AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bennett, Mark J.	U.S. Court of Appeals, Ninth Circuit	03/29/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Prince Kuhio Federal Building 300 Ala Moana Blvd. Honolulu HI 96850		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	American Judicature Society
2.	Member/Owner	Beecher Hill Properties, LLC (reportable assets are included in Part VII)
3.	Trustee	Trust #1 (no reportable assets)
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2008	State of Hawaii (defined benefit pension plan)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	State of Hawaii (pension)	$22,084.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	State of Hawaii (salary)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Conference of Western Attorneys General	6/14/21 - 6/18/21	Maui, HI	Speaker	Transportation, Meals, Hotel
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bennett, Mark J.	03/29/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Indiivdual Assets (H)									
2. Ally Bank (cash)	C	Interest	N	T					
3. Hawaii State Credit Union (cash)		None	K	T					
4. United States Series I Savings Bonds	C	Interest	M	T					
5. Precious metals (gold, silver, and platinum, bullion, and coins)		None	N	W					
6. Marcus Goldman Sachs Bank (cash)	C	Interest	O	T					
7. Citibank (cash)		None	J	T					
8. Synchrony Bank (cash)	B	Interest	M	T					
9. Jackson National Life Insurance Fixed Annuity		None	M	T					
10. WCP Special Core Plus Fund II, LP	E	Distribution	M	T	Buy (add'l)	01/26/21	J		
11.					Buy (add'l)	05/13/21	J		
12.					Buy (add'l)	08/09/21	J		
13.					Buy (add'l)	10/05/21	J		
14.					Buy (add'l)	12/08/21	J		
15. WCP Real Estate Fund III, LP	D	Distribution	K	T					
16. WCP Real Estate Fund IV, LP	D	Distribution	M	T					
17. WCP Real Estate Fund V, LP	D	Distribution	L	T	Buy	03/03/21	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18.					Buy (add'l)	04/06/21	J		
19.					Buy (add'l)	04/13/21	K		
20.					Buy (add'l)	05/13/21	J		
21.					Buy (add'l)	06/11/21	J		
22.					Buy (add'l)	10/05/21	J		
23.					Buy (add'l)	12/30/21	J		
24. WCP Newcold II, LP	D	Distribution	M	T	Buy	05/03/21	L		
25.					Buy (add'l)	05/18/21	J		
26.					Buy (add'l)	11/17/21	J		
27. WCP Homebuilder Inventory		None	K	T	Buy	07/13/21	K		
28.					Buy (add'l)	12/03/21	J		
29. Private Mortgage, Binghamton, NY #1	D	Interest	L	T					
30. Private Mortgage, Binghamton, NY #2	A	Interest	J	T					
31. Rental Property #1, Honolulu, Hawaii	E	Rent	N	W					
32. Rental Property #2, Honolulu, Hawaii		None	O	W					
33. Rental Property #3, Honolulu, Hawaii	E	Rent	N	W					
34. Rental Property #4, Honolulu, Hawaii	D	Rent	O	W					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35. Rental Property #5, Honolulu, Hawaii	E	Rent	O	W					
36. Rental Property #6, Owego, New York	E	Rent	M	W					
37. Skybridge Opp Zone Real Estate Inv Trust		None	M	T					
38. Skybridge Chime LP		None	M	T	Buy	01/29/21	L		
39. Skybridge Plaid LP		None	M	T	Buy	07/08/21	M		
40. Acccount #1 (H)									
41. Fidelity SAI Inflation Focused Fund (FIFGX)	A	Dividend	J	T					
42. Strategic Advisers Large Cap (FALCX)	D	Dividend	L	T	Sold (part)	01/21/21	J	A	
43.					Sold (part)	04/21/21	J	A	
44.					Sold (part)	05/26/21	J	A	
45.					Sold (part)	07/28/21	J	A	
46.					Sold (part)	11/15/21	J	B	
47. Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	J	T					
48. Strategic Advisers Small-Mid Cap Fd (FSCFX)	B	Dividend	J	T					
49. Strategic Advisers International Fund (FILFX)	B	Dividend	K	T	Sold (part)	02/23/21	J	A	
50.					Buy (add'l)	06/23/21	J		
51.					Buy (add'l)	07/28/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.	Cohen & Steers Realty Shares (CSRSX)	A	Dividend	J	T					
53.	Strategic Advisers Short Duration Fund (FAUDX)	A	Dividend	J	T	Buy (add'l)	02/23/21	J		
54.	Strategic Advisers Income Opportunities (FPIOX)	A	Dividend	J	T					
55.	Strategic Advisers Core Income Fund (FPCIX)	B	Dividend	L	T	Buy (add'l)	01/21/21	J		
56.						Buy (add'l)	04/21/21	J		
57.						Buy (add'l)	07/28/21	J		
58.						Buy (add'l)	09/09/21	J		
59.						Buy (add'l)	11/15/21	J		
60.	Fidelity SAI US Treasury Bond (FUTBX)	A	Dividend			Buy	02/23/21	J		
61.						Sold	10/06/21	J		
62.	Fidelity SAI Long Term Treasury Bond Index (FBLTX)	A	Dividend	J	T	Buy	10/06/21	J		
63.	Account #2 (H)									
64.	Thompson Bond Fund (THOPX)	B	Dividend	L	T					
65.	Bank Amer Corp Mtn (06051GGC7)	B	Interest	K	T					
66.	Morgan Stanley Mtn (61760LBZ7)	B	Interest	K	T					
67.	Account #3 (H)									
68.	Fidelity SAI Inflation Focused Fd (FIFGX)	A	Dividend			Buy	01/21/21	J		

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69.						Buy (add'l)	02/23/21	J		
70.						Buy (add'l)	04/21/21	J		
71.						Sold (part)	07/28/21	J	A	
72.						Sold (part)	09/09/21	J		
73.						Sold	10/06/21	J	A	
74.	Strategic Advisers Large Cap (FALCX)	E	Dividend	M	T	Sold (part)	01/21/21	J	A	
75.						Sold (part)	02/23/21	J	A	
76.						Sold (part)	04/21/21	J	B	
77.						Sold (part)	05/26/21	J	B	
78.						Sold (part)	06/23/21	J	A	
79.						Sold (part)	07/28/21	J	B	
80.						Buy (add'l)	10/06/21	J		
81.						Sold (part)	11/15/21	J	C	
82.	Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	K	T	Sold (part)	02/23/21	J	A	
83.						Buy (add'l)	04/21/21	J		
84.						Buy (add'l)	07/28/21	J		
85.	Strategic Advisers Small-Mid Cap Fd (FSCFX)	C	Dividend	K	T	Sold (part)	01/21/21	J	A	

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86.					Sold (part)	11/15/21	J	A	
87.					Buy (add'l)	12/13/21	J		
88. Strategic Advisers International Fund (FILFX)	D	Dividend	L	T	Sold (part)	02/23/21	J	A	
89.					Sold (part)	04/21/21	J	A	
90.					Buy (add'l)	05/26/21	J		
91.					Buy (add'l)	06/23/21	J		
92.					Buy (add'l)	07/28/21	J		
93.					Sold (part)	09/09/21	J	A	
94.					Sold (part)	11/15/21	J	A	
95.					Buy (add'l)	12/13/21	J		
96. AQR Managed Futures Fund Class N (AQMNX)		None			Sold	01/21/21	J	A	
97. Cohen & Steers Realty Shares (CSRSX)	A	Dividend	J	T					
98. Strategic Advisers Short Duration Fund (FAUDX)	A	Dividend	K	T	Buy (add'l)	02/23/21	J		
99.					Buy (add'l)	11/15/21	J		
100. Strategic Advisers Income Opportunities (FPIOX)	A	Dividend	J	T	Sold (part)	07/28/21	J	A	
101.					Sold (part)	09/09/21	J	A	
102.					Sold (part)	10/06/21	J	A	

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103.	Strategic Advisers Core Income Fund (FPCIX)	D	Dividend	M	T	Buy (add'l)	01/21/21	J		
104.						Buy (add'l)	02/23/21	J		
105.						Buy (add'l)	04/21/21	J		
106.						Buy (add'l)	05/26/21	J		
107.						Buy (add'l)	07/28/21	J		
108.						Buy (add'l)	09/09/21	J		
109.						Sold (part)	10/06/21	J		
110.						Buy (add'l)	11/15/21	J		
111.						Sold (part)	12/13/21	J		
112.	Fidelity SAI US Treasury Bond (FUTBX)	A	Dividend			Buy	02/23/21	J		
113.						Sold	10/06/21	J		
114.	Fidelity SAI Long Term Treasury Bond (FBLTX)	A	Dividend	J	T	Buy	10/06/21	J		
115.	Eaton Vance GL Macro Absolute Rtrn Advt A (EGRAX)	A	Dividend	J	T					
116.	Account #4 (H)									
117.	Fidelity Core Account (cash)	A	Interest	J	T					
118.	Fidelity Total Bond (FTBFX)	B	Dividend	L	T					
119.	American Century One Choice Inretir Inv (ARTOX)	E	Dividend	M	T					

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2 Value Codes (See Columns C1 and D3)
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U =Book Value V =Other W =Estimated

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Bennett, Mark J.	03/29/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pimco Low Duration Class A (PTLAX)	A	Dividend	K	T					
121. T Rowe Price Glbl Multi Sector Bond Fd (PRSNX)	B	Dividend	L	T					
122. Touchstone Credit Oppor II Fd Cl A (TMARX)	A	Dividend	J	T					
123. Amerian Finl Group Inc Ohio Note (025932AK0)	A	Interest	K	T					
124. Goldman Sachs Group Inc Note (38141GWB6)	B	Interest	K	T					
125. Ebay Inc Note Call Make Whole (278642AU7)	B	Interest	K	T					
126. Bank Amer Corp Mtn (06051GGC7)	B	Interest	L	T					
127. JPMorgan Chase & Co Note (46625HRX0)	B	Interest	K	T					
128. AXA Equitable Hldgs Inc Note (054561AJ4)	B	Interest	K	T					
129. Dupont De Nemours Inc (26078JAD2)	B	Interest	K	T					
130. Goldman Sachs BK USA CD 1.7% (38149MMT7)	A	Interest			Redeemed	01/07/21	J		
131. Account #5 (H)									
132. Strategic Advisers Large Cap (FALCX)	A	Dividend	K	T					
133. Strategic Advisers Emerging Markets (FSAMX)	A	Dividend	J	T					
134. Strategic Advisers Small-Mid Cap Fd (FSCFX)	A	Dividend	J	T					
135. Strategic Advisers International Fund (FILFX)	A	Dividend	J	T					
136. Cohen & Steers Realty Shares (CSRSX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Bennett, Mark J.	03/29/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Strategic Advisers Core Income Fund (FPCIX)	A	Dividend	K	T					
138. Strategic Advisers Income Opportunities (FPIOX)	A	Dividend	K	T					
139. Account #6 (H)									
140. Thompson Bond Fund (THOPX)	A	Dividend	K	T					
141. Account #7 (H)									
142. Prudential Stable Value Fund		None	M	T					
143. Black Rock TRB Fund (MAHQX)		None	K	T					
144. Black Rock US Large Cap Fund (BRGNX)		None	M	T					
145. Account #8 (H)									
146. Prudential Stable Value Fund		None	O	T					
147. Black Rock TRB Fund (MAHQX)		None	K	T					
148. Black Rock US Large Cap Fund (BRGNX)		None	N	T					
149. Account #9 (H)									
150. Fidelity Advisor Growth Oppty Cl I (FAGCX)	D	Dividend	L	T					
151. Fidelity 500 Index Fund (FXAIX)	A	Dividend	L	T					
152. Fidelity Low Priced Stock (FLPSX)	C	Dividend	K	T					
153. Account #10 (H)									

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
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3 Value Method Codes (See Column C2)
Q =Appraisal
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Name of Person Reporting	Date of Report
Bennett, Mark J.	03/29/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Fidelity Intl Cap Appreciation Fund (FIVFX)	C	Dividend	K	T					
155. Fidelity Emerging Markets (FEMKX)	A	Dividend	J	T					
156. Fidelity Growth Company (FDGRX)	A	Dividend	J	T					
157. Fidelity Blue Chip Growth (FBGRX)	A	Dividend	J	T					
158. American Beacon Large Cap Investor (AAGPX)	B	Dividend	J	T					
159. Diamond Hill Small Cap Class A (DHSCX)	B	Dividend	J	T					
160. Harbor Cap App Inst (HACAX)	D	Dividend	K	T					
161. Oakmark Intl Investor Cl (OAKIX)	A	Dividend	J	T					
162. Janus Henderson Enterprise T (JAENX)	C	Dividend	K	T					
163. Lazard Emerging Mkts Equity (LZOEX)	A	Dividend	K	T					
164. Morgan Sta Inst Inc Intl Equity Cl 1 (MSIQX)	B	Dividend	K	T					
165. Morgan Stanley Growth Portfolio A (MSEGX)	C	Dividend	J	T					
166. T Rowe Price Mid Cap Value Adv (TAMVX)	B	Dividend	K	T					
167. Transamerica Intl Equity Cl I (TSWIX)	A	Dividend	J	T					
168. Allspring Special Mid Cap Value Admin (WFMDX)	A	Dividend	J	T					
169. Fidelity Tax-Free Bond (FTABX)	B	Dividend	K	T					
170. Delaware Tax Free USA Class A (DMTFX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bennett, Mark J.	03/29/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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171. DWS Managed Muni Bond Fd S (SCMBX)	C	Dividend	L	T					
172. T Rowe Price Tax Free High Yield (PRFHX)	A	Dividend	J	T					
173. Flexshares Trust Morningstar Global Upstream Nat Res (GUNR)	A	Dividend	J	T					
174. Ishares Russell 1000 Value ETF (IWD)	B	Dividend	L	T					
175. Ishares Inc Core MSCI Emerging Mkts (IEMG)	A	Dividend	J	T					
176. Select Sector SPDR Trust Tr Financial (XLF)	A	Dividend	J	T					
177. Vanguard Financials ETF (VFH)	A	Dividend	J	T					
178. Vanguard Index FDS Vanguard Growth (VUG)	A	Dividend	K	T					
179. Ishares TR US Treas BD ETF (GOVT)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Parenthetical numbers in Part VII are CUSIP numbers.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, Private Mortgage, Binghamton, NY #1: the debtor is Sylvia Vestey. Private Mortgage, Binghamton, NY #2: the debtor is Aster White.

Name of Person Reporting	Date of Report
Bennett, Mark J.	03/29/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mark J. Bennett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544