AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bress, Daniel A.	U.S. Court of Appeals for the Ninth Circuit	05/15/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Court of Appeals Judge -- Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States Court of Appeals for the Ninth Circuit 95 7th Street San Francisco, CA 94103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	[redacted] Trust
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Bress, Daniel A.	05/15/2024

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2023	Complex Appellate Litigation Group
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Antonin Scalia Law School	May 4-6, 2023	Arlington, VA	Conference	Transportation, lodging, and meals.
2.	Notre Dame Law School	July 10-13, 2023	London, UK	Conference	Transportation, lodging, and meals
3.	Federal Bar Association (Hawaii Chapter)	November 30 - December 3, 2023	Honolulu, HI	Panel/Conference	Transportation, lodging, and meals
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bress, Daniel A.	05/15/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Share in Bress Properties LLC (real estate partnership)	D	Distribution	N	W					
2. USAA cash accounts	A	Interest	K	T					
3. Citi Private Bank cash accounts	C	Interest	M	T					
4. Roth IRA (H)									
5. - Vanguard Mega Cap Growth Index	A	Dividend	K	T					
6. IRA #1 (H)									
7. - Fidelity government cash reserves (FDRXX)	A	Interest	J	T					
8. - DFA US Large Cap Value Port III	D	Dividend	M	T					
9. - DFA US Large Company Portfolio	B	Dividend	K	T					
10. - Vanguard Developed Markets Index Fund	A	Dividend	J	T					
11. IRA #2 (H)									
12. - Fidelity government cash reserves (FDRXX)	A	Interest	J	T					
13. - DFA Emerging Markets Portfolio	B	Dividend	K	T					
14. - Dimensional Emerging Mkts Val PRTF INSTL	B	Dividend	K	T					
15. - DFA US Targeted Value PRTF INSTL	A	Dividend	K	T					
16. - American CENTY ETF TR Avantis EMGMKT	A	Dividend	J	T					
17. - Vanguard Small-Cap Index Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Bress, Daniel A.	05/15/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Investment Account #1 (H)									
19. - Fidelity cash sweep account	A	Interest	J	T					
20. - Brown Advisory Flexible Equity INVS	A	Dividend	K	T					
21. - Brown Advisory Grwth Equity Investor	B	Dividend	K	T					
22. - Harbor Cap App INST		None	K	T					
23. - Vanguard Div Apprec Index FD Admiral SHS	B	Dividend	L	T					
24. - Vanguard Small-Cap Index Admiral	A	Dividend	J	T					
25. - Fidelity Govt MMKT Premium Class	C	Dividend	M	T	Buy	07/24/23	M		
26. - American CENTY ETF TR Avantis US Small Cap Equity ETF	A	Dividend	L	T					
27. - American CENTY ETF TR Avantis Emerging MKTS Value ETF USD	B	Dividend	K	T					
28. - American CENTY ETF TR Avantis US Small Cap Value	C	Dividend	M	T					
29. - Dimensional ETF Trust US Equity ETF	B	Dividend	M	T					
30. - Dimensional ETF Trust US Small Cap	A	Dividend	J	T					
31. - Dimensional ETF Trust US Mktwide Value	A	Dividend	L	T					
32. - Vanguard Mega Cap Growth Index Fund	B	Dividend	N	T					
33. - Vanguard Developed Markets Index Fund	D	Dividend	M	T					
34. - Vanguard Small Cap Value ETF	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. - Vanguard Index FDS Vanguard Value	A	Dividend	K	T					
36. - International Business Machines Corp. stock	B	Dividend	K	T					
37. - Kyndryl HLDGS Inc. stock		None	J	T					
38. - US Treasury Bond	B	Interest			Redeemed	05/31/23	L		
39. - US Treasury SER AJ-2025	A	Interest	L	T	Buy	07/11/23	K		
40. - US Treasury SER AJ-2026	A	Interest	K	T	Buy	07/11/23	L		
41. - Barclays CD	C	Interest	L	T					
42. Investment Account #2 (H)									
43. - Fidelity government cash reserves (FDRXX)	A	Interest	J	T					
44. - Dimensional Emerging Mkts Val PRTF INSTL	A	Dividend	J	T					
45. - DFA Emerging Markets Portfolio	A	Dividend	J	T					
46. - American Century ETF Trust Avantis US Small Cap Equity ETF	A	Dividend	L	T					
47. - SDPR S&P 500 ETF Trust	A	Dividend	K	T					
48. - Vanguard Developed Markets Index Fund	B	Dividend	L	T					
49. - Pepsico Inc. stock	A	Dividend	K	T					
50. - Procter & Gamble stock	A	Dividend	K	T					
51. - SYSCO Corp. stock	A	Dividend	J	T					

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52. 529 Account #1 (H)									
53. - American Funds: AMCAP Fund	B	Dividend	L	T					
54. - American Funds: American Balanced Fund	B	Dividend	K	T					
55. - American Funds: Fundamental Investors	B	Dividend	K	T					
56. - American Funds: New World Fund	A	Dividend	K	T					
57. 529 Account #2 (H)									
58. - American Funds: AMCAP Fund	B	Dividend	K	T					
59. - American Funds: American Balanced Fund	A	Dividend	K	T					
60. - American Funds: Fundamental Investors	B	Dividend	K	T					
61. - American Funds: New Wolrd Fund	A	Dividend	K	T					
62. 529 Account #3 (H)									
63. - American Funds Growth Portfolio	A	Dividend	K	T					
64. 529 Account #4 (H)									
65. - American Funds Growth Portfolio	A	Dividend	K	T					
66. 529 Account #5 (H)									
67. - Vanguard 500 Index Portfolio		None	K	T	Buy	11/27/23	J		
68. - Vanguard Mid-Cap Index Portfolio		None	K	T	Buy	11/27/23	J		

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69. - Vanguard Small-Cap Index Portfolio		None	K	T	Buy	11/27/23	J		
70. - Vanguard Total Stock Index Portfolio		None	K	T	Buy	11/27/23	J		
71. - Vanguard Value Index Portfolio		None	K	T	Buy	11/27/23	J		
72. - 529 Account #6 (H)									
73. - Vanguard 500 Index Portfolio		None	K	T	Buy	11/27/23	J		
74. - Vanguard Mid-Cap Index Portfolio		None	K	T	Buy	11/27/23	J		
75. - Vanguard Small-Cap Index Portfolio		None	K	T	Buy	11/27/23	J		
76. - Vnaugard Total Stock Market Index Portfolio		None	K	T	Buy	11/27/23	J		
77. - Vanguard Value Index Portfolio		None	K	T	Buy	11/27/23	J		
78. 401(k) #1 (H)									
79. - Target Retirement 2045 Trust 1		None	P1	T					
80. 401(k) #2 (H)									
81. - Schwab Indexed Retirement Trust Fund 2040		None	M	T					
82. Associated Bank HSA: Dodge & Cox Balanced		None	J	T					
83. Fidelity Charitable Moderate Growth Fund		None	K	T					

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3. Value Method Codes (See Column C2)
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I am informed that for the Schwab and Vanguard 401(k) accounts and the Vanguard 529 accounts, there are no distributions to date. Dividends and capital gains remain in the funds and are reflected in the net asset value rather than being distributed and reinvested in additional shares of the funds.

Additional notes:

- On line 10, 33, and 48, this ETF had a name change. It was formerly named Vanguard Tax-Managed INTL FD FTSE DEV MKT.

Name of Person Reporting	Date of Report
Bress, Daniel A.	05/15/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Daniel A. Bress

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544