AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
BERZON, MARSHA S.	U.S. CA NINTH CIRCUIT	11/10/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE - ACTIVE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
95 SEVENTH STREET P.O. BOX 1939393 SAN FRANCISCO, CA 94119-3939		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	ADJUNCT PROFESSOR	UNIVERSITY OF CALIFORNIA (HASTINGS)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	UNIV OF CALIFORNIA - HASTINGS - ADJUNCT PROFESSOR	$3,854.20
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	SELF-EMPLOYED ATTORNEY
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	11/10/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	BANK OF AMERICA ACCOUNTS	A	Interest	K	T					
2.	STERLING BANK & TRUST ACCOUNTS	C	Interest	N	T					
3.	BANK OF THE ORIENT ACCOUNT	C	Interest	N	T					
4.	CORNING INC - COMMON STOCK	D	Dividend	M	T					
5.	PEPSICO INC - COMMON STOCK	B	Dividend	L	T					
6.	BERKSHIRE HATHWAY - COMMON STOCK		None	L	T					
7.	FISERV INC - COMMON STOCK		None	L	T					
8.	QUALCOMM INC	A	Dividend	L	T					
9.	COGNIZANT TECH SOLU	A	Dividend	K	T					
10.	MEDTRONIC PLC	A	Dividend	K	T					
11.	NOVARTIS AG	A	Dividend	K	T					
12.	EXPEDIA INC		None	K	T					
13.	AT&T INC				T	Sold	09/03/21	J		
14.	BECTON DICKINSON&CO	A	Dividend	K	T					
15.	O-I GLASS INC		None	J	T	Sold (part)	09/03/21	J		
16.	COLFAX CORPORATION		None	K	T					
17.	BOOKING HOLDINGS INC		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. BLACKROCK INC	A	Dividend	N	T					
19. EATON CORP PLC	A	Dividend	L	T					
20. EMERSON ELECTRIC CO	A	Dividend	K	T					
21. EBAY INC	A	Dividend	K	T					
22. ALCON INC		None	J	T					
23. ABBVIE INC	A	Dividend	J	T					
24. ANHEUSER BUSCH INBEV SF		None			Sold	09/03/21	J		
25. CARRIER GLOBAL CORP	A	Dividend	J	T					
26. OTIS WORLDWIDE CORP	A	Dividend	J	T					
27. RAYTHEON TECHNOLOGIES CO	A	Dividend	K	T					
28. AGILENT TECHNOLOGIES CO	A	Dividend	K	T	Buy	09/03/21	K		
29. LOWES COMPANIES INC	A	Dividend	K	T	Buy	09/03/21	K		
30. LUCID GROUP INC		None	J	T	Buy	11/12/21	J		
31. ULTA BEAUTY INC		None	K	T	Buy	09/03/21	K		
32. WALT DISNEY CO		None	K	T	Buy	09/03/21	K		
33. ZEBRA TECHNOLOGIES		None	K	T	Buy	09/03/21	K		
34. RETIREMENT ACCOUNT (H)									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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3. Value Method Codes (See Column C2)
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35. -DFA U.S. SMALL CAP VALUE PORT	C	Dividend	K	T	Buy (add'l)	05/07/21	J		
36.					Sold (part)	05/12/21	K	D	
37.					Sold (part)	09/13/21	J	A	
38.					Sold (part)	11/12/21	J	B	
39. -VANGUARD SMALL-CAP GROWTH INDEX FUND (ADM)	A	Dividend	K	T	Buy (add'l)	05/07/21	J		
40.					Sold (part)	05/12/21	J	A	
41.					Sold (part)	09/13/21	J	A	
42.					Sold (part)	11/12/21	J	B	
43. -VANGUARD REIT INDEX FUND (ADM) (FORMERLY REIT INDEX FUND)	A	Dividend	J	T	Buy (add'l)	05/07/21	J		
44.					Sold (part)	05/12/21	J	A	
45.					Sold (part)	09/13/21	K	A	
46.					Sold (part)	11/12/21	J	A	
47. -VANGUARD 500 INDEX FUND (ADM)	B	Dividend	M	T	Buy (add'l)	05/07/21	J		
48.					Sold (part)	05/12/21	J	D	
49.					Sold (part)	09/13/21	J	C	
50.					Sold (part)	11/12/21	J	D	

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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51. -VANGUARD TOTAL INTL STOCK INDEX FUND (ADM)	C	Dividend	M	T	Buy (add'l)	05/07/21	J		
52.					Sold (part)	05/12/21	J	C	
53.					Sold (part)	09/13/21	J	B	
54.					Sold (part)	11/12/21	J	A	
55. -VANGUARD INTERMED.-TERM BOND INDEX FUND (ADM)	D	Dividend	N	T	Buy (add'l)	05/07/21	J		
56.					Buy (add'l)	05/12/21	K		
57.					Sold (part)	09/13/21	K	B	
58.					Buy (add'l)	11/12/21	J		
59. -VANGUARD SHORT-TERM BOND INDEX FUND (ADM)	D	Dividend	N	T	Buy (add'l)	05/07/21	J		
60.					Buy (add'l)	05/12/21	K		
61.					Sold (part)	09/13/21	K	A	
62.					Buy (add'l)	11/12/21	K		
63. -VANGUARD INFLATION-PROTECTED SECS (ADM)	D	Dividend	M	T	Buy (add'l)	05/07/21	J		
64.					Buy (add'l)	05/12/21	J		
65.					Buy (add'l)	09/13/21	J	A	
66.					Buy (add'l)	11/12/21	J	A	
67. -VANGUARD MID-CAP VALUE INDEX ADMIRAL	B	Dividend	L	T	Buy (add'l)	05/07/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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68.						Sold (part)	05/12/21	J	C	
69.						Sold (part)	09/13/21	J	A	
70.						Sold (part)	11/12/21	J	A	
71.	CAPITAL ACCOUNT IN ALTSHULER BERZON LLP		None	J	U					
72.	SCHWAB CASH AND BANK SWEEP	A	Interest	M	T					
73.	DFA EMERGING MARKETS CORE EQUITY	D	Dividend	N	T					
74.	DFA CA SHORT TERM MUNI BOND	A	Dividend		T	Sold	03/25/21	N	B	
75.	DFA INTL SMALL CAP VALUE	C	Dividend	M	T	Buy (add'l)	02/01/21	J		
76.	DFA INTERNATIONAL VALUE	D	Dividend	N	T	Buy (add'l)	02/01/21	J		
77.	DFA INTL SMALL COMPANY	D	Dividend	L	T					
78.	DFA REAL ESTATE SECURITIES	A	Dividend	J	T					
79.	DFA TAX MANAGED US MARKETWIDE VALUE	D	Dividend	N	T					
80.	DFA TAX-MGD US TARGETED VALUE*1	B	Dividend			Merged (with line 81)	06/11/21	N		
81.	DIMENSIONAL U.S. TARGETED VALUE ETF*1	D	Dividend	N	T	Open	06/11/21	N		
82.						Sold (part)	06/11/21	J	A	
83.	DFA U.S. LARGE CAP VALUE	E	Dividend	N	T					
84.	DFA U.S. SMALL CAP VALUE (FORMERLY PORTFOLIO)	D	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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85.	DFA US MICRO CAP	D	Dividend	L	T					
86.	DIMENSIONAL U.S. TARGETED VALUE ETF	D	Dividend	N	T	Sold	06/14/21	J	A	
87.	INVESCO QQQ TRUST	A	Dividend	M	T					
88.	ISHARES CORE MSCI EMERGING MARKETS ETF	B	Dividend			Buy	02/01/21	L		
89.						Sold	12/21/21	K		
90.	ISHARES CORE S&P 500 ETF	B	Dividend	L	T					
91.	PIMCO REAL RETURN (FORMERLY INSTITUTIONAL)FUND I	D	Dividend	M	T	Buy (add'l)	02/01/21	K		
92.	SPDR S&P 500 ETF	E	Dividend	P1	T					
93.	VANGUARD CA INTER TERM TAX EXEMPT ADM	D	Dividend	N	T	Buy (add'l)	02/01/21	M		
94.						Buy (add'l)	03/25/21	N		
95.						Sold (part)	12/21/21	M		
96.	VANGUARD INFLATION PROTECTED SECS ADM	E	Dividend	O	T	Buy (add'l)	02/01/21	M		
97.	VANGUARD INFORMATION TECHNOLOGY INDEX ETF	B	Dividend	N	T					
98.	VANGUARD INTERMEDIATE-TERM TAX EXEMPT ADM	D	Dividend	O	T	Buy (add'l)	02/01/21	M		
99.						Sold (part)	03/25/21	N		
100.	VANGUARD LTD TERM TAX EXEMPT ADM	E	Dividend	P1	T	Buy (add'l)	02/01/21	N		
101.						Buy (add'l)	03/25/21	N		

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102.					Sold (part)	12/21/21	O		
103. VANGUARD SHORT TERM TAX EXEMPT ADM	C	Dividend	P1	T	Buy (add'l)	03/25/21	M		
104.					Buy (add'l)	12/21/21	O		
105. VANGUARD SHORT TERM TREASURY ADM	A	Dividend		T	Sold	02/01/21	N	A	
106. ANAHEIM CALIF ELEM SCH DIST 4% 08/01/2028	B	Interest	K	T					
107. ANTIOCH CALIF PUB FING AUTH LE 5% 05/01/2023	B	Interest		T	Sold	12/13/21	K	A	
108. CALIFORNIA MUN FIN AUTH REV 5% 10/01/2024	B	Interest	K	T					
109. CALIFORNIA ST 5% 08/01/2029	B	Interest	K	T					
110. CALIFORNIA ST DEPT WTR RES CEN 5% 12/01/2028	B	Interest	K	T					
111. CALIFORNIA ST DEPT WTR RES CEN 5% 12/01/2030	B	Interest	L	T					
112. CALIFORNIA STATEWIDE CMNTYS DE 5% 04/01/38	A	Interest	L	T	Buy	12/10/21	L		
113. CAMPBELL CALIF UN HIGH SCH DIS 5% 08/01/28	B	Interest	K	T					
114. CARLSBAD CALIF UNI SCH DIST 5% 08/01/27	B	Interest	K	T					
115. CHABOT-LAS POSITAS CALIF CMNTY 5% 08/01/2030	B	Interest	L	T					
116. CUCAMONGA VY CALIF WTR DIST FI 5% 09/01/2022	B	Interest	K	T	Sold (part)	02/24/21	K	A	
117. HILLSBOROUGH CALIF SCH DIST 0.862% 09/01/2023	A	Interest	L	T					
118. ILLINOIS FIN AUTH REV 4$ 12/15/2034	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	11/10/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
119. LOS ANGELES CALIF DEPT WTR & P 5% 07/01/2032	B	Interest	K	T					
120. LOS ANGELES CALIF WASTEWTR SYS 5% 06/01/2024	B	Interest			Sold	12/13/21	K		
121. LOS ANGELES CNTY CALIF MET TRA 5% 07/01/2023	B	Interest	K	T					
122. MIAMI-DADE CNTY FLA 4% 07/01/2033		None	L	T	Buy	08/31/21			
123. MICHIGAN ST UNIV REVS 5% 08/15/2030	B	Interest	L	T					
124. MIDPENINSULA REGL OPEN SPACE D 5% 09/01/2029	B	Interest	K	T					
125. MORELAND CALIF SCH DIST 5% 08/01/2024	B	Interest	K	T					
126. NEW YORK N Y 5% 03/01/2032	B	Interest	L	T					
127. NEW YORK N Y CITY MUN WTR FIN 5% 06/15/2032	B	Interest	L	T	Buy	02/24/21	L		
128. NEW YORK N Y CITY TRANSITIONAL 5% 08/01/2029	B	Interest	L	T					
129. OLIVENHAIN MUN WTR DIST CALIF 5% 06/01/2023	B	Interest		T	Sold	09/01/21	K	B	
130. PETALUMA CALIF WASTEWATER REV 5% 05/01/2026	B	Interest	L	T					
131. SACRAMENTO CALIF MUN UTIL DIST 5% 08/15/23	B	Interest	K	T					
132. SAN FRANCISCO BAY AREA R 5% 11/1/2025	A	Interest	L	T					
133. SAN FRANCISCO CALIF CITY & CNT 5% 05/01/2022	A	Interest	K	T					
134. SAN FRANCISCO CALIF CITY & CNT 5% 06/15/2024	B	Interest	K	T					
135. SAN FRANCISCO CNTY CALIF TRANS 4% 02/01/2027	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
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3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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Name of Person Reporting	Date of Report
BERZON, MARSHA S.	11/10/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period: (1) Amount Code 1 (A-H)	B: (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period: (1) Value Code 2 (J-P)	C: (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period: (1) Type (e.g., buy, sell, redemption)	D: (2) Date mm/dd/yy	D: (3) Value Code 2 (J-P)	D: (4) Gain Code 1 (A-H)	
136. SAN FRANCISCO CALIF CITY & CTY 0.843% 11/01/2025	A	Interest	L	T					
137. SAN LUIS CALIF COASTAL UNI SCH 5% 08/01/2021	A	Interest		T	Sold	02/24/21	K	A	
138. SAN MATEO CNTY CALIF TRAN DIST 5% 06/01/2026	B	Interest	K	T					
139. SANTA ANA COLLEGE IMPT DIST NO 0.744% 08/01/2025	A	Interest	K	T					
140. UNIVERSITY CALIF REVS 5% 05/15/2027	B	Interest	K	T					
141. UNIVERSITY WIS HOSPS & CLINICS 5% 04/01/2030	B	Interest	L	T					
142. IRA (H)									
143. -DFA REAL ESTATE SECURITIES	C	Dividend	M	T	Sold (part)	09/03/21	J	A	
144. -VANGUARD TOTAL BOND MKT INDEX ADMIRAL*1	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*1 DFA TAX MANAGED U. S. TARGETED VALUE FUND WAS CONVERTED TO DIMENSIONAL U.S. TARGETED VALUE FUND ON 6/11/21. .

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	11/10/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ MARSHA S. BERZON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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