AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bea, Carlos T.	U.S. Court of Appeals for the Ninth Circuit	05/14/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Court of Appeals for the Ninth Circuit 95 Seventh Street San Francisco, CA 94103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Managing member	[redacted]
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1/23/1990	Judges Retirement Systems, State of California (PERS)
2.	1/23/1990	City and County of San Francisco Deferred Compensation Plan (Aetna)
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	San Francisco Judges' Retirement (pension)	$119,169.84
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	The Claremont Institute	September 17-19, 2021	Newport Beach, CA	Receive 2021 Ronald Reagan Jurisprudence Award	Transportation, meals, lodging
2.	Federalist Society	November 10-14, 2021	Washington, DC	Panelist, National Lawyers Convention	Transportation, meals, lodging
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Banco Santander (cash)	A	Interest	J	T					
3. [redacted]	G	Distribution	O	V					
4. Trees (Spain)		None	N	W					
5. Account #1 (H)									
6. UBS Money Fund (cash eq.)	A	Int./Div.	L	T					
7. Adobe Systems (ADBE)		None	L	T	Buy (add'l)	02/04/21	K		
8.					Sold (part)	08/11/21	J	D	
9. Advance Auto Parts Inc (AAP)	A	Dividend	K	T	Buy (add'l)	02/04/21	J		
10.					Sold (part)	08/11/21	J	B	
11. Akamai Tech (AKAM)		None			Sold (part)	01/28/21	J	A	
12.					Buy (add'l)	02/04/21	J		
13.					Sold (part)	08/31/21	J	C	
14.					Sold (part)	09/13/21	J	A	
15.					Sold (part)	09/17/21	J	B	
16.					Sold (part)	09/23/21	J	B	
17.					Sold (part)	10/08/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	10/14/21	J	B	
19.					Sold (part)	10/19/21	J	B	
20.					Sold (part)	10/22/21	J	B	
21.					Sold (part)	10/27/21	J	B	
22.					Sold	11/01/21	J	B	
23. Alcon Inc CHF (ALC)	A	Dividend	K	T	Buy (add'l)	05/07/21	J		
24.					Buy (add'l)	05/17/21	J		
25. Alexion Phar (ALXN)		None			Sold (part)	01/20/21	J	B	
26.					Sold (part)	02/03/21	J	A	
27.					Sold (part)	02/23/21	J	A	
28.					Sold (part)	03/03/21	J	A	
29.					Sold (part)	03/04/21	J	A	
30.					Sold (part)	03/08/21	J	A	
31.					Sold	03/09/21	J	A	
32. Alibaba Group Hldg (BABA)		None	K	T	Buy (add'l)	02/04/21	K		
33.					Buy (add'l)	06/18/21	J		
34.					Buy (add'l)	06/24/21	J		

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35. Amazon.com (AMZN)		None	M	T	Buy (add'l)	02/04/21	K		
36.					Buy (add'l)	04/14/21	J		
37.					Buy (add'l)	08/26/21	J		
38. Amgen Inc. (AMGN)	A	Dividend			Buy (add'l)	02/04/21	K		
39.					Sold (part)	06/30/21	J	A	
40.					Sold (part)	07/15/21	J	A	
41.					Sold (part)	07/19/21	J	A	
42.					Sold (part)	07/28/21	J	A	
43.					Sold (part)	08/09/21	J	A	
44.					Sold (part)	08/10/21	J	A	
45.					Sold (part)	08/12/21	J	A	
46.					Sold (part)	08/16/21	J	A	
47.					Sold (part)	08/18/21	J	A	
48.					Sold (part)	08/19/21	J	A	
49.					Sold	08/20/21	J	A	
50. Anheuser Busch (BUD)	A	Dividend			Buy (add'l)	02/04/21	J		
51.					Sold (part)	10/06/21	J	D	

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52.					Sold (part)	10/07/21	J	C	
53.					Sold (part)	10/13/21	J	B	
54.					Sold (part)	10/19/21	J	A	
55.					Sold	10/20/21	J	A	
56. Apple Inc (AAPL)	A	Dividend	M	T	Sold (part)	01/29/21	J	D	
57.					Buy (add'l)	02/04/21	K		
58.					Sold (part)	08/11/21	J	D	
59.					Sold (part)	10/14/21	J	C	
60.					Sold (part)	11/05/21	J	C	
61. Aptiv PLC (APTV)		None	K	T	Buy (add'l)	02/04/21	J		
62.					Buy (add'l)	05/07/21	J		
63. ASML Holding NV Spon Adr (ASML)	A	Dividend	K	T					
64. Atlassian Corp PLC Cl A (TEAM)		None	L	T	Buy (add'l)	01/07/21	J		
65.					Buy (add'l)	01/27/21	J		
66.					Buy (add'l)	02/08/21	J		
67.					Buy (add'l)	03/04/21	J		
68.					Buy (add'l)	03/09/21	J		

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69.					Buy (add'l)	04/13/21	J		
70. Biomarin Pharmaceutical Inc (BMRN)		None	K	T					
71. Comcast (CMCSA)	A	Dividend			Sold (part)	01/05/21	J	A	
72.					Sold (part)	01/14/21	J	A	
73.					Buy (add'l)	02/04/21	J		
74.					Sold (part)	04/13/21	J	B	
75.					Sold (part)	04/14/21	J	B	
76.					Sold (part)	04/19/21	J	B	
77.					Sold (part)	04/21/21	J	B	
78.					Sold	04/23/21	J	A	
79. Costco Whoesale (COST)	A	Dividend			Sold (part)	01/19/21	J	C	
80.					Sold (part)	01/22/21	J	B	
81.					Sold (part)	01/29/21	J	A	
82.					Buy (add'l)	02/04/21	J		
83.					Sold (part)	02/05/21	J	A	
84.					Sold	04/13/21	K	D	
85. Dexcom INC (DXCM)		None	J	T	Buy	05/24/21	K		

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86.					Buy (add'l)	06/10/21	J		
87.					Sold (part)	08/11/21	J	B	
88. Eaton Corp PLC (ETN)	A	Dividend	K	T	Buy	06/23/21	J		
89.					Buy (add'l)	06/30/21	J		
90.					Buy (add'l)	07/01/21	J		
91.					Buy (add'l)	07/09/21	J		
92.					Buy (add'l)	07/13/21	J		
93.					Buy (add'l)	07/16/21	J		
94.					Buy (add'l)	07/28/21	J		
95.					Buy (add'l)	08/10/21	J		
96.					Buy (add'l)	08/18/21	J		
97.					Buy (add'l)	08/23/21	J		
98.					Buy (add'l)	08/26/21	J		
99.					Buy (add'l)	09/27/21	J		
100. Ecolab Inc (ECL)	A	Dividend			Buy (add'l)	02/04/21	J		
101.					Sold (part)	10/22/21	J	C	
102.					Sold (part)	10/27/21	J	A	

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103.					Sold (part)	11/03/21	J	B	
104.					Sold (part)	11/04/21	J	B	
105.					Sold (part)	11/08/21	J	B	
106.					Sold (part)	11/09/21	J	B	
107.					Sold (part)	11/18/21	J	A	
108.					Sold (part)	11/29/21	J	A	
109.					Sold	12/03/21	J	A	
110. Equinix Inc REIT (EQIX)	A	Dividend	K	T	Buy (add'l)	02/04/21	J		
111. Fidelity Natl Info Svcs (FIS)	A	Dividend	K	T	Buy (add'l)	02/04/21	J		
112. Grainger WW (GWW)	A	Dividend	L	T	Buy (add'l)	02/04/21	J		
113. Home Depot (HD)	B	Dividend	L	T	Buy (add'l)	01/19/21	J		
114.					Buy (add'l)	01/22/21	J		
115.					Buy (add'l)	02/04/21	J		
116.					Sold (part)	08/25/21	J	C	
117. IHS Markit Ltd (INFO)	A	Dividend	K	T	Buy (add'l)	02/04/21	J		
118.					Sold (part)	08/11/21	J	D	
119. Intuitive Surgical INC (ISRG)		None	K	T	Buy	10/06/21	K		

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	10/13/21	J		
121.					Buy (add'l)	10/20/21	J		
122.					Buy (add'l)	11/01/21	J		
123.					Buy (add'l)	11/23/21	J		
124.					Buy (add'l)	12/07/21	J		
125. Meta Platforms INC CL A (Formerly Facebook INC) (FB)		None	M	T	Buy	02/04/21	J		
126.					Sold (part)	08/11/21	K	D	
127. Microsoft Corp (MSFT)		None	M	T	Buy (add'l)	02/04/21	K		
128.					Sold (part)	08/11/21	J	D	
129.					Buy (add'l)	10/14/21	J		
130. Monster Beverage Corp New Com (MNST)		None	K	T	Buy (add'l)	02/04/21	J		
131.					Buy (add'l)	05/10/21	J		
132.					Buy (add'l)	06/18/21	J		
133.					Buy (add'l)	11/05/21	J		
134. Netflix INC (NFLX)		None	L	T	Buy	08/19/21	K		
135.					Buy (add'l)	08/23/21	J		
136.					Buy (add'l)	09/17/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	10/20/21	J		
138.					Buy (add'l)	10/27/21	J		
139.					Buy (add'l)	11/09/21	J		
140.					Buy (add'l)	12/02/21	J		
141. NIKE INC CL B (NKE)	A	Dividend	L	T	Buy	10/05/21	J		
142.					Buy (add'l)	10/07/21	J		
143.					Buy (add'l)	10/11/21	J		
144.					Buy (add'l)	10/14/21	J		
145.					Buy (add'l)	10/22/21	J		
146.					Buy (add'l)	10/28/21	J		
147.					Buy (add'l)	11/03/21	J		
148.					Buy (add'l)	12/21/21	J		
149. Nutanix Inc Cl A (NTNX)		None			Sold (part)	08/26/21	J	A	
150.					Sold (part)	09/02/21	J	A	
151.					Sold (part)	09/13/21	J	A	
152.					Sold (part)	09/17/21	J	A	
153.					Sold (part)	10/04/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	10/05/21	J	A	
155.					Sold	10/07/21	J	A	
156. Nvidia Corp (NVDA)	A	Dividend	M	T	Buy (add'l)	02/04/21	J		
157.					Sold (part)	08/11/21	J	D	
158.					Sold (part)	10/05/21	J	C	
159. NXP Semiconductors NV Com Eur (NXPI)	A	Dividend	K	T					
160. Palo Alto Network (PANW)		None	L	T	Buy (add'l)	02/04/21	J		
161. Paypal Holdings INC (PYPL)		None	K	T	Buy	12/14/21	J		
162.					Buy (add'l)	12/16/21	J		
163.					Buy (add'l)	12/17/21	J		
164.					Buy (add'l)	12/21/21	J		
165. Qualcomm Inc (QCOM)	A	Dividend			Buy (add'l)	02/04/21	J		
166.					Sold (part)	11/19/21	J	D	
167.					Sold (part)	11/22/21	J	C	
168.					Sold (part)	11/30/21	J	C	
169.					Sold (part)	12/02/21	J	D	
170.					Sold (part)	12/06/21	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	12/14/21	J	C	
172.					Sold (part)	12/16/21	J	C	
173.					Sold (part)	12/20/21	J	C	
174.					Sold	12/21/21	J	B	
175. Raytheon Technologies Corp (RTX)	A	Dividend	K	T	Buy (add'l)	02/04/21	J		
176. Salesforce.com Inc. (CRM)		None	L	T	Buy (add'l)	02/04/21	K		
177.					Buy (add'l)	07/28/21	J		
178.					Buy (add'l)	12/02/21	J		
179. Sea LTD A (SE)		None	K	T	Buy	03/11/21	J		
180.					Buy (add'l)	04/13/21	J		
181.					Buy (add'l)	05/19/21	J		
182.					Buy (add'l)	11/17/21	J		
183. Splunk Inc (SPLK)		None	K	T	Buy (add'l)	02/04/21	J		
184. Texas Instruments (TXN)	A	Dividend			Sold (part)	04/20/21	J	B	
185.					Sold (part)	05/13/21	J	B	
186.					Sold (part)	05/17/21	J	B	
187.					Sold (part)	05/18/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	05/19/21	J	B	
189.					Sold (part)	05/21/21	J	B	
190.					Sold	05/26/21	J	C	
191. Thermo Fisher (TMO)	A	Dividend	L	T	Sold (part)	01/05/21	J	C	
192.					Sold (part)	01/07/21	J	A	
193.					Buy (add'l)	02/04/21	J		
194.					Sold (part)	08/11/21	J	C	
195. Tractor Supply Company (TSCO)	A	Dividend	K	T	Buy	02/11/21	J		
196.					Buy (add'l)	02/19/21	J		
197.					Buy (add'l)	02/22/21	J		
198.					Buy (add'l)	02/24/21	J		
199.					Buy (add'l)	03/01/21	J		
200.					Buy (add'l)	03/03/21	J		
201.					Buy (add'l)	04/13/21	J		
202.					Buy (add'l)	02/22/21	J		
203. Uber Technologies Inc (UBER)		None	K	T	Buy (add'l)	02/04/21	J		
204. Uipath INC CL A (PATH)		None	K	T	Buy	05/04/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	05/07/21	J		
206.					Buy (add'l)	05/13/21	J		
207.					Buy (add'l)	05/18/21	J		
208.					Buy (add'l)	06/10/21	J		
209.					Buy (add'l)	07/16/21	J		
210.					Buy (add'l)	11/15/21	J		
211.					Buy (add'l)	12/10/21	J		
212. Ulta Beauty Inc. (ULTA)		None	K	T	Buy (add'l)	02/04/21	J		
213.					Sold (part)	06/01/21	J	B	
214.					Sold (part)	08/25/21	J	B	
215. UPS (UPS)	B	Dividend	L	T	Sold (part)	01/07/21	J	B	
216.					Buy (add'l)	02/04/21	J		
217. UnitedHealth Group (UNH)	B	Dividend	L	T	Buy (add'l)	02/04/21	K		
218.					Sold (part)	06/16/21	J	D	
219.					Sold (part)	06/18/21	J	B	
220. Visa Inc (V)	A	Dividend	M	T	Buy (add'l)	02/04/21	K		
221. VM Ware (VMW)		None			Buy (add'l)	02/04/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	06/18/21	J	C	
223.					Sold (part)	07/13/21	J	A	
224.					Sold (part)	08/09/21	J	A	
225.					Sold (part)	08/18/21	J	A	
226.					Sold (part)	08/24/21	J	A	
227.					Sold (part)	08/26/21	J	A	
228.					Sold (part)	09/10/21	J	A	
229.					Sold	09/14/21	J	A	
230. Walt Disney Co (DIS)		None	K	T					
231. Workday Inc Cl A (WDAY)		None	K	T	Buy (add'l)	01/06/21	J		
232.					Buy (add'l)	01/14/21	J		
233.					Buy (add'l)	01/26/21	J		
234.					Buy (add'l)	02/26/21	J		
235.					Buy (add'l)	03/02/21	J		
236.					Buy (add'l)	03/09/21	J		
237.					Buy (add'l)	04/13/21	J		
238.					Buy (add'l)	10/04/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	10/29/21	J		
240. Zoetis Inc (ZTS)	A	Dividend	L	T	Buy (add'l)	02/04/21	J		
241.					Sold (part)	06/18/21	J	C	
242. Account #2 (H)									
243. UBS Deposit Account (cash)	A	Interest	L	T					
244. Air Prod & Chemical INC (SPD)	A	Dividend	K	T	Buy	02/05/21	K		
245.					Buy (add'l)	12/10/21	J		
246.					Buy (add'l)	12/13/21	J		
247. Altria Group INC (MO)	B	Dividend	K	T	Buy	02/05/21	K		
248. Apple INC (AAPL)	A	Dividend	K	T	Buy	02/05/21	L		
249. Berkshire Hathaway INC New CL B (BRKB)		None	K	T	Buy	02/05/21	K		
250.					Sold (part)	07/16/21	K	B	
251. Blackrock INC (BLK)	A	Dividend	L	T	Buy	02/05/21	K		
252. Chevron Corp (CVX)	B	Dividend	K	T	Buy	02/05/21	K		
253. Cinn Financial Corp (CINF)	A	Dividend	K	T	Buy	02/05/21	K		
254. Cisco Systems INC (CSCO)	A	Dividend	K	T	Buy	02/05/21	K		
255. Coca Cola Co Com (KO)	A	Dividend			Buy	02/05/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Sold	07/16/21	K	B	
257. Comcast Corp New CLA (CMCSA)	A	Dividend	K	T	Buy	02/05/21	K		
258. Crown Castle INTL Corp (CCI)	B	Dividend	L	T	Buy	02/05/21	K		
259.					Buy (add'l)	12/10/21	J		
260. Diageo PLC NEW GB Spon ADR (DEO)	A	Dividend	K	T	Buy	02/05/21	K		
261. Dominion Energy INC (D)	B	Dividend	K	T	Buy	02/05/21	K		
262.					Buy (add'l)	03/08/21	J		
263. Fastenal CO (FAST)	A	Dividend	K	T	Buy	02/05/21	K		
264. Franklin Resources INC (BEN)	A	Dividend	K	T	Buy	02/05/21	J		
265. Intel Corp (INTC)	A	Dividend	K	T	Buy	02/05/21	K		
266. Johnson & Johnson Com (JNJ)	B	Dividend	K	T	Buy	02/05/21	K		
267. Lowes Companies INC (LOW)	A	Dividend	L	T	Buy	02/05/21	K		
268. Merk & CO INC COM (MRK)	A	Dividend	K	T	Buy	02/05/21	K		
269. Microsoft Corp (MSFT)		None	K	T	Buy	02/05/21	K		
270.					Sold (part)	12/10/21	K	D	
271. Nestle S A Sponsered ADR REPSTG REG SHS SWITZ (NSRGY)	A	Dividend	K	T	Buy	02/05/21	K		
272. Nintendo LTD ADR NEW Japan ADR (NTDOY)	A	Dividend	K	T	Buy	02/05/21	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Norfolk STHN Corp (NSC)	A	Dividend	K	T	Buy	02/05/21	K		
274. Organon & Co (OGN) (Y)									
275. Paychex INC (PAYX)	A	Dividend	K	T	Buy	02/05/21	K		
276. Pfizer INC (PFE)	A	Dividend	K	T	Buy	02/05/21	K		
277.					Sold (part)	06/23/21	J	A	
278. Phillip Morris INTL INC (PM)	A	Dividend	K	T	Buy	02/05/21	K		
279. Progressive Corp Ohio (PGR)	A	Dividend	K	T	Buy	07/16/21	K		
280.					Buy (add'l)	07/19/21	K		
281. Target Corp (TGT)	A	Dividend	K	T	Buy	02/05/21	K		
282. Texas Instruments (TXN)	A	Dividend	L	T	Buy	02/05/21	K		
283. UPS INC (UPS)	A	Dividend	K	T	Buy	02/05/21	K		
284. Verizion Communications (VZ)	A	Dividend	K	T	Buy	02/05/21	K		
285. Account #3 (H)									
286. UBS Deposit Account (cash)	A	Interest	L	T					
287. Account #4 (H)									
288. UBS Tax-Free Reserves Fd Cl A (STFXX) (cash eq.)	A	Int./Div.	N	T	Buy (add'l)	01/08/21	J		
289.					Buy (add'l)	01/29/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	02/05/21	J		
291.					Buy (add'l)	04/09/21	J		
292.					Buy (add'l)	05/07/21	J		
293.					Buy (add'l)	05/21/21	J		
294.					Buy (add'l)	06/03/21	K		
295.					Sold (part)	06/10/21	K		
296.					Sold (part)	06/17/21	K		
297.					Buy (add'l)	06/25/21	J		
298.					Sold (part)	07/09/21	L		
299.					Buy (add'l)	07/30/21	J		
300.					Sold (part)	08/02/21	L		
301.					Buy (add'l)	08/20/21	M		
302.					Buy (add'l)	09/03/21	J		
303.					Sold (part)	09/14/21	M		
304.					Buy (add'l)	09/17/21	J		
305.					Buy (add'l)	10/08/21	K		
306.					Buy (add'l)	10/22/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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307.					Buy (add'l)	10/29/21	J		
308.					Buy (add'l)	11/05/21	J		
309.					Buy (add'l)	11/19/21	J		
310.					Buy (add'l)	12/03/21	K		
311.					Sold (part)	12/23/21	M		
312. JPMorgan Chase (JPM)	D	Dividend	N	T					
313. Ishares Core High Dividend (HDV)	E	Dividend	O	T					
314. SPDR S&P 500 ETF Tr (SPY)	E	Dividend	P1	T					
315. Dodge & Cox Stock Fund (DODGX)	A	Dividend	K	T					
316. East Bay Ca Mud Water 4%, Due 06/01/21 (271014VG4)	A	Interest			Redeemed	06/01/21	K		
317. Campbell CA Un High 5% 08/01/21 (134159UR1)	B	Interest			Redeemed	08/02/21	K		
318. San Ramon Valley Cal 4%, Due 08/01/21 (799408P52)	B	Interest			Redeemed	08/02/21	K		
319. State of California 5%, Due 10/01/21 (13063BPU0)	B	Interest			Redeemed	10/01/21	K		
320. Chaffy Cmnty College 5% Due 06/01/22 (157432FX8)	B	Interest	L	T					
321. Los Angeles CA 5%, Due 06/01/22 (53945CBN9)	B	Interest	K	T					
322. East Bay Cal Mut Wtr 5% 06/01/22 (271014XW7)	B	Interest	K	T					
323. San Francisco CA Bay 5% 07/01/22 (797669YP7)	B	Interest	L	T					

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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324. Napa Valley Ca 4%, Due 08/01/22 (6303615K5)	A	Interest	K	T					
325. Huntington Beach CA 5%, Due 08/01/22 (446222UC3)	A	Interest	K	T					
326. California St Pub Works 5%, Due 03/01/23 (13068LDX0)	B	Interest	K	T					
327. San Francisco CA 5%, Due 06/15/23 (797646QD1)	B	Interest			Redeemed	12/15/21	K		
328. San Diego CA Cmnty 5% 08/01/23 (797272PP0)	A	Interest	K	T					
329. Huntington Beach CA 5%, Due 08/01/23 (446222UD1)	B	Interest	L	T					
330. Bakersfield CA Wastewtr 5% 09/15/23 (05753PCA8)	B	Interest	K	T					
331. California Infrastructure 5%, Due 10/01/24 (13034AEF3)	B	Interest	K	T					
332. Los Angeles CA 5%, Due 07/01/24 (544495ZE7)	B	Interest	L	T					
333. Metropolitan Wtr Dist 5% Due 07/01/24 (59266TLU3)	B	Interest	K	T					
334. Los Angeles CA 5%, Due 08/01/29 (54438CTD4)	B	Interest	K	T					
335. Santa Clara CA 5%, Due 08/01/24 (801546NF5)	B	Interest	K	T					
336. Bay Area Toll Auth CA 5% 04/01/25 (072024UY6)	B	Interest	K	T					
337. Los Angeles CA 5%, Due 07/01/25 (544525QP6)	B	Interest	K	T					
338. Santa Monica-Malibu USD 4% 07/01/25 (802498PY5)	B	Interest	K	T					
339. Pacific Grove CA 5%, Due 08/01/25 (694393NB1)	B	Interest	K	T					
340. Ukiah CA 4%, Due 08/01/25 (903698DT2)	B	Interest	L	T					

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341. University of CA, 5%, Due 05/15/26 (91412GUC7)	B	Interest	L	T					
342. Oxnard Cal UHSD 5% 08/01/26 (692039MD9)	B	Interest	K	T					
343. Sacramento Mun Util Dist 5% 08/15/26 (786005WM6)	B	Interest	K	T					
344. Bay Area Water Supply, 5%, Due 10/01/26 (072031BE6)	C	Interest	L	T					
345. San Mateo CA 5%, Due 06/01/27 (799054GZ8)	C	Interest	M	T					
346. St of California 5% Due 10/01/27 (13063B4N9)	C	Interest	M	T					
347. Alameda County CA 5.250%, Due 12/01/27 (010831CB9)	C	Interest	L	T					
348. Los Gatos-Saratoga CA 5% Due 08/01/28 (545540DH6)	B	Interest	L	T					
349. Bakersfield 5% Due 09/15/28 (05753PCF7)	B	Interest	K	T					
350. California St 5% Due 08/01/29 (13063CVM9)	B	Interest	L	T					
351. Napa Valley 5%, Due 08/01/29 (6303616Y4)		None	K	T					
352. Northern Ca Pwr 5%, Due 07/01/30 (664845EH3)	B	Interest	K	T					
353. California St 5% Due 08/01/30 (13063C6F2)	B	Interest	L	T					
354. Franklin CA High Yield Muni (FCAMX)	E	Dividend	P1	T					
355. Franklin Global Bond Fund (TPINX)	E	Dividend			Sold	12/06/21	O	G	
356. Vanguard CA Intermediate Term Tax-Exempt Fund Admiral (VCADX)	E	Dividend	K	T					
357. Account #5 (H)									

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358. UBS Money Fund (cash eq.)	A	Int./Div.	L	T					
359. Air Prod & Chemical Inc (APD)	B	Dividend	M	T	Buy (add'l)	12/10/21	J		
360.					Buy (add'l)	12/13/21	J		
361. Altria Group (MO)	D	Dividend	L	T					
362. Apple Inc (AAPL)	B	Dividend	M	T					
363. Berkshire Hathaway (BRK.B)		None	M	T	Sold (part)	07/16/21	K	E	
364. Blackrock Inc (BLK)	C	Dividend	M	T					
365. Chevron Corp (CVX)	C	Dividend	L	T					
366. Cinn Financial Corp (CINF)	B	Dividend	L	T					
367. Cisco Systems (CSCO)	C	Dividend	M	T					
368. Coca Cola Co (KO)	A	Dividend			Sold	07/16/21	K	D	
369. Comcast Corp New Cl A (CMCSA)	B	Dividend	L	T					
370. Crown Castle Int'l (CCI)	C	Dividend	L	T	Buy (add'l)	12/10/21	K		
371. Diageo PLC (DEO)	B	Dividend	M	T					
372. Domimion Resources (D)	C	Dividend	M	T	Buy (add'l)	03/08/21	K		
373. Fastenal Co (FAST)	B	Dividend	M	T					
374. Franklin Resources Inc (BEN)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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375. Intel Corp (INTC)	B	Dividend	L	T					
376. Johnson & Johnson (JNJ)	C	Dividend	M	T					
377. Lowes Companies (LOW)	B	Dividend	M	T					
378. Merck & Co (MRK)	C	Dividend	L	T	Sold (part)	06/23/21	K	A	
379. Microsoft Corp (MSFT)	B	Dividend	M	T	Sold (part)	12/10/21	L	E	
380. Nestle SA (NSRGY)	B	Dividend	L	T					
381. Nintendo Ltd ADR New Japan ADR (NTDOY)	C	Dividend	L	T					
382. Organon & Co (OGN) (Y)									
383. Norfolk Southern (NSC)	B	Dividend	M	T	Sold (part)	06/23/21	K	C	
384. Paychex Inc (PAYX)	B	Dividend	M	T	Sold (part)	06/23/21	K	B	
385. Pfizer Inc (PFE)	C	Dividend	M	T	Sold (part)	06/23/21	K	A	
386. Philip Morris (PM)	C	Dividend	L	T					
387. Progressive Corp Ohio (PGR)		None	M	T	Buy	07/16/21	K		
388.					Buy (add'l)	07/19/21	K		
389. Target Corp (TGT)	B	Dividend	M	T					
390. Texas Instruments (TXN)	C	Dividend	M	T					
391. UPS (UPS)	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Verizon Communications (VZ)	C	Dividend	L	T					
393. Account #6 (H)									
394. UBS Money Fund (cash eq.)	A	Int./Div.	K	T					
395. Adobe Systems (ADBE)		None	K	T					
396. Advance Auto Parts Inc (AAP)	A	Dividend	K	T	Sold (part)	08/26/21	J	A	
397. Akamai Tech (AKAM)		None			Sold (part)	01/28/21	J	A	
398.					Sold (part)	08/31/21	J	B	
399.					Sold (part)	09/14/21	J	A	
400.					Sold (part)	09/17/21	J	A	
401.					Sold (part)	09/23/21	J	A	
402.					Sold (part)	10/08/21	J	B	
403.					Sold (part)	10/14/21	J	A	
404.					Sold (part)	10/19/21	J	B	
405.					Sold (part)	10/22/21	J	B	
406.					Sold (part)	10/27/21	J	B	
407.					Sold	11/01/21	J	B	
408. Alcon Inc (ALC)	A	Dividend	J	T	Buy (add'l)	05/07/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	05/17/21	J		
410. Alexion Phar (ALXN)		None			Sold (part)	01/20/21	K	C	
411.					Sold (part)	02/03/21	J	A	
412.					Sold (part)	02/05/21	J	A	
413.					Sold (part)	02/11/21	J	A	
414.					Sold (part)	02/23/21	J	A	
415.					Sold (part)	03/03/21	J	A	
416.					Sold (part)	03/04/21	J	A	
417.					Sold (part)	03/08/21	J	A	
418.					Sold	03/09/21	J	B	
419. Alibaba Group Holdings LTD (BABA)		None	K	T	Buy (add'l)	06/02/21	J		
420.					Buy (add'l)	06/18/21	J		
421.					Buy (add'l)	06/25/21	J		
422. Amazon.com (AMZN)		None	M	T	Buy (add'l)	08/25/21	J		
423.					Buy (add'l)	08/25/21	J		
424. Amgen Inc (AMGN)	A	Dividend			Sold (part)	06/30/21	J	C	
425.					Sold (part)	07/14/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	07/28/21	J	A	
427.					Sold (part)	08/09/21	J	A	
428.					Sold (part)	08/10/21	J	A	
429.					Sold (part)	08/12/21	J	A	
430.					Sold (part)	08/16/21	J	A	
431.					Sold (part)	08/18/21	J	A	
432.					Sold (part)	08/19/21	J	A	
433.					Sold	08/20/21	J	A	
434. Anheuser Busch (BUD)	A	Dividend			Sold (part)	10/06/21	J	D	
435.					Sold (part)	10/07/21	J	C	
436.					Sold (part)	10/13/21	J	B	
437.					Sold (part)	10/19/21	J	A	
438.					Sold	10/20/21	J	A	
439. Apple Inc (APPL)	A	Dividend	L	T	Sold (part)	01/29/21	J	C	
440.					Sold (part)	10/14/21	J	D	
441.					Sold (part)	11/05/21	J	B	
442. Aptiv PLC (APTV)		None	K	T	Buy (add'l)	05/07/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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443. ASML Holding NV Spon Adr (ASML)	A	Dividend	K	T					
444. Atlassian Corp PLC Cl A (TEAM)		None	K	T	Buy (add'l)	01/07/21	J		
445.					Buy (add'l)	01/27/21	J		
446.					Buy (add'l)	02/08/21	J		
447.					Buy (add'l)	03/04/21	J		
448.					Buy (add'l)	03/09/21	J		
449.					Buy (add'l)	04/13/21	J		
450. Biomarin Pharmaceutical Inc (BMRN)		None	J	T					
451. Comcast (CMCSA)	A	Dividend			Sold (part)	01/05/21	J	A	
452.					Sold (part)	01/14/21	J	A	
453.					Sold (part)	04/13/21	J	B	
454.					Sold (part)	04/14/21	J	B	
455.					Sold (part)	04/19/21	J	B	
456.					Sold (part)	04/21/21	J	A	
457.					Sold	04/23/21	J	A	
458. Costco Whoesale (COST)	A	Dividend			Sold (part)	01/22/21	J	B	
459.					Sold (part)	01/29/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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460.					Sold (part)	02/05/21	J	A	
461.					Sold	04/13/21	J	D	
462. Dexcom Inc (DXCM)		None	K	T	Buy	05/24/21	J		
463.					Buy (add'l)	06/10/21	J		
464. Eaton Corp PLC (ETN)	A	Dividend	K	T	Buy	06/23/21	J		
465.					Buy (add'l)	06/30/21	J		
466.					Buy (add'l)	07/01/21	J		
467.					Buy (add'l)	07/09/21	J		
468.					Buy (add'l)	07/13/21	J		
469.					Buy (add'l)	07/16/21	J		
470.					Buy (add'l)	07/28/21	J		
471.					Buy (add'l)	08/10/21	J		
472.					Buy (add'l)	08/18/21	J		
473.					Buy (add'l)	08/23/21	J		
474.					Buy (add'l)	08/26/21	J		
475.					Buy (add'l)	09/27/21	J		
476. Ecolab Inc (ECL)	A	Dividend			Sold (part)	10/27/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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477.					Sold (part)	11/03/21	J	A	
478.					Sold (part)	11/04/21	J	A	
479.					Sold (part)	11/08/21	J	A	
480.					Sold (part)	11/09/21	J	A	
481.					Sold (part)	11/18/21	J	A	
482.					Sold (part)	11/29/21	J	A	
483.					Sold	12/03/21	J	B	
484. Equinix Inc Reit (EQIX)	A	Dividend	K	T					
485. Fidelity Natl Info Svcs (FIS)	A	Dividend	K	T	Buy (add'l)	02/12/21	J		
486. Grainger WW (GWW)	A	Dividend	K	T					
487. Home Depot (HD)	A	Dividend	K	T	Buy (add'l)	01/19/21	J		
488.					Buy (add'l)	01/22/21	J		
489.					Sold (part)	08/25/21	J	B	
490. IHS Markit LTD (INFO)	A	Dividend	K	T					
491. Intuitive Surgical INC (ISRG)		None	K	T	Buy	10/06/21	J		
492.					Buy (add'l)	10/13/21	J		
493.					Buy (add'l)	10/20/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Buy (add'l)	11/01/21	J		
495.					Buy (add'l)	11/23/21	J		
496.					Buy (add'l)	12/07/21	J		
497. Meta Platforms INC Cl A (FB)		None	M	T	Buy (add'l)	02/12/21	J		
498. Microsoft Corp (MSFT)	A	Dividend	M	T	Buy (add'l)	10/14/21	J		
499. Monster Beverage Corp New Com (MNST)		None	K	T	Buy (add'l)	05/10/21	J		
500.					Buy (add'l)	06/18/21	J		
501.					Buy (add'l)	11/05/21	J		
502. Netflix INC (NFLX)		None	K	T	Buy	08/19/21	K		
503.					Buy (add'l)	08/23/21	J		
504.					Buy (add'l)	09/17/21	J		
505.					Buy (add'l)	10/20/21	J		
506.					Buy (add'l)	10/27/21	J		
507.					Buy (add'l)	11/09/21	J		
508.					Buy (add'l)	12/03/21	J		
509. Nike Inc CL B (NKE)	A	Dividend	K	T	Buy	10/05/21	J		
510.					Buy (add'l)	10/07/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Buy (add'l)	10/11/21	J		
512.					Buy (add'l)	10/14/21	J		
513.					Buy (add'l)	10/22/21	J		
514.					Buy (add'l)	10/28/21	J		
515.					Buy (add'l)	11/03/21	J		
516.					Buy (add'l)	12/21/21	J		
517. Nutanix Inc Cl A (NTNX)		None			Sold (part)	08/24/21	J	A	
518.					Sold (part)	09/02/21	J	A	
519.					Sold (part)	09/13/21	J	A	
520.					Sold	10/07/21	J		
521. Nvidia Corp (NVDA)	A	Dividend	L	T	Sold (part)	10/05/21	J	B	
522. NXP Semiconductors NV Com Eur (NXPI)	A	Dividend	K	T	Sold (part)	10/13/21	J	B	
523. Palo Alto Network (PANW)		None	K	T					
524. Paypal Holdings INC (PYPL)		None	K	T	Buy (add'l)	12/14/21	J		
525.					Buy (add'l)	12/16/21	J		
526.					Buy (add'l)	12/17/21	J		
527.					Buy (add'l)	12/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. Qualcomm Inc (QCOM)	A	Dividend			Sold (part)	11/19/21	J	D	
529.					Sold (part)	11/22/21	J	C	
530.					Sold (part)	11/30/21	J	C	
531.					Sold (part)	12/02/21	J	C	
532.					Sold (part)	12/06/21	J	C	
533.					Sold (part)	12/14/21	J	C	
534.					Sold (part)	12/16/21	J	C	
535.					Sold (part)	12/20/21	J	B	
536.					Sold	12/21/21	J	D	
537. Raytheon Technologies Corp (RTX)	A	Dividend	K	T					
538. Salesforce.com Inc (CRIM)		None	L	T	Buy (add'l)	04/13/21	J		
539.					Buy (add'l)	07/28/21	J		
540.					Buy (add'l)	09/23/21	J		
541.					Buy (add'l)	12/02/21	J		
542. Sea LTD ADR (SE)		None	K	T	Buy	03/11/21	J		
543.					Buy (add'l)	04/13/21	J		
544.					Buy (add'l)	05/19/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Buy (add'l)	11/17/21	J		
546. Splunk Inc (SPLK)		None	K	T					
547. Texas Instruments (TXN)	A	Dividend			Sold (part)	03/09/21	J	C	
548.					Sold (part)	04/20/21	J	B	
549.					Sold (part)	05/13/21	J	B	
550.					Sold (part)	05/17/21	J	B	
551.					Sold (part)	05/18/21	J	B	
552.					Sold (part)	05/19/21	J	B	
553.					Sold (part)	05/21/21	J	B	
554.					Sold	05/26/21	J	B	
555. Thermo Fisher (TMO)	A	Dividend	L	T	Sold (part)	01/05/21	J	B	
556.					Sold (part)	01/07/21	J	A	
557. Tractor Supply Company (TSCO)	A	Dividend	K	T	Buy	02/11/21	J		
558.					Buy (add'l)	02/19/21	J		
559.					Buy (add'l)	02/22/21	J		
560.					Buy (add'l)	02/24/21	J		
561.					Buy (add'l)	03/01/21	J		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Buy (add'l)	03/03/21	J		
563.					Buy (add'l)	04/13/21	J		
564. Uber Technologies Inc (UBER)		None	K	T					
565. Uipath INC CL A (PATH)		None	J	T	Buy	05/04/21	J		
566.					Buy (add'l)	05/07/21	J		
567.					Buy (add'l)	05/13/21	J		
568.					Buy (add'l)	05/18/21	J		
569.					Buy (add'l)	06/10/21	J		
570.					Buy (add'l)	07/16/21	J		
571.					Buy (add'l)	11/15/21	J		
572.					Buy (add'l)	12/10/21	J		
573. Ulta Beauty Inc (ULTA)		None	K	T	Sold (part)	06/02/21	J	B	
574.					Sold (part)	08/25/21	J	A	
575. UPS (UPS)	A	Dividend	K	T	Sold (part)	01/07/21	J	A	
576. United Health Group (UNH)	A	Dividend	L	T	Sold (part)	06/16/21	J	B	
577.					Sold (part)	06/18/21	J	B	
578. Visa Inc (V)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. VM Ware (VMW)		None			Sold (part)	06/18/21	J	B	
580.					Sold (part)	07/13/21	J	A	
581.					Sold (part)	08/24/21	J	A	
582.					Sold (part)	08/26/21	J	A	
583.					Sold (part)	09/10/21	J	A	
584.					Sold	09/14/21	J	A	
585. Walt Disney Co (DIS)		None	K	T					
586. Workday Inc Cl A (WDAY)		None	K	T	Buy (add'l)	01/06/21	J		
587.					Buy (add'l)	01/14/21	J		
588.					Buy (add'l)	01/26/21	J		
589.					Buy (add'l)	02/26/21	J		
590.					Buy (add'l)	03/02/21	J		
591.					Buy (add'l)	03/09/21	J		
592.					Buy (add'l)	04/13/21	J		
593.					Buy (add'l)	10/04/21	J		
594.					Buy (add'l)	10/29/21	J		
595. Zoetis Inc (ZTS)	A	Dividend	L	T	Sold (part)	06/18/21	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. Account #7 (H)									
597. UBS Deposit Account (cash)	A	Interest	N	T					
598. Account #8 (H)									
599. UBS Deposit Account (cash)		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Parts I and II: Corresponding reportable assets are included in Part VII.

Part VII, line 4: Located on [redacted] land.

Part VII, line 3: Valuation was determined by the Los Angeles County Assessor's Appeal Board.

Part VII, Column A: Parenthetical numbers are CUSIP numbers.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Bea, Carlos T.	05/14/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carlos T. Bea

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544