AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Carson, Joel M.	United States Court of Appeals for the Tenth Circuit	09/01/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
500 N. Richardson Roswell, NM 88201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust No. 1
2.	Vice-President & Secretary	Sierra Blanca Livestock Company
3.	Manager	Sierra Blanca Mercantile LLC
4.	Manager	Sierra Blanca Farms LLC
5.	Manager	Sierra Blanca Trading LLC
6.	Manager	East Mescalero Properties LLC
7.	Personal Representative	Estate #1

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2020	BCA Medical Associates
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Law and Economics Center, George Mason University	04/25/21 to 04/30/21	Arlington, VA	Judicial Education	Lodging, Meals, Transportation, Instruction
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Farm Credit Services	Real Property Loan	O
2.	Chase	Credit Line	K
3.	Citibank	Credit Line	K
4.			
5.			

Name of Person Reporting	Date of Report
Carson, Joel M.	09/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Wells Fargo Savings	A	Interest	J	T					
2.	Wells Fargo Savings	A	Interest	J	T					
3.	Wells Fargo Checking		None	L	T					
4.	Optum Bank HSA Savings	A	Interest	J	T					
5.	Pioneer Bank Checking		None	M	T					
6.	Artesia Credit Union Savings	A	Interest	L	T					
7.	Eagle Federal CU Savings	A	Int./Div.	J	T					
8.	Sierra Blanca Investments LP [redacted] company)		None	J	W	Closed	12/31/21	J		X - Asset Valueless
9.	Carson Agriculture LLC [redacted] company)		None	J	T	Closed	12/31/21	J		X - Asset Valueless
10.	Sierra Blanca Farms LLC [redacted] company)		None	L	W					
11.	Sierra Blanca Mercantile LLC ([redacted] company)(X)	C	Royalty	L	W					
12.	Ameritrade Money Market Account	A	Interest	J	T					
13.	Ameritrade Money Market Account (2)	A	Interest	M	T					
14.	East Mescalero Properties LLC ([redacted] company)		None	M	W					
15.	XOM	A	Dividend	J	T					
16.						Buy (add'l)	10/27/21	J		
17.						Buy (add'l)	12/22/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. HEP	B	Dividend	K	T					
19.					Buy (add'l)	10/27/21	J		
20. NLY	B	Dividend	K	T	Buy (add'l)	12/28/21	J		
21.					Buy (add'l)	11/02/21	J		
22.					Buy (add'l)	10/27/21	J		
23. CXO	A	Dividend			Merged (with line 33)	01/19/21	J		
24. GTE		None	J	T	Buy	12/28/21	J		
25. OKE	A	Dividend	J	T	Buy	12/28/21	J		
26. Ameritrade Money Market Account (3)	A	Interest	M	T					
27. Fidelity Freedom Index 2035 - Premier Fund	A	Int./Div.	O	T					
28. TDOC		None	J	T					
29. GOOGL		None	K	T					
30. GILD	A	Dividend	J	T					
31. PTON		None	J	T					
32. RCL		None	J	T					
33. COP	A	Dividend	K	T					
34.					Sold (part)	01/29/21	J	C	

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35. PSX	A	Dividend	J	T					
36. BABA		None	K	T					
37. ILMN		None	K	T					
38. AMZN		None	M	T					
39. TMO	A	Dividend	L	T					
40. TGT	A	Dividend	J	T					
41. MCD	A	Dividend	J	T					
42. AMGN	A	Dividend	J	T					
43. WBA	A	Dividend	J	T					
44. AAL	A	Dividend	J	T					
45. AAPL	A	Dividend	M	T					
46. COKE	A	Dividend	L	T					
47. JNJ	C	Dividend	K	T					
48. DIS	A	Dividend	K	T					
49. LUV	A	Dividend	J	T					
50. NTDOY	A	Dividend	J	T					
51. AMC		None	J	T					

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52. GME		None	J	T					
53. GE	A	Dividend	J	T	Buy	01/04/21	J		
54. HOLX		None	J	T	Buy	02/01/21	J		
55. PTON		None	J	T					
56. NextGen 529 Blackrock Age 8-10 Yrs A		None	K	T					
57. NextGen 529 Blackrock Age 11-13 Yrs A		None	K	T					
58. QPSIQ	A	Int./Div.	N	T	Open	12/09/21	N		X-Inherited
59. COFRX	A	Int./Div.	K	T	Open	12/09/21	K		X-Inherited
60.					Buy (add'l)	12/14/21	J		
61. CDDRX		None	K	T	Open	12/09/21	K		X-Inherited
62. DFDIX		None	J	T	Open	12/09/21	J		X-Inherited
63. SGOIX		None	J	T	Open	12/09/21	J		X-Inherited
64. MINIX		None	K	T	Open	12/09/21	K		X-Inherited
65. TRBCX		None	J	T	Open	12/09/21	J		X-Inherited
66.					Buy (add'l)	12/14/21	J		
67. HFAIX		None	K	T	Open	12/09/21	K		X-Inherited
68. HLIPX	A	Int./Div.	K	T	Open	12/09/21	K		X-Inherited

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69.						Buy (add'l)	12/13/21	J		
70.						Buy (add'l)	12/29/21	J		
71.	LHYFX		None	K	T	Open	12/09/21	K		X-Inherited
72.						Buy (add'l)	12/17/21	J		
73.	PSCSX	A	Int./Div.	K	T	Open	12/09/21	K		X-Inherited
74.						Buy (add'l)	12/28/21	J		
75.	THIIX		None	K	T	Open	12/09/21	K		X-Inherited
76.	Ameritrade Money Market (J1)	A	Interest	J	T	Open	01/27/21	J		
77.	KNX	A	Dividend	J	T	Buy	09/09/21	J		
78.						Buy (add'l)	10/27/21	J		
79.						Buy (add'l)	11/02/21	J		
80.						Buy (add'l)	11/15/21	J		
81.						Buy (add'l)	12/07/21	J		
82.						Buy (add'l)	12/23/21	J		
83.	Ameritrade Money Market (C1)	A	Interest	J	T	Open	01/27/21	J		
84.	CSX	A	Dividend	J	T	Buy	08/17/21	J		
85.						Buy (add'l)	09/15/21	J		

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86.					Buy (add'l)	10/17/21	J		
87.					Buy (add'l)	11/02/21	J		
88.					Buy (add'l)	11/15/21	J		
89.					Buy (add'l)	12/07/21	J		
90.					Buy (add'l)	12/28/21	J		
91. [redacted] Estate No. 1 (X-see section VIII)									
92. -Pioneer Bank Checking			K	T	Open	09/01/21	K		
93. -First National Bank Checking			J	T	Open	09/01/21	J		
94. -Residence, Eddy County, NM			N	W	Open	09/01/21	N		
95. -Sierra Blanca Livestock Co.		None	O	W	Open	09/01/21	O		
96. -Real Property, Lea County, NM	A	Royalty	K	W	Open	09/01/21	K		
97. -Real Property, Eddy County, NM	A	Royalty	J	W	Open	09/01/21	J		
98. -Edward D. Jones Cash Account	A	Interest	L	T	Open	09/01/21	L		
99. -AAPL	C	Dividend	N	T	Open	09/01/21	N		
100. -T	A	Dividend	J	T	Open	09/01/21	J		
101. -COP	B	Dividend	L	T	Open	09/01/21	L		
102. -GSK	A	Dividend	J	T	Open	09/01/21	J		

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2 Value Codes (See Columns C1 and D3)
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103. -WFC	A	Dividend	J	T	Open	09/01/21	J		
104. -PNOPX	A	Dividend	K	T	Open	09/01/21	K		
105. -Artesia Spec Hosp Dist GO Bond	A	Interest	J	T	Open	09/01/21	J		
106. -HEP	B	Distribution	K	T	Open	09/01/21	K		
107. -QPSIQ	A	Int./Div.			Open	09/01/21	J		
108.					Distributed (part)	12/09/21	J		
109.					Distributed	12/30/21	J		
110. -COFRX	A	Int./Div.			Open	09/01/21	L		
111.					Buy (add'l)	09/24/21	J		
112.					Sold (part)	12/10/21	J	A	
113.					Distributed (part)	12/09/21	K		
114.					Distributed	12/30/21	K		
115. -CDDRX	A	Int./Div.			Open	09/01/21	L		
116.					Buy (add'l)	09/21/21	J		
117.					Buy (add'l)	12/14/21	J		
118.					Distributed (part)	12/09/21	K		
119.					Distributed	12/30/21	K		

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -DFDIX	A	Int./Div.			Open	09/01/21	K		
121.					Buy (add'l)	12/06/21	J		
122.					Distributed (part)	12/09/21	K		
123.					Distributed	12/30/21	J		
124. -SGOIX	B	Int./Div.			Open	09/01/21	K		
125.					Sold (part)	09/24/21	J		
126.					Buy (add'l)	12/10/21	J		
127.					Distributed (part)	12/09/21	K		
128.					Distributed	12/30/21	J		
129. -MINIX	A	Int./Div.			Open	09/01/21	L		
130.					Sold (part)	09/24/21	J		
131.					Buy (add'l)	12/10/21	J		
132.					Distributed (part)	12/09/21	K		
133.					Distributed	12/30/21	K		
134. -TRBCX	A	Int./Div.			Open	09/01/21	L		
135.					Buy (add'l)	12/14/21	J		
136.					Distributed (part)	12/09/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Distributed	12/30/21	J		
138. -HFAIX	A	Int./Div.			Open	09/01/21	L		
139.					Buy (add'l)	09/30/21	J		
140.					Buy (add'l)	10/29/21	J		
141.					Buy (add'l)	11/30/21	J		
142.					Distributed (part)	12/09/21	K		
143.					Distributed	12/30/21	K		
144. -HLIPX	A	Int./Div.			Open	09/01/21	L		
145.					Buy (add'l)	09/28/21	J		
146.					Buy (add'l)	10/27/21	J		
147.					Buy (add'l)	11/26/21	J		
148.					Buy (add'l)	12/13/21	J		
149.					Buy (add'l)	12/29/21	J		
150.					Distributed (part)	12/09/21	K		
151.					Distributed	12/30/21	K		
152. -LHYFX	A	Int./Div.			Open	09/01/21	L		
153.					Sold (part)	09/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Carson, Joel M.	09/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	09/30/21	J		
155.					Buy (add'l)	10/29/21	J		
156.					Buy (add'l)	11/30/21	J		
157.					Buy (add'l)	12/17/21	J		
158.					Distributed (part)	12/09/21	L		
159.					Distributed	12/30/21	K		
160. -PSCSX	D	Int./Div.			Open	09/01/21	L		
161.					Buy (add'l)	09/09/21	J		
162.					Buy (add'l)	12/08/21	J		
163.					Buy (add'l)	12/28/21	J		
164.					Distributed (part)	12/09/21	L		
165.					Distributed	12/30/21	K		
166. -THIIX	A	Int./Div.			Open	09/01/21	M		
167.					Sold (part)	09/24/21	J		
168.					Sold (part)	09/29/21	J		
169.					Buy (add'l)	09/30/21	J		
170.					Buy (add'l)	10/29/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Carson, Joel M.	09/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	11/30/21	J		
172.					Distributed (part)	12/09/21	M		
173.					Distributed	12/30/21	K		
174. -Artesia Credit Union Checking			J	T	Open	09/01/21	J		
175. [redacted] Trust No. 1									
176. -ITW	A	Dividend	J	T					
177. -ESV	A	Dividend	J	T					
178. -JNJ	A	Dividend	J	T					
179. -BP	A	Dividend	J	T					
180. -JPM	A	Dividend	J	T					
181. -TUP			J	T					
182. -XEL	A	Dividend	J	T					
183. -CHKP			J	T					
184. -FDS	A	Dividend	J	T					
185. -LOW	A	Dividend	J	T					
186. -MCHP	A	Dividend	J	T					
187. -PFE	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Carson, Joel M.	09/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -SLB	A	Dividend	J	T					
189. -SHO	A	Dividend	J	T					
190. -RIG			J	T					
191. -QPSIQ	A		N	T	Open	12/17/21	N		
192. -COFRX			K	T	Open	12/30/21	K		
193. -CDDRX			K	T	Open	12/30/21	K		
194. -DFDIX			J	T	Open	12/30/21	J		
195. -SGOIX			J	T	Open	12/30/21	J		
196. -MINIX			K	T	Open	12/30/21	K		
197. -TRBCX			J	T	Open	12/30/21	J		
198. -HFAIX			K	T	Open	12/30/21	K		
199. -HLIPX			K	T	Open	12/30/21	K		
200. -LHYFX			K	T	Open	12/30/21	K		
201. -PSCSX			K	T	Open	12/30/21	K		
202. -THIIX			K	T	Open	12/30/21	K		
203.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Carson, Joel M.	09/01/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

* With respect to line nos. 56 & 57, Merrill Lynch states that income and yields are not reported on customer statement and are not otherwise available.

* line nos 91 - 174: assets listed below heading listed as a result of duties as personal representative of estate.

Name of Person Reporting	Date of Report
Carson, Joel M.	09/01/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joel M. Carson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544