AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Batchelder, Alice M.	6th Cir. Ct. of Appeals	07/25/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
[redacted]		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Intellectual Property Law & Technology Advisory Council - University of Akron School of Law
2.	Member	Board of Trustees - Grove City College - see note 1
3.	Member	Board of Directors - Foundation for Research on Economics and the Environment
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	OPERS - pension
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Judicial Education Institute	3/20 - 3/24	Washington DC	Corpus Linguistics Judicial Retreat	Lodging, food, transportation
2.	First Liberty Institute	7/12 - 7/15	Park City, Utah	Participate and speak -- Seminar	$0 see note 7
3.	Sixth Circuit Judicial Conference	9/5 - 9/7	Cleveland, Ohio	circuit conference	$0
4.	Philadelphia Society	9/22 -9/23	Cleveland, Ohio	Participate and speak at conference	$0 see note 7

5.	Regent University Law School	11/16 -11/19	Virginia Beach, Virginea	Judge Moot Court competition	lodging and food

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Batchelder, Alice M.	07/25/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Account # 1 -- IRA (H)									
2.	- Sequoia Financial Group Cash Account	A	Interest	J	T					
3.	Pimco Investment Grade (CORP)	A	Dividend	J	T	Buy	06/23/23	J		
4.	SCHE Schwab Emerging Markets	A	Dividend	J	T	Sold (part)	06/23/23	J	A	
5.	SCHR Schwab Intermediate Term	A	Dividend	K	T	Buy	06/23/23	J		
6.	SCHZ Schwab US aggregate bond	B	Dividend	K	T	Buy	06/23/23	J		
7.	SCHG Schwab US Large Cap	A	Dividend	J	T	Buy	06/23/23	J		
8.	SCHV Schwab US Large Cap	A	Dividend	J	T	Sold (part)	06/23/23	J	A	
9.	SCHX Schwab US Large Cap	A	Dividend	K	T	Sold (part)	06/23/23	J	C	
10.	SCHA Schwab US Small Cap	A	Dividend	J	T	Sold (part)	06/23/23	J	A	
11.	CWI/ SPDR MSCI ACWI EX-US	A	Dividend	K	T	Sold (part)	06/23/23	J	A	
12.	ACIM/SPDR MSCI ACWI IMI ETF (new symbol as of 9-23-19 is SPGM)	A	Dividend	K	T	Sold (part)	06/23/23	J	A	
13.	AGGY Wisdomtree Barclays Yield US	A	Dividend	J	T	Buy	06/23/23	J		
14.	FNDF Schwab Fundamental Inl see note 2	A	Dividend	J	T	Sold (part)	06/23/23	J	A	
15.	Account #2 -t (H) see note 3									
16.	- Sequoia Financial Group Cash Account	A	Interest	J	T					
17.	GWPDX American Funds Growth	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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Batchelder, Alice M.	07/25/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. IVW I Shares S&P 500 Growth	A	Dividend	K	T					
19. BLPDX/American Moderate Growth	A	Dividend	K	T					
20. VANGUARD EQUITY INCOME FD ADMIRAL SHRS (VEIRX)	D	Dividend	L	T					
21. Acct #3 Rollover IRA (H) see note 4									
22. Sequoia Financial Group Cash Account	A	Interest	J	T					
23. FBND -- Fidelity Total Bond ETF	A	Dividend	J	T	Buy	06/23/23	J		
24.					Sold (part)	08/24/23	J	A	
25.					Sold (part)	12/05/23	J	A	
26. IXUS -- IShares Core MSCI Totl	A	Dividend	K	T	Sold (part)	06/23/23	J	A	
27.					Sold (part)	08/24/23	J	A	
28.					Sold (part)	12/05/23	J	A	
29. IShares Core US	A	Dividend	K	T	Buy	06/23/23	J		
30.					Sold (part)	08/24/23	J	A	
31.					Sold (part)	12/04/23	J	A	
32. FNDF - Schwab Fundamental INL	A	Dividend	J	T	Sold (part)	06/23/23	J	A	
33.					Sold (part)	08/24/23	J	A	
34.					Sold (part)	12/05/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. MGV - Vanguard Mega Cap Value	A	Dividend	J	T	Sold (part)	06/23/23	J	A	
36.					Sold (part)	08/24/23	J	A	
37.					Sold (part)	12/05/23	J	A	
38. VONG - Vanguard Russell 1000	A	Dividend	J	T	Sold (part)	06/23/23	J	A	
39.					Sold (part)	08/24/23	J	A	
40.					Sold (part)	12/05/23	J	A	
41. VTI - Vanguard Total Stock	A	Dividend	K	T	Sold (part)	06/23/23	J	A	
42.					Sold (part)	08/24/23	J	A	
43.					Buy	12/05/23	J		
44. SMTHX - ALPS/Smith total Return	A	Dividend	K	T	Buy	06/22/23	J		
45.					Sold (part)	08/23/23	J	A	
46. PIMIX - PIMCO Income Instl	A	Dividend	J	T	Sold (part)	12/04/23	J	A	
47. LMGNX - Clearbridge Internationa	A	Dividend			Sold (part)	08/01/22	J	A	
48. ODVYX - Invesco Developing Marke	A	Dividend	J	T	Sold	02/17/23	J	C	
49. VEIRX - Vanguard Equity-Income A	B	Dividend	K	T	Sold (part)	12/04/23	J	A	
50. IJR - IShares Core S&P Small Cap	A	Dividend	J	T	Buy	06/23/23	J		
51.					Sold (part)	08/24/23	J	A	

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52.					Sold (part)	12/04/23	J	A	
53. TLH - IShares 10-20 Year Treasury Bond	A	Dividend	J	T	Sold (part)	06/23/23	J	A	
54.					Sold (part)	08/24/23	J	A	
55.					Sold (part)	12/05/23	J	A	
56. JMSIX - JP Morgan Income	A	Dividend	J	T	Buy	06/22/23	J		
57.					Sold (part)	08/23/23	J	A	
58.					Sold (part)	12/04/23	J	A	
59. GSMIX - Goldman Sachs GQC Ptnrs	A	Dividend	J	T	Sold (part)	06/22/23	J	A	
60.					Sold (part)	08/23/23	J	A	
61.					Sold (part)	12/04/23	J	A	
62. DHRIX Diamond Hill Core Bond	A	Dividend	J	T	Buy	06/22/23	J		
63.					Sold (part)	08/23/23	J	A	
64.					Sold (part)	12/05/23	J	A	
65. FNWFX American Funds New World	A	Dividend	J	T	Buy	02/21/23	J		
66.					Sold (part)	12/04/23	J	A	
67. GLD - SPDR Gold Shares ETF			K	T	Buy	08/24/23	K		
68. Real Estate (H) see note 5		None							

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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69.	Prop. # 1 -- duplex -- Medina, Ohio	D	Rent	M	W					
70.	Prop. # 4 -- 4 duplex units -- Medina, Ohio (1/2 interest)	D	Rent	M	W					
71.	Prop. # 6 -- 2-fam. & 3-fam. Houses -- Medina, OH (1/2 int)	D	Rent	L	W					
72.	Prop. # 7 -- Apartments -- Medina, Ohio (1/3 interest)	E	Rent	N	W					
73.	Prop. # 8 -- 2 duplex units -- Medina OH	D	Rent	M	W					
74.	Prop. # 11 -- single family -- Medina OH	D	Rent	M	W					
75.	Prop. # 12 -- single family -- Medina, OH (1/2 interest)	C	Rent	K	W					
76.	Prop. # 13 -- Commercial bldg -- Medina OH (2/3 interest)	E	Rent	N	W					
77.	Prop. # 14 -- duplex -- Medina, OH	E	Rent	M	W					
78.	Prop. # 15 -- vacant lot -- Medina, OH (1/2 interest)		None	K	W					
79.	Prop. # 16 -- Vacant land -- Medina, OH		None	K	W					
80.	Prop. # 17 -- single family -- Medina, OH	D	Rent	M	W					
81.	Prop. # 18 -- single family -- Medina OH (1/2 interest)	B	Rent	K	W					
82.	Prop. # 19 -- commercial bldg -- Medina OH	E	Rent	M	W					
83.	Prop. # 20 -- Comm. Bldg with apt. -- Medina OH	D	Rent	M	W					
84.	Prop. # 21 -- Vacant land -- Big Horn County, WY County, WY		None	M	W					
85.	Prop. # 22 -- single family -- Medina, OH	D	Rent	M	W					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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86. Misc. (H)									
87. Farmers Savings Bank accounts (cash accounts)	B	Interest	O	T					
88. Huntington National Bank accounts (cash accounts)	E	Interest	N	T					
89. Third Federal Savings (cash account)	A	Interest	J	T					
90. Westfield Bank (cash account)	D	Interest	N	T					
91. Marital Trust -- see note 6									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1:The "audit" feature advises me that I should list the assets of the entity of which I am a trustee. I am assuming that this is not true when that entity is an educational institution, and the audit has simply detected the term "trust" in the item. Otherwise, this requirement is ridiculous, and I cannot comply with it.

Note 2: Somehow this account asset was omitted from my 2022 Report. It was purchased on 8-3-22, value code J.

Note 3: As explained in note 6 on my 2022 Report, that report's Acct #2 was closed on 5-10-22. The 2022 Report's Acct #3 is Acct #2 in this 2023 Report.

Note 4: This account was Acct #4 on my 2022 Report.

Note 5: Property #s 2 and 3 were sold in 2018; Property #5 was distributed in 2022 after my [redacted] death pursuant to the survivorship deed; Property #s 9 and 10 were sold in 2022. For ease of comparing this report with those of previous years, I have not changed the numbering on the remaining properties.

Note 6: I am the sole beneficiary of the marital trust. All of the interests in that trust are included in the accounts and properties in the body of the report.

Note 7: I attended these two conferences entirely at my own expense. This report form does not provide any opportunity to disclose my attendance other than in Sec. IV "Reimbursements." I recognize that I could simply omit them from my disclosure, but there are two possible consequences if I did so: First, because I was there, I can imagine someone accusing me of not reporting reimbursement. Which, of course, I did not get. Second, I like to make it clear that I have a right to attend these conferences; my attendance/participation is at my own expense and in no way can be portrayed as compromising my ability to be impartial and objective about issues and parties that may come before me.

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Batchelder, Alice M.	07/25/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alice M. Batchelder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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