AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BERZON, MARSHA S.	U.S. CA NINTH CIRCUIT	8/1/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE - SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022

7. Chambers or Office Address

95 SEVENTH STREET
P.O. BOX 1939393
SAN FRANCISCO, CA 94119-3939

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	ADJUNCT PROFESSOR	UC LAW SAN FRANCISCO
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	UC LAW SAN FRANCISCO	$4,168.65
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	SELF-EMPLOYED ATTORNEY
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	8/1/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	BANK OF AMERICA ACCOUNTS	A	Interest	M	T					
2.	STERLING BANK & TRUST ACCOUNTS	B	Interest	M	T					
3.	BANK OF THE ORIENT ACCOUNT	C	Interest	N	T					
4.	CORNING INC - COMMON STOCK	D	Dividend	M	T					
5.	PEPSICO INC - COMMON STOCK	B	Dividend	L	T					
6.	BERKSHIRE HATHWAY - COMMON STOCK		None	L	T					
7.	FISERV INC - COMMON STOCK		None	L	T					
8.	QUALCOMM INC	A	Dividend	K	T					
9.	COGNIZANT TECH SOLU	A	Dividend	K	T					
10.	MEDTRONIC PLC	A	Dividend	K	T					
11.	NOVARTIS AG	A	Dividend	K	T					
12.	EXPEDIA INC		None	J	T					
13.	BECTON DICKINSON&CO	A	Dividend	K	T					
14.	O-I GLASS INC*1		None							
15.	ENOVIS CORPORATION (FORMERLY COLFAX CORPORATION)*2		None	K	T	Sold (part)	04/08/22	J		
16.	BOOKING HOLDINGS INC		None	K	T					
17.	BLACKROCK INC	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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BERZON, MARSHA S.	8/1/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. EATON CORP PLC	A	Dividend	K	T					
19. EMERSON ELECTRIC CO	A	Dividend	K	T					
20. EBAY INC	A	Dividend	K	T					
21. ALCON INC	A	Dividend	J	T					
22. ABBVIE INC	A	Dividend	J	T					
23. CARRIER GLOBAL CORP	A	Dividend	J	T					
24. OTIS WORLDWIDE CORP	A	Dividend	J	T					
25. RAYTHEON TECHNOLOGIES CO	A	Dividend	K	T					
26. AGILENT TECHNOLOGIES CO	A	Dividend	K	T					
27. LOWES COMPANIES INC	A	Dividend	K	T					
28. LUCID GROUP INC		None	J	T					
29. ULTA BEAUTY INC		None	K	T					
30. WALT DISNEY CO		None			Sold	08/08/22	J		
31. ZEBRA TECHNOLOGIES		None	J	T					
32. EMBECTA CORP*3	A	Dividend	J	T	Sold (part)	04/01/22	J		
33. ESAB CORP*4	A	Dividend	J	T	Sold (part)	04/05/22	J		
34. RETIREMENT ACCOUNT (H)									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

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35. -DFA U.S. SMALL CAP VALUE PORT	B	Dividend	K	T	Buy (add'l)	05/12/22	J		
36.					Sold (part)	11/11/22	J	B	
37.					Sold (part)	11/15/22	J	A	
38. -VANGUARD SMALL-CAP GROWTH INDEX FUND (ADM)	A	Dividend	K	T	Buy (add'l)	05/12/22	J		
39.					Sold (part)	11/11/22	J	A	
40.					Sold (part)	11/15/22	J	A	
41. -VANGUARD REAL ESTATE (FORMERLY REIT) INDEX FUND (ADM)	A	Dividend	J	T	Buy (add'l)	05/15/22	J		
42.					Buy (add'l)	11/11/22	J		
43.					Sold (part)	11/15/22	J	A	
44. -VANGUARD 500 INDEX FUND (ADM)	B	Dividend	M	T	Buy (add'l)	05/12/22	J		
45.					Sold (part)	11/11/22	J	C	
46.					Sold (part)	11/15/22	J	C	
47. -VANGUARD TOTAL INTL STOCK INDEX FUND (ADM)	C	Dividend	M	T	Buy (add'l)	05/12/22	J		
48.					Sold (part)	11/11/22	J	A	
49.					Sold (part)	11/15/22	J	A	
50. -VANGUARD INTERMED.-TERM BOND INDEX FUND (ADM)	D	Dividend	N	T	Buy (add'l)	11/11/22	J		

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51.					Sold (part)	11/15/22	K		
52. -VANGUARD SHORT-TERM BOND INDEX FUND (ADM)	D	Dividend	N	T	Sold (part)	05/12/22	K		
53.					Buy (add'l)	11/11/22	J		
54.					Sold (part)	11/15/22	K		
55. -VANGUARD INFLATION-PROTECTED SECS (ADM)	D	Dividend	M	T	Sold (part)	05/12/22	J	A	
56.					Buy (add'l)	11/11/22	J		
57.					Sold (part)	11/15/22	J		
58. -VANGUARD MID-CAP VALUE INDEX ADMIRAL	B	Dividend	L	T	Sold (part)	05/12/22	J	A	
59.					Sold (part)	11/11/22	J	A	
60.					Sold (part)	11/15/22	J	A	
61. SPOUSE'S CAPITAL ACCOUNT IN LAW FIRM PARTNERSHIP		None	J	U					
62. SCHWAB CASH AND BANK SWEEP	A	Interest	M	T					
63. DFA EMERGING MARKETS CORE EQUITY	D	Dividend	N	T					
64. DFA INTERMEDIATE TERM MUNI BOND	C	Dividend			Buy	05/25/22	P1		
65.					Sold	07/01/22	P1	C	
66. DFA INTL SMALL CAP VALUE	B	Dividend			Sold	11/09/22	L	A	
67. DFA INTERNATIONAL VALUE	D	Dividend	N	T					

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68.	DFA INTL SMALL COMPANY	A	Dividend			Sold	11/09/22	L		
69.	DFA REAL ESTATE SECURITIES	A	Dividend			Sold	11/09/22	J	A	
70.	DFA TAX MANAGED US MARKETWIDE VALUE*5	B	Dividend			Merged (with line 76)	05/09/22	N		
71.	DFA U.S. LARGE CAP VALUE	E	Dividend	N	T					
72.	DFA US MICRO CAP	C	Dividend	L	T					
73.	DFA US SMALL CAP I	D	Dividend	M	T					
74.	DIMENSIONAL INTERNATIONAL SMALL CAP ETF	A	Dividend	M	T	Buy	11/09/22	M		
75.	DIMENSIONAL U.S. TARGETED VALUE ETF	D	Dividend	N	T					
76.	DIMENSIONAL U.S. MARKETWIDE VALUE ETF (RENAMED LINE 70)*5	C	Dividend	N	T					
77.	INVESCO QQQ TRUST	A	Dividend	L	T					
78.	ISHARES CORE MSCI EMERGING MARKETS ETF	B	Dividend	K	T	Buy	05/02/22	K		
79.	ISHARES CORE S&P 500 ETF	B	Dividend	L	T					
80.	PIMCO REAL RETURN FUND I	E	Dividend			Buy (add'l)	05/25/22	M		
81.						Sold	11/09/22	N		
82.	SCHWAB U.S. REIT ETF	A	Dividend	K	T	Buy	11/09/22	K		
83.	SCHWAB US TIPS ETF	D	Dividend	O	T	Buy	11/09/22	O		
84.	SPDR S&P 500 ETF	E	Dividend	P1	T					

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85. VANGUARD CA INTER TERM TAX EXEMPT ADM	D	Dividend			Sold (part)	01/25/22	N		
86.					Buy (add'l)	03/04/22	N		
87.					Sold (part)	05/25/22	O		
88.					Buy (add'l)	07/01/22	O		
89.					Sold	11/09/22	O		
90. VANGUARD EQUITY INCOME FD ADM	D	Dividend	M	T					
91. VANGUARD FTSE DEVELOPED MARKETS ETF	D	Dividend	N	T					
92. VANGUARD FTSE EMERGING MARKETS ETF	A	Dividend			Sold	05/25/22	K		
93. VANGUARD INFLATION PROTECTED SECS ADM	E	Dividend			Sold (part)	05/25/22	M		
94.					Sold	11/09/22	N		
95. VANGUARD INFORMATION TECHNOLOGY INDEX ETF	B	Dividend	M	T					
96. VANGUARD INTERMEDIATE TAX EXEMPT ADM	D	Dividend			Buy	01/25/22	M		
97.					Sold (part)	03/04/22	N		
98.					Sold (part)	05/25/22	N		
99.					Buy (add'l)	07/01/22	O		
100.					Sold	11/09/22	N		
101. VANGUARD LTD TERM TAX EXEMPT ADM	E	Dividend			Buy (add'l)	01/25/22	O		

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102.					Sold (part)	03/04/22	L		
103.					Sold (part)	05/25/22	P1		
104.					Buy (add'l)	07/01/22	P1		
105.					Sold	11/09/22	P1		
106.	VANGUARD TAX-EXEMPT BOND ETF	D	Dividend	P1	T	Buy	11/09/22	P1		
107.	VANGUARD SHORT TERM TAX EXEMPT	D	Dividend	P1	T	Sold (part)	01/25/22	O		
108.						Buy (add'l)	05/25/22	P1		
109.						Sold (part)	07/01/22	P1		
110.						Buy (add'l)	11/10/22	P1		
111.	ANAHEIM CALIF ELEM SCH DIST 4% 08/01/2028	B	Interest			Sold	09/23/22	K		
112.	ANAHEIM CALIF REDEV AGY SUCCESS 5%	A	Interest			Buy	02/01/22	K		
113.						Sold	06/15/22	K		
114.	BERKELEY CALIF JT PWRS FING AU5% 10/01/2031	A	Interest			Buy	07/26/22	L		
115.						Sold	09/23/22	L		
116.	CALIFORNIA INFRASTRUCTURE & EC 5%	B	Interest	L	T	Buy	01/26/22	L		
117.	CALIFORNIA MUN FIN AUTH REV 5% 10/01/2024	B	Interest	K	T					
118.	CALIFORNIA ST 5% 08/01/2029	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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Name of Person Reporting	Date of Report
BERZON, MARSHA S.	8/1/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
119. CALIFORNIA ST DEPT WTR RES CEN 5% 12/01/2028	B	Interest	K	T					
120. CALIFORNIA ST DEPT WTR RES CEN 5% 12/01/2030	B	Interest			Sold	08/04/22	K		
121. CALIFORNIA STATEWIDE CMNTYS DE 5% 04/01/38	C	Interest	L	T					
122. CAMPBELL CALIF UN HIGH SCH DIS 5% 08/01/28	B	Interest			Sold	07/27/22	K	A	
123. CARLSBAD CALIF UNI SCH DIST 5% 08/01/27	B	Interest	K	T					
124. CHABOT-LAS POSITAS CALIF CMNTY 5% 08/01/2030	B	Interest			Sold	09/23/22	K		
125. CONTRA COSTA CALIF CMNTY COLLE 5% 08/01/2035		None	M	T	Buy	09/16/22	M		
126. CUCAMONGA VY CALIF WTR DIST FI 5% 09/01/2022	A	Interest			Sold	07/27/22	K		
127. ENERGY NORTHWEST WASH ELEC REV 5%	A	Interest	L	T	Buy	10/06/22	L		
128. HAWAII CNTY HAWAII 5% 09/01/2030	A	Interest	L	T	Buy	06/24/22	L		
129. HILLSBOROUGH CALIF SCH DIST 0.862% 09/01/2023	A	Interest			Sold	02/02/22	L		
130. ILLINOIS FIN AUTH REV 4$ 12/15/2034	B	Interest			Sold	10/03/22	K		
131. LOS ANGELES CALIF DEPT APPTS R 4% 05/15/2035 05/15/2025	B	Interest	L	T	Buy	02/02/22	L		
132. LOS ANGELES CALIF DEPT WTR & P 5% 07/01/2032	B	Interest			Sold	07/15/22	K		
133. LOS ANGELES CITY CALIF MET TRA 5% 06/01/2037	A	Interest	L	T	Buy	09/26/22	L		
134. LOS ANGELES CNTY CALIF MET TRA 5% 07/01/2023	B	Interest			Sold	01/27/22	K	A	
135. MASSACHUSETTS BAY TRANSN AUTH 5% 07/01/2037	A	Interest	L	T	Buy	10/07/22	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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BERZON, MARSHA S.	8/1/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
136. MIAMI-DADE CNTY FLA 4% 07/01/2033	B	Interest			Sold	09/23/22	K		
137. MICHIGAN ST UNIV REVS 5% 08/15/2030	B	Interest			Sold	06/24/22	L		
138. MIDPENINSULA REGL OPEN SPACE D 5% 09/01/2029	B	Interest			Sold	09/23/22	K		
139. MILPITAS CALIF UNI SCH DIST 5% 08/01/2029	A	Interest			Buy	08/04/22	K		
140.					Sold	09/23/22	K		
141. MIRACOSTA CALIF CMNTY COLLEGE 5% 08/01/2037		None	K	T	Buy	10/20/22	K		
142. MORELAND CALIF SCH DIST 5% 08/01/2024	B	Interest	K	T					
143. NEW YORK N Y 5% 03/01/2032	B	Interest			Sold	10/03/22	K		
144. NEW YORK N Y CITY MUN WTR FIN 5% 06/15/2032	B	Interest			Sold	07/18/22	L		
145. NEW YORK N Y CITY TRANSITIONAL 5% 08/01/2029	B	Interest			Sold	07/21/22	L		
146. NEW YORK ST DORM AUTH REVS NON 5% 07/01/2035	A	Interest	L	T	Buy	09/26/22	L		
147. OHIO ST 5% 03/01/2033	A	Interest			Buy	07/18/22	L		
148.					Sold	09/23/22	L		
149. PETALUMA CALIF WASTEWATER REV 5% 05/01/2026	B	Interest	K	T					
150. RIVERSIDE CALIF REDEV AGY SUCC 5% 09/01/2028	A	Interest	K	T	Buy	06/15/22	K		
151. SACRAMENTO CALIF MUN UTIL DIST 5% 08/15/23	B	Interest	K	T					
152. SAN FRANCISCO CALIF CITY & CNT 0.843% 11/01/2025	A	Interest			Sold	09/16/22	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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153. SAN FRANCISCO CALIF CITY & CNT 5% 05/01/2022	A	Interest			Matured	05/01/22	K		
154. SAN FRANCISCO CALIF CITY & CNT 5% 06/15/2024	A	Interest			Sold	02/03/22	K		
155. SAN FRANCISCO CNTY CALIF TRANS 4% 02/01/2027	B	Interest			Sold	09/23/22	K		
156. SAN MATEO CNTY CALIF TRAN DIST 5% 06/01/2026	B	Interest	K	T					
157. SANTA ANA COLLEGE IMPT DIST NO 0.744% 08/01/2025	A	Interest			Sold	09/16/22	K		
158. SWEETWATER CALIF UN HIGH SCH D 5% 08/01/2036		None	M	T	Buy	09/29/22	M		
159. TEXAS WOMANS UNIV REV FING SYS 5% 07/01/2037		None	L	T	Buy	10/07/22	L		
160. TURLOCK CALIF IRR DIST REV 5% 01/01/2031		None	K	T	Buy	07/15/22	K		
161. UNIVERSITY CALIF REVS 5% 05/15/2027	B	Interest	K	T					
162. UNIVERSITY WIS HOSPS & CLINICS 5% 04/01/2030	B	Interest	K	T					
163. WESTERN MUN WTR DIST FACS AUTH 5% 10/01/2031	A	Interest	K	T	Buy	04/29/22	K		
164. WILMETTE ILL 5% 12/01/2028	A	Interest			Buy	07/21/22	L		
165.					Sold	09/23/22	K		
166. IRA(H)									
167. -SCHWAB CASH AND BANK SWEEP	A	Interest	J	T					
168. -DFA REAL ESTATE SECURITIES	A	Dividend			Sold	11/09/22	L	D	
169. -SCHWAB U.S. REIT ETF	A	Dividend	L	T	Buy	11/10/22	L		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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170.					Sold (part)	11/11/22	J	A	
171. -VANGUARD TOTAL BOND MKT INDEX ADMIRAL	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*1 O-I GLASS INC. WAS REPORTED IN 2021 AS A PARTIAL SALE; IT WAS ACTUALLY A COMPLETE SALE, AND THERE WAS NO REMAINING VALUE AT 12-31-2021.
*2 COLFAX CORPORATION (PRIOR YEAR HOLDING) WAS RENAMED AS ENOVIS CORPORATION ON APRIL 8, 2022.
*3 BECTON DICKINSON & CO SPUN OFF EMBECTA CORP AS A SEPARATE COMPANY ON APRIL 1,2022.
*4 ENOVIS (FORMERLY COLFAX) SPUN OFF ESAB CORP AS A SEPARATE COMPANY ON APRIL 5, 2022
*5 DFA TAX MANAGED US MARKETWIDE VALUE FUND BECAME DIMENSIONAL US MARKETWIDE VALUE ETF ON MAY 9, 2022.

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	8/1/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ MARSHA S. BERZON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544