AO 10
Rev. 1/2021

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Bennett, Mark J. | U.S. Court of Appeals, Ninth Circuit | 05/12/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Circuit Judge - Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2022 **to** 12/31/2022 |
| **7. Chambers or Office Address** | | |
| Prince Kuhio Federal Building<br>300 Ala Moana Blvd.<br>Honolulu HI 96850 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions )*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Director | American Judicature Society |
| 2. | Member/Owner | Beecher Hill Properties, LLC (reportable assets are listed in Part VII) |
| 3. | Trustee | Trust #1 (no reportable assets) |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions )*

☐ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | 2008 | State of Hawaii (defined benefit pension plan) |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions )*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2022 | State of Hawaii (pension) | $22,521.00 |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE |
|---|---|---|
| 1. | 2022 | State of Hawaii (salary) |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | Conference of Western Attorneys General | 06/11/2022 - 06/16/2022 | Sun Valley, ID | Annual Meeting (speaker) | Transportation, meals, lodging |
| 2. | Cornell Law School | 10/27/2022 - 10/30/2022 | Ithaca, NY | Moot Court Competition Judge | Transportation, meals, lodging |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Bennett, Mark J.** | 05/12/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1. Indiivdual Assets (H) | | | | | | | | | |
| 2. Ally Bank (cash) | C | Interest | N | T | | | | | |
| 3. American Savings Bank (cash) (X) | | None | K | T | | | | | |
| 4. Bank of Hawaii (cash) (X) | | None | K | T | | | | | |
| 5. Hawaii State Credit Union (cash) | | None | K | T | | | | | |
| 6. United States Series I Savings Bonds | D | Interest | M | T | Buy (add'l) | 02/16/22 | J | | |
| 7. | | | | | Buy (add'l) | 02/17/22 | J | | |
| 8. Precious metals (gold, silver, and platinum, bullion, and coins) | | None | N | W | | | | | |
| 9. Marcus Goldman Sachs Bank (cash) | D | Interest | O | T | | | | | |
| 10. Synchrony Bank (cash) | B | Interest | M | T | | | | | |
| 11. Barclays Bank (cash) | A | Interest | J | T | | | | | |
| 12. Penfed Credit Union (cash) | B | Interest | M | T | Open | 08/15/22 | M | | |
| 13. Jackson National Life Insurance Fixed Annuity | D | Distribution | M | T | | | | | |
| 14. WCP Special Core Plus Fund II, LP | E | Distribution | L | T | Buy (add'l) | 10/04/22 | J | | |
| 15. WCP Real Estate Fund III, LP | | None | K | T | | | | | |
| 16. WCP Real Estate Fund IV, LP | | None | K | T | | | | | |
| 17. WCP Real Estate Fund V, LP | E | Distribution | M | T | Buy (add'l) | 06/23/22 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Bennett, Mark J.** | 05/12/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 18. WCP New Cold II, LP | E | Distribution | M | T | Buy (add'l) | 04/13/22 | J | | |
| 19. | | | | | Buy (add'l) | 08/29/22 | J | | |
| 20. WCP Homebuilder Inventory | B | Distribution | L | T | Buy (add'l) | 09/30/22 | J | | |
| 21. Private Mortgage Note #1 (debtor: Sylvia Vestey) | C | Interest | L | T | | | | | |
| 22. Private Mortgage Note #2 (debtor: Aster White) | A | Interest | J | T | | | | | |
| 23. Rental Property #1, Honolulu, Hawaii | E | Rent | N | W | | | | | |
| 24. Rental Property #2, Honolulu, Hawaii | | None | P1 | W | | | | | |
| 25. Rental Property #3, Honolulu, Hawaii | D | Rent | N | W | | | | | |
| 26. Rental Property #4, Honolulu, Hawaii | | None | O | W | | | | | |
| 27. Rental Property #5, Honolulu, Hawaii | E | Rent | P1 | W | | | | | |
| 28. Rental Property #6, Owego, New York | E | Rent | M | W | | | | | |
| 29. Skybridge Opp Zone Real Estate Inv Trust | | None | M | T | | | | | |
| 30. Skybridge Chime LP | | None | L | V | | | | | |
| 31. Skybridge Plaid LP | | None | M | V | | | | | |
| 32. Acccount #1 (H) | | | | | | | | | |
| 33. Fidelity Government Cash Reserves (FDRXX) (cash eq.) | A | Dividend | J | T | | | | | |
| 34. Fidelity SAI Inflation Focused Fund (FIFGX) | A | Dividend | J | T | | | | | |

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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| 35. | Strategic Advisers Alternatives Fund (FSLTX) | A | Dividend | J | T | Buy | 08/03/22 | J | | |
| 36. | | | | | | Buy (add'l) | 12/08/22 | J | | |
| 37. | Strategic Advisers Large Cap (FALCX) | C | Dividend | L | T | Buy (add'l) | 02/01/22 | J | | |
| 38. | | | | | | Sold (part) | 04/07/22 | J | A | |
| 39. | | | | | | Buy (add'l) | 05/11/22 | J | | |
| 40. | Strategic Advisers Emerging Markets (FSAMX) | A | Dividend | J | T | | | | | |
| 41. | Strategic Advisers Small-Mid Cap Fd (FSCFX) | A | Dividend | J | T | | | | | |
| 42. | Strategic Advisers International Fund (FILFX) | A | Dividend | K | T | Sold (part) | 06/08/22 | J | | |
| 43. | Strategic Advisers Short Duration Fund (FAUDX) | A | Dividend | J | T | Sold (part) | 05/11/22 | J | | |
| 44. | | | | | | Sold (part) | 10/11/22 | J | | |
| 45. | Strategic Advisers Income Opportunities (FPIOX) | A | Dividend | J | T | | | | | |
| 46. | Strategic Advisers Core Income Fund (FPCIX) | B | Dividend | L | T | Sold (part) | 02/01/22 | J | | |
| 47. | | | | | | Buy (add'l) | 04/07/22 | J | | |
| 48. | | | | | | Buy (add'l) | 06/08/22 | J | | |
| 49. | | | | | | Buy (add'l) | 07/21/22 | J | | |
| 50. | | | | | | Buy (add'l) | 08/03/22 | J | | |
| 51. | | | | | | Buy (add'l) | 11/04/22 | J | | |

| | | | | | | |
|---|---|---|---|---|---|---|
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| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| 52. Fidelity SAI Long Term Treasury Bond Index (FBLTX) | A | Dividend | | | Sold | 11/04/22 | J | | |
| 53. Account #2 (H) | | | | | | | | | |
| 54. Fidelity Government Money Market Fund (SPAXX) (cash eq.) | A | Dividend | J | T | Buy | 12/23/22 | J | | |
| 55. Thompson Bond Fund (THOPX) | B | Dividend | L | T | | | | | |
| 56. Bank Amer Corp Mtn (06051GGC7) | B | Interest | K | T | | | | | |
| 57. Morgan Stanley Mtn (61760LBZ7) | A | Interest | K | T | | | | | |
| 58. Account #3 (H) | | | | | | | | | |
| 59. Fidelity Government Cash Reserves (FDRXX) (cash eq) | A | Dividend | J | T | Sold (part) | 02/25/22 | J | | |
| 60. | | | | | Buy (add'l) | 03/01/22 | J | | |
| 61. | | | | | Buy (add'l) | 05/11/22 | J | | |
| 62. | | | | | Sold (part) | 05/13/22 | J | | |
| 63. | | | | | Sold (part) | 09/12/22 | J | | |
| 64. | | | | | Buy (add'l) | 09/15/22 | J | | |
| 65. | | | | | Buy (add'l) | 10/11/22 | J | | |
| 66. | | | | | Sold (part) | 11/11/22 | J | | |
| 67. | | | | | Buy (add'l) | 12/08/22 | J | | |
| 68. Fidelity SAI Inflation Focused Fund (FIFGX) | A | Dividend | J | T | Buy | 02/16/22 | J | | |

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| 69. | | | | | | Buy (add'l) | 03/07/22 | J | | |
| 70. | Strategic Advisers Alternatives Fund (FSLTX) | A | Dividend | J | T | Buy | 07/21/22 | J | | |
| 71. | | | | | | Buy (add'l) | 08/03/22 | J | | |
| 72. | | | | | | Buy (add'l) | 10/11/22 | J | | |
| 73. | | | | | | Buy (add'l) | 11/04/22 | J | | |
| 74. | | | | | | Buy (add'l) | 12/08/22 | J | | |
| 75. | Strategic Advisers Large Cap (FALCX) | D | Dividend | M | T | Buy (add'l) | 02/01/22 | J | | |
| 76. | | | | | | Sold (part) | 04/07/22 | J | A | |
| 77. | | | | | | Buy (add'l) | 05/11/22 | J | | |
| 78. | | | | | | Sold (part) | 06/08/22 | J | A | |
| 79. | | | | | | Sold (part) | 08/03/22 | J | | |
| 80. | | | | | | Buy (add'l) | 09/15/22 | J | | |
| 81. | Strategic Advisers Emerging Markets (FSAMX) | A | Dividend | K | T | Sold (part) | 04/07/22 | J | | |
| 82. | | | | | | Sold (part) | 06/08/22 | J | | |
| 83. | | | | | | Sold (part) | 12/08/22 | J | | |
| 84. | Strategic Advisers Small-Mid Cap Fd (FSCFX) | B | Dividend | K | T | Buy (add'l) | 02/01/22 | J | | |
| 85. | Strategic Advisers International Fund (FILFX) | B | Dividend | K | T | Buy (add'l) | 02/01/22 | J | | |

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| 86. | | | | | Sold (part) | 02/16/22 | J | | |
| 87. | | | | | Buy (add'l) | 03/07/22 | J | | |
| 88. | | | | | Sold (part) | 03/14/22 | J | | |
| 89. | | | | | Sold (part) | 04/07/22 | J | | |
| 90. | | | | | Sold (part) | 06/08/22 | J | | |
| 91. | | | | | Sold (part) | 07/21/22 | J | | |
| 92. | | | | | Sold (part) | 08/03/22 | J | A | |
| 93. | | | | | Sold (part) | 11/04/22 | J | | |
| 94. | | | | | Sold (part) | 12/08/22 | J | | |
| 95. Cohen & Steers Realty Shares (CSRSX) | A | Dividend | | | Sold (part) | 07/21/22 | J | A | |
| 96. | | | | | Sold (part) | 08/03/22 | J | A | |
| 97. | | | | | Sold | 09/15/22 | J | A | |
| 98. Strategic Advisers Short Duration Fund (FAUDX) | A | Dividend | K | T | Sold (part) | 05/11/22 | J | | |
| 99. | | | | | Sold (part) | 07/21/22 | J | | |
| 100. | | | | | Sold (part) | 08/03/22 | J | | |
| 101. | | | | | Sold (part) | 09/15/22 | J | | |
| 102. | | | | | Sold (part) | 10/11/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Bennett, Mark J.** | 05/12/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| | A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| | Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 103. | | | | | | Sold (part) | 12/08/22 | J | | |
| 104. | Strategic Advisers Income Opportunities (FPIOX) | A | Dividend | J | T | Sold (part) | 03/14/22 | J | | |
| 105. | | | | | | Sold (part) | 04/07/22 | J | A | |
| 106. | | | | | | Sold (part) | 05/11/22 | J | | |
| 107. | Strategic Advisers Core Income Fund (FPCIX) | D | Dividend | M | T | Sold (part) | 02/01/22 | J | | |
| 108. | | | | | | Buy (add'l) | 02/16/22 | J | | |
| 109. | | | | | | Sold (part) | 02/28/22 | J | | |
| 110. | | | | | | Sold (part) | 03/01/22 | J | | |
| 111. | | | | | | Sold (part) | 03/07/22 | J | | |
| 112. | | | | | | Buy (add'l) | 03/14/22 | J | | |
| 113. | | | | | | Buy (add'l) | 04/07/22 | J | | |
| 114. | | | | | | Sold (part) | 05/11/22 | J | | |
| 115. | | | | | | Buy (add'l) | 06/08/22 | J | | |
| 116. | | | | | | Buy (add'l) | 07/21/22 | J | | |
| 117. | | | | | | Buy (add'l) | 08/03/22 | J | | |
| 118. | | | | | | Buy (add'l) | 09/15/22 | J | | |
| 119. | | | | | | Sold (part) | 10/11/22 | J | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Bennett, Mark J.** | 05/12/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 120. | | | | | Buy (add'l) | 11/04/22 | J | | |
| 121. | | | | | Buy (add'l) | 12/08/22 | J | | |
| 122. Fidelity SAI US Treasury Bond (FUTBX) | A | Dividend | | | Buy | 05/11/22 | J | | |
| 123. | | | | | Sold (part) | 08/03/22 | J | A | |
| 124. | | | | | Sold | 09/15/22 | J | | |
| 125. Fidelity SAI Long Term Treasury Bond (FBLTX) | A | Dividend | | | Sold (part) | 06/08/22 | J | | |
| 126. | | | | | Buy (add'l) | 08/03/22 | J | | |
| 127. | | | | | Buy (add'l) | 09/15/22 | J | | |
| 128. | | | | | Sold | 11/04/22 | J | | |
| 129. Account #4 (H) | | | | | | | | | |
| 130. Fidelity FDIC Insured Deposit (cash) | A | Interest | | | Closed | 12/23/22 | J | | |
| 131. Fidelity Government Cash Reserves (FDRXX) (cash eq) | A | Dividend | J | T | Buy | 12/23/22 | J | | |
| 132. Fidelity Total Bond (FTBFX) | B | Dividend | K | T | | | | | |
| 133. American Century One Choice Inretir Inv (ARTOX) | D | Dividend | M | T | | | | | |
| 134. Pimco Low Duration Class A (PTLAX) | A | Dividend | K | T | | | | | |
| 135. T Rowe Price Glbl Multi Sector Bond Fd (PRSNX) | B | Dividend | K | T | | | | | |
| 136. Touchstone Credit Oppor II Fd Cl A (TMARX) | A | Dividend | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Bennett, Mark J.** | 05/12/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 137. American Finl Group Inc Ohio Note (025932AK0) | A | Interest | | | Redeemed | 06/03/22 | K | B | |
| 138. Goldman Sachs Group Inc Note (38141GWB6) | A | Interest | K | T | | | | | |
| 139. Ebay Inc Note Call Make Whole (278642AU7) | B | Interest | K | T | | | | | |
| 140. Bank Amer Corp Mtn (06051GGC7) | B | Interest | K | T | | | | | |
| 141. JPMorgan Chase & Co Note (46625HRX0) | B | Interest | K | T | | | | | |
| 142. Equitable Hldgs Inc Note (054561AJ4) | B | Interest | K | T | | | | | |
| 143. Dupont De Nemours Inc (26078JAD2) | B | Interest | K | T | | | | | |
| 144. JPMorgan Chase Bank NA CD (cash) | A | Interest | K | T | Open | 06/14/22 | K | | |
| 145. Account #5 (H) | | | | | | | | | |
| 146. Fidelity Govt Cash Reserves (FDRXX) (cash eq.) | A | Dividend | J | T | | | | | |
| 147. Strategic Advisers Large Cap (FALCX) | B | Dividend | K | T | | | | | |
| 148. Strategic Advisers Emerging Markets (FSAMX) | A | Dividend | J | T | | | | | |
| 149. Strategic Advisers Small-Mid Cap Fd (FSCFX) | A | Dividend | J | T | | | | | |
| 150. Strategic Advisers International Fund (FILFX) | A | Dividend | J | T | | | | | |
| 151. Strategic Advisers Core Income Fund (FPCIX) | A | Dividend | K | T | Buy (add'l) | 04/08/22 | J | | |
| 152. | | | | | Buy (add'l) | 06/09/22 | J | | |
| 153. Account #6 (H) | | | | | | | | | |

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Bennett, Mark J.** | 05/12/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 154. Thompson Bond Fund (THOPX) | A | Dividend | K | T | | | | | |
| 155. Account #7 (H) | | | | | | | | | |
| 156. Prudential Stable Value Fund | | None | M | T | | | | | |
| 157. Black Rock TRB Fund (MAHQX) | | None | K | T | | | | | |
| 158. Black Rock US Large Cap Fund (BRGNX) | | None | L | T | | | | | |
| 159. Account #8 (H) | | | | | | | | | |
| 160. Prudential Stable Value Fund | | None | O | T | Buy (add'l) | 01/05/22 | J | | |
| 161. | | | | | Buy (add'l) | 01/20/22 | J | | |
| 162. | | | | | Buy (add'l) | 02/04/22 | J | | |
| 163. | | | | | Buy (add'l) | 02/18/22 | J | | |
| 164. | | | | | Buy (add'l) | 03/04/22 | J | | |
| 165. | | | | | Buy (add'l) | 03/18/22 | J | | |
| 166. | | | | | Buy (add'l) | 04/05/22 | J | | |
| 167. | | | | | Buy (add'l) | 04/20/22 | J | | |
| 168. | | | | | Buy (add'l) | 05/05/22 | J | | |
| 169. | | | | | Buy (add'l) | 05/20/22 | J | | |
| 170. | | | | | Buy (add'l) | 06/03/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Bennett, Mark J.** | 05/12/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 171. | | | | | Buy (add'l) | 06/21/22 | J | | |
| 172. | | | | | Buy (add'l) | 07/05/22 | J | | |
| 173. | | | | | Buy (add'l) | 07/20/22 | J | | |
| 174. | | | | | Buy (add'l) | 08/05/22 | J | | |
| 175. | | | | | Buy (add'l) | 08/18/22 | J | | |
| 176. | | | | | Buy (add'l) | 09/02/22 | J | | |
| 177. | | | | | Buy (add'l) | 09/20/22 | J | | |
| 178. Black Rock TRB Fund (MAHQX) | | None | K | T | | | | | |
| 179. Black Rock US Large Cap Fund (BRGNX) | | None | N | T | | | | | |
| 180. Account #9 (H) | | | | | | | | | |
| 181. Fidelity Treasury Money Market Fund (FZFXX) (cash eq.) | A | Dividend | | | Buy | 12/23/22 | J | | |
| 182. | | | | | Sold | 12/28/22 | J | | |
| 183. Fidelity Advisor Growth Oppty Cl I (FAGCX) | | None | K | T | | | | | |
| 184. Fidelity 500 Index Fund (FXAIX) | A | Dividend | L | T | | | | | |
| 185. Fidelity Low Priced Stock (FLPSX) | B | Dividend | K | T | | | | | |
| 186. Account #10 (H) | | | | | | | | | |
| 187. Fidelity Treasury Money Market Fund (FZFXX) (cash eq.) | A | Dividend | | | Buy | 12/28/22 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Bennett, Mark J.** | 05/12/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 188. | | | | | Sold | 12/28/22 | J | | |
| 189. Fidelity Intl Cap Appreciation Fund (FIVFX) | A | Dividend | K | T | | | | | |
| 190. Fidelity Growth Company (FDGRX) | A | Dividend | J | T | | | | | |
| 191. Fidelity Blue Chip Growth (FBGRX) | A | Dividend | J | T | | | | | |
| 192. American Beacon Large Cap Investor (AAGPX) | B | Dividend | J | T | | | | | |
| 193. Diamond Hill Small Cap Class A (DHSCX) | B | Dividend | J | T | | | | | |
| 194. Harbor Cap App Inst (HACAX) | | None | K | T | | | | | |
| 195. Oakmark Intl Investor Cl (OAKIX) | A | Dividend | J | T | | | | | |
| 196. Janus Henderson Enterprise T (JAENX) | B | Dividend | K | T | | | | | |
| 197. Lazard Emerging Mkts Equity (LZOEX) | A | Dividend | J | T | | | | | |
| 198. Morgan Sta Inst Inc Intl Equity Cl 1 (MSIQX) | A | Dividend | J | T | | | | | |
| 199. Morgan Stanley Growth Portfolio A (MSEGX) | A | Dividend | | | Sold | 12/28/22 | J | | |
| 200. T Rowe Price Mid Cap Value Adv (TAMVX) | B | Dividend | K | T | | | | | |
| 201. Transamerica Intl Equity Cl I (TSWIX) | A | Dividend | J | T | | | | | |
| 202. Allspring Special Mid Cap Value Admin (WFMDX) | A | Dividend | J | T | | | | | |
| 203. Fidelity Tax-Free Bond (FTABX) | B | Dividend | K | T | | | | | |
| 204. Delaware Tax Free USA Class A (DMTFX) | A | Dividend | J | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Bennett, Mark J.** | 05/12/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 205. DWS Managed Muni Bond Fd S (SCMBX) | C | Dividend | L | T | | | | | |
| 206. T Rowe Price Tax Free High Yield (PRFHX) | A | Dividend | J | T | | | | | |
| 207. Flexshares Trust Morningstar Global Upstream Nat Res (GUNR) | A | Dividend | J | T | | | | | |
| 208. Ishares Russell 1000 Value ETF (IWD) | B | Dividend | L | T | | | | | |
| 209. Ishares Inc Core MSCI Emerging Mkts (IEMG) | A | Dividend | J | T | | | | | |
| 210. Select Sector SPDR Trust Tr Financial (XLF) | A | Dividend | J | T | | | | | |
| 211. Vanguard Financials ETF (VFH) | A | Dividend | J | T | | | | | |
| 212. Vanguard Index FDS Vanguard Growth (VUG) | A | Dividend | J | T | | | | | |
| 213. Ishares TR US Treas BD ETF (GOVT) | A | Dividend | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Bennett, Mark J.** | 05/12/2023 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Parenthetical numbers in Part VII are CUSIP numbers.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Value method V reflects the amount of the original investment. No clearer valueation method is available.

| Name of Person Reporting | Date of Report |
|---|---|
| **Bennett, Mark J.** | 05/12/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Mark J. Bennett**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544