AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BERZON, MARSHA S.	U.S. CA NINTH CIRCUIT	8/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE - SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023

7. Chambers or Office Address

95 SEVENTH STREET
P.O. BOX 1939393
SAN FRANCISCO, CA 94119-3939

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	ADJUNCT PROFESSOR	UC LAW SAN FRANCISCO
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	UC LAW SAN FRANCISCO	$4,583.40
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	SELF-EMPLOYED ATTORNEY
2.	2023	U C BERKELEY LAW SCHOOL
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	BIG SKY LABOR AND EMPLOYMENT INSTITUTE	8/9/23-8/11/23	BIG SKY, MT	NON-FJC SEMINAR OR PROGRAM	TRAVEL. LODGING, MEALS
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	8/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	BANK OF AMERICA ACCOUNTS	A	Interest	L	T					
2.	STERLING BANK & TRUST ACCOUNTS	C	Interest	M	T					
3.	BANK OF THE ORIENT ACCOUNT	E	Interest	O	T					
4.	CORNING INC - COMMON STOCK	D	Dividend	M	T					
5.	PEPSICO INC - COMMON STOCK	B	Dividend	L	T					
6.	BERKSHIRE HATHWAY - COMMON STOCK		None	L	T					
7.	FISERV INC - COMMON STOCK		None	L	T					
8.	QUALCOMM INC	A	Dividend	K	T					
9.	COGNIZANT TECH SOLU	A	Dividend			Sold	02/28/23	K	B	
10.	MEDTRONIC PLC	A	Dividend	K	T					
11.	NOVARTIS AG*1	A	Dividend	K	T					
12.	EXPEDIA INC		None	K	T					
13.	BECTON DICKINSON&CO	A	Dividend	K	T					
14.	ENVOIS CORPORATION		None	K	T					
15.	BOOKING HOLDINGS INC		None	K	T					
16.	BLACKROCK INC	A	Dividend	K	T					
17.	EATON CORP PLC	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	8/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. EMERSON ELECTRIC CO	A	Dividend	K	T					
19. EBAY INC	A	Dividend	K	T					
20. ALCON INC	A	Dividend	J	T					
21. ABBVIE INC	A	Dividend			Sold	02/28/23	J	C	
22. CARRIER GLOBAL CORP	A	Dividend	J	T					
23. OTIS WORLDWIDE CORP	A	Dividend			Sold	02/28/23	J	C	
24. RAYTHEON TECHNOLOGIES CO		None	K	T					
25. AGILENT TECHNOLOGIES CO	A	Dividend	K	T					
26. LOWES COMPANIES INC	A	Dividend	K	T					
27. LUCID GROUP INC		None	J	T					
28. ULTA BEAUTY INC		None	K	T					
29. ZEBRA TECHNOLOGIES		None	J	T					
30. EMBECTA CORP	A	Dividend			Sold	02/28/23	J	A	
31. ESAB CORP	A	Dividend	K	T					
32. MOODYS CORP	A	Dividend	K	T	Buy	02/28/23	K		
33. RTX CORP	A	Dividend	K	T					
34. TAIWAN SEMICONDUCTOR	A	Dividend	K	T	Buy	02/28/23	K		

1. Income Gain Codes: (See Columns B1 and D4)
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D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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3. Value Method Codes (See Column C2)
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. SANDOZ GROUP AG*1		None	J	T	Spinoff (from line 11)	10/04/23	J		
36. SCHWAB CALIFORNIA MUNICIPAL MONEY FUND-ULTRA	E	Dividend	O	T	Open	02/24/23	P1		
37. RETIREMENT ACCOUNT (H)									
38. -DFA U.S. SMALL CAP VALUE PORT	B	Dividend	L	T	Buy (add'l)	05/12/23	J		
39.					Sold (part)	09/08/23	J	A	
40.					Buy (add'l)	12/09/23	J		
41. -VANGUARD SMALL-CAP GROWTH INDEX FUND (ADM)	A	Dividend	K	T	Buy (add'l)	05/12/23	J		
42.					Sold (part)	09/08/23	J	A	
43.					Buy (add'l)	12/09/23	J		
44. -VANGUARD REAL ESTATE INDEX FUND (ADM)	A	Dividend	J	T	Buy (add'l)	05/12/23	J		
45.					Sold (part)	09/08/23	J	A	
46.					Buy (add'l)	12/09/23	J		
47. -VANGUARD 500 INDEX FUND (ADM)	C	Dividend	M	T	Sold (part)	05/12/23	J	A	
48.					Sold (part)	09/08/23	J	C	
49.					Buy (add'l)	12/09/23	L		
50. -VANGUARD TOTAL INTL STOCK INDEX FUND (ADM)	D	Dividend	M	T	Sold (part)	05/12/23	J	A	
51.					Sold (part)	09/08/23	J	A	

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2. Value Codes (See Columns C1 and D3)
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52.					Buy (add'l)	12/09/23	L		
53. -VANGUARD INTERMED.-TERM BOND INDEX FUND (ADM)	D	Dividend	N	T	Sold (part)	05/12/23	J		
54.					Sold (part)	09/08/23	K		
55.					Sold (part)	12/09/23	L		
56. -VANGUARD SHORT-TERM BOND INDEX FUND (ADM)	D	Dividend	N	T	Buy (add'l)	05/12/23	J		
57.					Sold (part)	09/08/23	K		
58.					Sold (part)	12/09/23	L		
59. -VANGUARD INFLATION-PROTECTED SECS (ADM)	D	Dividend	M	T	Sold (part)	09/08/23	J		
60.					Sold (part)	12/09/23	K		
61. -VANGUARD MID-CAP VALUE INDEX ADMIRAL	B	Dividend	L	T	Buy (add'l)	05/12/23	J		
62.					Sold (part)	09/08/23	J	A	
63.					Buy (add'l)	12/09/23	K		
64. CAPITAL ACCOUNT IN ALTSHULER BERZON LLP		None	J	U					
65. SCHWAB CASH AND BANK SWEEP	A	Interest	L	T					
66. DFA EMERGING MARKETS CORE EQUITY	D	Dividend	N	T					
67. DFA INTERNATIONAL VALUE	D	Dividend	N	T	Sold (part)	10/27/23	J	A	
68. DFA U.S. LARGE CAP VALUE	E	Dividend	N	T	Sold (part)	10/27/23	K		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
69. DFA US MICRO CAP	B	Dividend	L	T					
70. DFA US SMALL CAP I	C	Dividend	M	T	Sold (part)	10/27/23	K	A	
71. DIMENSIONAL INTERNATIONAL SMALL CAP ETF	B	Dividend	L	T					
72. DIMENSIONAL INTERNATIONAL SMALL CAP VALUE ETF	C	Dividend	M	T	Sold (part)	10/27/23	J	A	
73. DIMENSIONAL U.S. TARGETED VALUE ETF	D	Dividend	O	T					
74. DIMENSIONAL U.S. MARKETWIDE VALUE ETF	D	Dividend	N	T					
75. INVESCO QQQ TRUST	A	Dividend	M	T					
76. ISHARES CORE MSCI EMERGING MARKETS ETF	B	Dividend	K	T	Sold (part)	08/16/23	K		
77.					Buy (add'l)	10/27/23	L		
78. ISHARES CORE S&P 500 ETF	B	Dividend	L	T					
79. ISHARES S&P SMALL-CAP ETF	A	Dividend	J	T	Buy	10/27/23	J		
80. SCHWAB U.S. REIT ETF	A	Dividend			Sold	10/27/23	K		
81. SCHWAB US TIPS ETF	E	Dividend			Sold	10/27/23	O		
82. SPDR PORTFOLIO TIPS ETF	D	Dividend	O	T	Buy	10/27/23	O		
83. SPDR S&P 500 ETF	E	Dividend	P1	T					
84. VANGUARD CA INTER TERM TAX EXEMPT ADM	D	Dividend			Buy	08/16/23	P1		
85.					Sold	10/27/23	O		

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2. Value Codes (See Columns C1 and D3)
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86. VANGUARD EQUITY INCOME FD ADM	D	Dividend	M	T					
87. VANGUARD FTSE DEVELOPED MARKETS ETF	E	Dividend	O	T	Buy (add'l)	10/27/23	K		
88. VANGUARD FTSE EMERGING MARKETS ETF	A	Dividend			Buy	08/16/23	K		
89.					Sold	10/27/23	K		
90. VANGUARD INFORMATION TECHNOLOGY INDEX ETF	B	Dividend	N	T					
91. VANGUARD INTERMEDIATE TAX EXEMPT ADM	E	Dividend	P1	T	Buy	08/16/23	K		
92.					Buy (add'l)	10/27/23	K		
93. VANGUARD LTD TERM TAX EXEMPT ADM	D	Dividend			Buy	03/23/23	O		
94.					Buy (add'l)	06/07/23	K		
95.					Buy (add'l)	08/16/23	N		
96.					Sold	10/27/23	P1		
97. VANGUARD TAX-EXEMPT BOND ETF	E	Dividend			Sold	10/27/23	P1		
98. VANGUARD SHORT TERM TAX EXEMPT	E	Dividend	P1	T	Sold (part)	03/23/23	O	A	
99.					Sold (part)	08/16/23	P1	D	
100.					Buy (add'l)	10/27/23	P1		
101. CALIFORNIA INFRASTRUCTURE & EC 5%	B	Interest			Sold	04/17/23	L		
102. CALIFORNIA MUN FIN AUTH REV 5% 10/01/2024	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103. CALIFORNIA ST 5% 08/01/2029	C	Interest			Sold	11/13/23	K		
104. CALIFORNIA ST DEPT WTR RES CEN 5% 12/01/2028	B	Interest	K	T					
105. CALIFORNIA STATEWIDE CMNTYS DE 5% 04/01/38	A	Interest			Sold	11/21/23	L		
106. CARLSBAD CALIF UNI SCH DIST 5% 08/01/27	B	Interest			Sold	11/13/23	K		
107. CASH AND MARGIN INTEREST	A	Interest	J	T					
108. CENTINELA VALLEY CALIF UN HIGH 4% 08/01/2034		None	L	T	Buy	11/28/23	L		
109. CONTRA COSTA CALIF CMNTY COLLE 5% 08/01/2035	C	Interest	M	T					
110. ENERGY NORTHWEST WASH ELEC REV 5%	C	Interest	L	T					
111. HAWAII CNTY HAWAII 5% 09/01/2030	C	Interest			Sold	11/13/23	K		
112. HILLSBOROUGH CTY FLA AVIATION 5% 10/01/2035		None	L	T	Buy	11/13/23	L		
113. LOS ANGELES CALIF DEPT APPTS R 4% 05/15/2035	B	Interest			Sold	11/27/23	L		
114. LOS ANGELES CALIF DEPT WTR & PWR 5% 07/01/2037		None	L	T	Buy	11/15/23	L		
115. LOS ANGELES CALIF DEPT WTR & PWR 5% 06/01/2038		None	M	T	Buy	11/15/23	L		
116. LOS ANGELES CNTY CALIF MET TRA 5% 07/01/2023	C	Interest	L	T					
117. MASSACHUSETTS BAY TRANSN AUTH 5% 07/01/2037	C	Interest	L	T					
118. MIRACOSTA CALIF CMNTY COLLEGE 5% 08/01/2037	B	Interest	K	T					
119. MORELAND CALIF SCH DIST 5% 08/01/2024	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	8/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. NEW YORK ST DORM AUTH REVS NON 5% 07/01/2035	C	Interest	L	T					
121. PETALUMA CALIF WASTEWATER REV 5% 05/01/2026	B	Interest			Sold	11/13/23	K		
122. RIVERSIDE CALIF REDEV AGY SUCC 5% 09/01/2028	B	Interest			Sold	11/13/23	K		
123. SACRAMENTO CALIF MUN UTIL DIST 5% 08/15/23	B	Interest			Matured	08/15/23	K		
124. SAN FRANCISCO CALIF CITY & CNT 4% 6/15/2035	A	Interest	L	T	Buy	11/22/23	L		
125. SAN FRANCISCO CALIF CITY & CNT 5% 05/01/2033	A	Interest	M	T	Buy	11/21/23	M		
126. SAN FRANCISCO CALIF CITY & CNT 5% 11/01/2037	A	Interest	K	T	Buy	08/21/23	K		
127. SAN MATEO CNTY CALIF TRAN DIST 5% 06/01/2026	B	Interest	K	T					
128. SOUTHERN CALIF PUB PWR AUTH SO 5% 07/01/2039	B	Interest			Buy	04/20/23	L		
129.					Sold	11/13/23	L		
130. SWEETWATER CALIF UN HIGH SCH D 5% 08/01/2036	C	Interest	M	T					
131. TEXAS WOMANS UNIV REV FING SYS 5% 07/01/2037	B	Interest	L	T					
132. TURLOCK CALIF IRR DIST REV 5% 01/01/2031	B	Interest			Sold	11/13/23	K		
133. UNIVERSITY CALIF REVS 5% 05/15/2027	B	Interest	K	T					
134. UNIVERSITY WIS HOSPS & CLINICS 5% 04/01/2030	B	Interest	K	T					
135. WESTERN MUN WTR DIST FACS AUTH 5% 10/01/2031	B	Interest			Sold	11/13/23	K		
136. IRA(H)									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	8/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. -SCHWAB CASH AND BANK SWEEP	A	Interest	J	T					
138. -ISHARES CORE U.S. REIT ETF	A	Dividend	K	T	Buy	10/27/23	K		
139. -SCHWAB U.S. REIT ETF	C	Dividend	L	T					
140. -VANGUARD TOTAL BOND MKT INDEX ADMIRAL	B	Dividend	L	T	Sold (part)	08/16/23	J		
141.					Sold (part)	10/27/23	K		

1. Income Gain Codes: (See Columns B1 and D4)
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J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*1 ON OCTOBER 4, 2023, SANDOZ GROUP AG WAS SPUN OFF BY NOVARTIS AG.

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	8/13/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ MARSHA S. BERZON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544