A	A	Dividend	M	T	Distributed (part)	12/15/22	J	A	
3.	Franklin Mutual Global Discovery A	B	Dividend	M	T	Distributed (part)	12/15/22	J	A	
4.	Putnam Equity Income FD New CLA	B	Dividend	L	T	Distributed (part)	12/15/22	J	A	
5.	Putnam Funds Multi-Cap Core A	A	Dividend	L	T	Distributed (part)	12/15/22	J	A	
6.	Templeton Foreign Fund A	A	Dividend	K	T	Sold (part)	11/07/22	K	A	
7.	Eaton Vance Floating Rate FD	D	Interest	M	T					
8.	American FNDS Income Fund of America F2	B	Dividend	K	T					
9.	IShares MSCI EAFE ETF	A	Dividend	K	T					
10.	IShares S&P Mid-CAP 400 Growth ETF	A	Dividend	K	T	Buy	01/05/22	K		
11.						Sold	01/21/22	K	A	
12.						Buy	08/17/22	K		
13.	IShares S&P Mid-CAP 400 Value ETF	A	Dividend	K	T	Buy	02/17/22	K		
14.						Sold	04/26/22	K	A	
15.						Buy	08/12/22	K		
16.	IShares S&P Mid-CAP 400 Value ETF	A	Dividend	K	T	Buy	03/29/22	L		
17.						Sold	04/27/22	L	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18.					Buy	01/28/22	L		
19. IShares S&P 500 Value ETF	A	Dividend	L	T	Buy	03/18/22	L		
20.					Sold	05/09/22	L	A	
21.					Sold	01/28/22	L	D	
22.					Buy	08/15/22	L		
23. IShares SMALL CP600	A	Dividend			Buy	08/16/22	K		
24.					Sold	09/23/22	K	A	
25. IShares US Real Esate	A	Dividend			Buy	03/29/22	K		
26.					Sold	05/02/22	K	A	
27. IShares SM Value	A	Dividend			Buy	03/21/22	K		
28.					Sold	04/25/22	K	A	
29.					Buy	08/15/22	K		
30.					Sold	09/23/22	K	A	
31. IShares MICROCAP	A	Dividend			Buy	08/15/22	K		
32.					Sold	10/13/22	K	A	
33. TD Ameritrade FDIC Deposit Account	A	Dividend	J	T					
34. Schwab Treasury Obligation Money Market Fund	A	Dividend			Buy	11/09/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.						Sold	11/14/22	J	A	
36.	Invesco DB Commodity Index	A	Dividend	K	T	Buy	08/30/22	K		
37.	Schwab Treasury Obligation Money Market Fund	A	Dividend	N	T					
38.	FHLMC CMO 4993 UQ	A	Interest	J	T	Redeemed (part)	12/31/22	J	A	
39.	FHLMC CMO 5019 JT	A	Interest	J	T	Redeemed (part)	12/31/22	J	A	
40.	FHLMC CMO 2020-59 KA	A	Interest	J	T	Redeemed (part)	12/31/22	J	A	
41.	FREDDIE MA 5151 LU	A	Interest	J	T	Redeemed (part)	12/31/22	J	A	
42.	GNMA 2021-104 JW	A	Interest	J	T	Redeemed (part)	12/31/22	J	A	
43.	GNMA 2021-096 HU	A	Interest	J	T	Redeemed (part)	12/31/22	J	A	
44.	GNMA 2021-096 LU	A	Interest	J	T	Redeemed (part)	12/31/22	J	A	
45.	GNMA 2021-027 TW	A	Interest	K	T					
46.	GNMA 2021-027 YW	A	Interest	J	T	Redeemed (part)	12/31/22	J	A	
47.	GNMA GNR 2021-130 EW	A	Interest	J	T					
48.	GNMA CMO 2020-122 MA	A	Interest	J	T	Redeemed (part)	12/31/22	J	A	
49.	GNMA CMO 2020-134 XB	A	Interest	J	T					
50.	GNMA CMO 202-160 KU	A	Interest	J	T					
51.	GNMA CMO 2021-149 KW	A	Interest	J	T					

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52. TD Ameritrade FDIC Insured Deposit Account	A	Interest	J	T					
53. US T-Bills	A	Interest	J	T					
54. Federal Home Loan Bank	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

With respect to the assets set forth on line 17 and line 19, this report accurately indicates that the assets were owned on the last day of the reporting period; further, the transactions reported on line 17 and line 19 were not partial dispositions.

Name of Person Reporting	Date of Report
Clay, Eric L.	09/13/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Eric L. Clay

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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