AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Batchelder, Alice M.	6th Cir. Ct. of Appeals	05/15/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member	Intellectual Property Law & Technology Advisory Council - University of Akron School of Law
2.	Member	Board of Trustees - Grove City College - see note 1
3.	Member	Board of Directors - Foundation for Research on Economics and the Environment
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	OPERS - pension
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Batchelder, Alice M.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Account # 1 -- IRA (H)									
2. - Sequoia Financial Group Cash Account	A	Interest	J	T					
3. Pimco Investment Grade (CORP)	A	Dividend	J	T					
4. SCHE Schwab Emerging Markets	A	Dividend	J	T					
5. SCHR Schwab Intermediate Term	A	Dividend	K	T					
6. SCHC Schwab Int'l Small					Sold	08/03/22	J	A	
7. SCHZ Schwab US aggregate bond	A	Dividend	K	T					
8. SCHG Schwab US Large Cap	A	Dividend	J	T					
9. SCHV Schwab US Large Cap	A	Dividend	J	T					
10. SCHX Schwab US Large Cap	A	Dividend	J	T					
11. SCHA Schwab US Small Cap	A	Dividend	J	T					
12. CWI/ SPDR MSCI ACWI EX-US	A	Dividend	K	T					
13. ACIM/SPDR MSCI ACWI IMI ETF (new symbol as of 9-23-19 is SPGM)	A	Dividend	K	T					
14. AGGY Wisdomtree Barclays Yield US	A	Dividend	J	T					
15. Account #2 - IRA (H) see note 6									
16. -Sequoia Financial Group Cash Account									
17. CORP/AMCO Invest Grade Corp									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Batchelder, Alice M.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. SCHE/ Schwab Emerging Markets									
19. SCHR/ Schwab Intermediate term									
20. SCHC/ Schwab Intern'l Small									
21. SCHZ/ Schwab US aggregate bond									
22. SCHV/ Schwab US Large Cap									
23. SCHX/ Schwab US Large Cap									
24. SCHG/Schwab US Large Cap									
25. SCHA/Schwab US Small Cap									
26. CWI SPDR MSCI ACWI Ex-US									
27. AGGY Wisdomtree Barclays yield US									
28. Account #3 - [redacted] Acct (H) see note 7									
29. - Sequoia Financial Group Cash Account	A	Interest	J	T					
30. GWPDX American Funds Growth	A	Dividend	K	T				A	
31. IVW I Shares S&P 500 Growth	A	Dividend	K	T					
32. BLPDX/American Moderate Growth see note 3	A	Dividend	K	T				A	
33. VANGUARD EQUITY INCOME FD ADMIRAL SHRS (VEIRX)	A	Dividend	L	T					
34. Acct #4 Rollover IRA (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Batchelder, Alice M.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35. Sequoia Financial Group Cash Account	A	Interest	J	T					
36. FBND -- Fidelity Total Bond ETF	A	Dividend	J	T					
37. IXUS -- IShares Core MSCI Totl	A	Dividend	K	T	Sold	12/22/22	J	A	
38. IShares Core US	A	Dividend	K	T	Sold	12/22/22	J	A	
39. IWM - IShares Russell 2000	A	Dividend			Sold	06/11/22	J	A	
40. JPST - JP Morgan Ultra Short	A	Dividend			Sold	05/05/22	J	A	
41. FNDF - Schwab Fundamental INL	A	Dividend	K	T					
42. MGV - Vanguard Mega Cap Value	A	Dividend	J	T	Sold	12/20/22	J	A	
43. VONG - Vanguard Russell 1000	A	Dividend	K	T					
44. VONG - Vanguard Total Stock	A	Dividend	K	T	Sold	12/22/22	J	A	
45. SMTHX - ALPS/Smith total Return	A	Dividend	K	T	Sold	12/21/22	J	A	
46. PIMIX - PIMCO Income Instl	A	Dividend	K	T	Sold	12/21/22	J	A	
47. RNSIX - Rivernorth Doubleline St	A	Dividend			Sold	06/27/22	J	A	
48. APDJX - Artisan International SM					Sold	08/01/22	J	A	
49. LMGNX - Clearbridge Internationa	A	Dividend			Sold	08/01/22	J	A	
50. ODVYX - Invesco Developing Marke	A	Dividend	J	T	Sold	12/21/22	J	A	
51. VEIRX - Vanguard Equity-Income A	A	Dividend	K	T	Sold	12/21/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Batchelder, Alice M.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
52. IJR - IShares Core S&P Small Cap	A	Dividend	J	T	Buy	06/01/22	J		
53. TLH - IShares 10-20 Year Treasury Bond	A	Dividend	J	T	Buy	12/08/22	J		
54. JMSIX - JP Morgan Income	A	Dividend	J	T	Buy	06/27/22	J		
55. GSMIX - Goldman Sachs GQC Ptnrs	A	Dividend	J	T	Buy	08/01/22	J		
56.					Sold	12/21/22	J	A	
57. LDUR - Pimco Inhancd LW Drtn	A	Dividend			Buy	05/05/22	J		
58.					Sold	12/21/22	J	A	
59. Real Estate (H) see note 2									
60. Prop. # 1 -- duplex -- Medina, Ohio	D	Rent	M	W					
61. Prop. # 4 -- 4 duplex units -- Medina, Ohio (1/2 interest)	D	Rent	M	W					
62. Prop. # 5 -- 2 duplex units -- Medina, Ohio see note 3 (1/2 interest)	D	Rent	L	W	Distributed	12/29/22	J		
63. Prop. # 6 -- 2-fam. & 3-fam. Houses -- Medina, OH (1/2 int)	D	Rent	L	W					
64. Prop. # 7 -- Apartments -- Medina, Ohio (1/3 interest)	E	Rent	N	W					
65. Prop. # 8 -- 2 duplex units -- Medina OH	D	Rent	M	W					
66. Property # 9 -- Farm -- Lodi, OH	C	Rent			Sold	09/15/22	O	G	
67. Prop. # 10 -- hog feeder, Lodi, OH (1/5 int) see note 4		None			Sold	09/15/22			
68. Prop. # 11 -- single family -- Medina OH	D	Rent	M	W					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Batchelder, Alice M.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
69. Prop. # 12 -- single family -- Medina, OH (1/2 interest)	C	Rent	K	W					
70. Prop. # 13 -- Commercial bldg -- Medina OH (2/3 interest)	E	Rent	N	W					
71. Prop. # 14 -- duplex -- Medina, OH	E	Rent	M	W					
72. Prop. # 15 -- vacant lot -- Medina, OH (1/2 interest)		None	K	W					
73. Prop. # 16 -- Vacant land -- Medina, OH		None	K	W					
74. Prop. # 17 -- single family -- Medina, OH	D	Rent	M	W					
75. Prop. # 18 -- single family -- Medina OH (1/2 interest)	B	Rent	K	W					
76. Prop. # 19 -- commercial bldg -- Medina OH	E	Rent	M	W					
77. Prop. # 20 -- Comm. Bldg with apt. -- Medina OH	D	Rent	M	W					
78. Prop. # 21 -- Vacant land -- Big Horn County, WY County, WY	A	Rent	M	W					
79. Prop. # 22 -- single family -- Medina, OH	C	Rent	M	R	Buy	07/21/22	M		
80. Misc. (H)									
81. Farmers Savings Bank accounts (cash accounts)	A	Interest	O	T					
82. Huntington National Bank accounts (cash accounts)	A	Interest	M	T					
83. Third Federal Savings (cash account)	A	Interest	J	T					
84. Westfield Bank (cash account)	A	Interest	N	T	Open	12/01/22	N		
85. Marital Trust -- see note 5									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Batchelder, Alice M.	05/15/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1:The "audit" feature advises me that I should list the assets of the entity of which I am a trustee. I am assuming that this is not true when that entity is an educational institution, and the audit has simply detected the term "trust" in the item. Otherwise, this requirement is ridiculous, and I cannot comply with it.

Note 2: Property #s 2 and 3 were sold in 2018. For ease of comparing this report with those of previous years, I have not changed the numbering on the remaining properties.

Note 3: This property was transferred via survivorship deed to the other half-interest owner at the end of 2022 as a result of [redacted] death.

Note 4: This is part of property #9 that was sold 9\15/22.

Note 5: A marital trust was created at [redacted] death but was not funded until the end of 2022. All of [redacted] property interests are included in Part VII.

Note 6: Account #2 was my late [redacted] IRA. All of the assets in this account were transferred into my account, Account #1, on 5\10\22. There were no transactions in Account #2 in 2022 except this transfer, the account was closed, and it did not exist at the end of 2022.

Note 7: Account #3 was a [redacted] account with my late [redacted]. After [redacted] death, all of the assets of that account were transferred into a new account solely in my name. For ease of reporting and comparing the reports, I am reporting the new account as Account #3.

Name of Person Reporting	Date of Report
Batchelder, Alice M.	05/15/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alice M. Batchelder

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544