AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Clay, Eric L.	Sixth Circuit Court of Appeals	05/04/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Active Status)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Court of Appeals Theodore Levin Courthouse 231 W. Lafayette, Rm. 433 Detroit, MI 48226		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Clay, Eric L.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Bank of America	A	Interest	M	T					
2. Franklin Small-Mid Cap Growth	A	Dividend	M	T	Sold (part)	11/22/21	J	A	
3. Franklin Mutual Global Discovery A	C	Dividend	M	T	Distributed (part)	11/22/21	J	A	
4.					Sold (part)	11/22/21	J	A	
5. Putnam Equity Income FD New CLA	A	Dividend	L	T	Distributed (part)	11/22/21	J	A	
6. Putnam Funds Multi-Cap Core A	A	Dividend	L	T	Distributed (part)	11/22/21	J	A	
7. Templeton Foreign Fund A	B	Dividend	L	T					
8. Eaton Vance Floating Rate FD	D	Dividend	M	T					
9. American FNDS Income Fund of America F2	A	Dividend	K	T	Buy	07/21/21	K		
10.					Distributed (part)	12/14/21	J	A	
11. IShares MSCI Emerging MKTS ETF	A	Dividend			Sold	07/26/21	K	D	
12. IShares MSCI EAFE ETF	A	Dividend			Sold	10/05/21	K	D	
13. IShares S&P Mid-CAP 400 Value ETF	A	Dividend			Buy	03/10/21	K		
14.					Sold	12/20/21	K	B	
15. IShares S&P Mid-CAP 400 Growth ETF	A	Dividend			Sold	10/05/21	K	D	
16. IShares S&P Small-CAP 600 Growth	A	Dividend			Sold	12/26/21	K	D	
17. IShares S&P Small-CAP 600 Value ETF	A	Dividend			Buy	03/10/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Clay, Eric L.	05/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.						Sold	12/06/21	K	A	
19.	IShares S&P 500 Value ETF	B	Dividend	L	T	Sold (part)	07/19/21	J	A	
20.	IShares S&P 500 Growth ETF	A	Dividend	L	T	Sold (part)	07/12/21	J	A	
21.	FHLMC CMO 4993 UQ	A	Interest	J	T	Redeemed (part)	12/31/21	J	A	
22.	FHLMC CMO 5019 JT	A	Interest	J	T	Redeemed (part)	12/31/21	J	A	
23.	FNMA CMO 2020-59 KA	A	Interest	J	T	Redeemed (part)	12/31/21	J	A	
24.	FREDDIE MAC 5151 LU	A	Interest	J	T	Redeemed (part)	12/31/21	J	A	
25.						Buy	10/05/21	J		
26.	GNMA 2021-104 JW	A	Interest	J	T	Redeemed (part)	12/31/21	J	A	
27.						Buy	06/28/21	J		
28.	GNMA 2021-096 HU	A	Interest	K	T	Redeemed (part)	12/31/21	J	A	
29.						Buy	06/29/21	K		
30.	GNMA 2021-096 LU	A	Interest	J	T	Redeemed (part)	12/31/21	J	A	
31.						Buy	07/19/21	J		
32.	GNMA 2021-027 TW	A	Interest	K	T	Redeemed (part)	12/31/21	J	A	
33.						Buy	02/24/21	K		
34.	GNMA 2021-027 YW	A	Interest	J	T	Redeemed (part)	12/31/21	J	A	

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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35.					Buy	02/24/21	K		
36. GNMA GNR 2021-130 EW	A	Interest	J	T	Redeemed (part)	12/31/21	J	A	
37.					Buy	07/27/21	J		
38. GNMA CMO 2021-122 MA	A	Interest	K	T	Redeemed (part)	12/31/21	J	A	
39. GNMA CMO 2021-134 XB	A	Interest	K	T					
40. GNMA CMO 202-160 KU	A	Interest	K	T					
41. GNMA CMO 2021-149 KW	A	Interest	J	T	Redeemed (part)	12/31/21	J		
42.					Buy	08/30/21	J		
43. GNMA CMO 2021-044 YZ	A	Interest			Redeemed	12/31/21	K	A	
44.					Buy	04/19/21	J		
45. GNMA CMO 2020-191 ZG	A	Interest			Redeemed	12/31/21	J	A	
46.					Buy	03/22/21	J		
47. FHLMC CMO 5049 YU	A	Interest			Redeemed	12/31/21	J	A	
48. FHLMC CMO 4860 CU	A	Interest			Redeemed	12/31/21	J	A	
49. FHLMC CMO 4942 AZ	A	Interest			Redeemed	12/31/21	J	A	
50. FHLMC CMO 5009 TQ	A	Interest			Redeemed	12/31/21	K	A	
51. FNMA CMO 2012-138 YE	A	Interest			Redeemed	12/31/21	J	A	

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
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52. FNMA CMO 2016-54 MA	A	Interest			Redeemed	12/31/21	J	A	
53. FNMA CMO 2018-49 KA	A	Interest			Redeemed	12/31/21	J	A	
54. GNMA CMO 2019-140 GT	A	Interest			Redeemed	12/31/21	J	A	
55. GNMA CMO 2020-015 NA	A	Interest			Redeemed	12/31/21	K	A	
56. GNMA CMO 2020-102 N	A	Interest			Redeemed	12/31/21	K	A	
57. U.S. Treasury Bills 1/28/2021	A	Interest			Redeemed	01/28/21	M	A	
58. U.S. Treasury Bills 2/25/2021	A	Interest			Redeemed	02/25/21	M	A	
59.					Buy	01/25/21	M		
60. U.S. Treasury Bills 3/25/2021	A	Interest			Redeemed	03/25/21	M	A	
61.					Sold (part)	03/10/21	K	A	
62.					Buy	02/24/21	M		
63. U.S. Treasury Bills 9/23/21	A	Interest			Redeemed	09/23/21	L	A	
64.					Buy	08/27/21	L		
65. U.S. Treasury Bills 10/28/21	A	Interest			Redeemed	10/28/21	L	A	
66.					Buy	09/24/21	L		
67. U.S. Treasury Bills 11/26/21	A	Interest			Redeemed	11/26/21	L	A	
68.					Buy	10/29/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
69. U.S. Treasury Bills 12/30/21	A	Interest			Redeemed	12/30/21	M	A	
70.					Buy	11/24/21	M		
71. U.S. Treasury Bills 2/15/22	A	Interest	M	T	Buy	12/30/21	M		
72. TD Ameritrade Money Market	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

With respect to the assets set forth on line 17 and line 19, this report accurately indicates that the assets were owned on the last day of the reporting period; further, the transactions reported on line 17 and line 19 were not partial dispositions.

Name of Person Reporting	Date of Report
Clay, Eric L.	05/04/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Eric L. Clay

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544