AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Baldock, Bobby R. | United States Court of Appeals for the Tenth Circuit | 06/27/2025 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. Circuit Judge - Senior | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2024 **to** 12/31/2024 |
| **7. Chambers or Office Address** | | |
| United States Courthouse<br>500 North Richardson Ave.<br>Roswell, NM 88201 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Baldock, Bobby R.** | 06/27/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | Individual Assets (H) | | | | | | | | | |
| 2. | Pioneer Savings Bank (cash) | A | Interest | L | T | | | | | |
| 3. | Bank of America (cash) | A | Interest | K | T | | | | | |
| 4. | Lot in South Spring Acres, Roswell, NM | | None | J | W | | | | | |
| 5. | Stock in South Spring Acres, Roswell, NM | | None | K | W | | | | | |
| 6. | Account #1 (H) | | | | | | | | | |
| 7. | Bank of America (cash) | A | Interest | K | T | | | | | |
| 8. | Ally Bank (cash) | C | Interest | | | Open | 05/01/24 | M | | |
| 9. | | | | | | Closed | 11/08/24 | M | | |
| 10. | Midfirst Bank (cash) | A | Interest | | | Open | 08/23/24 | K | | |
| 11. | | | | | | Closed | 12/02/24 | K | | |
| 12. | Valley National Bank (cash) | D | Interest | M | T | | | | | |
| 13. | Charles Schwab Bk (cash) | | None | M | T | Open | 06/04/24 | K | | |
| 14. | Merrick Bank (cash) | | None | K | T | Open | 12/04/24 | K | | |
| 15. | XCEL Energy Inc. (XEL) | B | Dividend | K | T | | | | | |
| 16. | Truist Bank (cash) | C | Interest | | | Closed | 08/16/24 | K | | |
| 17. | Wells Fargo Bank (cash) | B | Interest | | | Closed | 06/03/24 | K | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Baldock, Bobby R.** | 06/27/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. Account #2 (H) | | | | | | | | | |
| 19. Bank of America (cash) | A | Interest | J | T | | | | | |
| 20. Blackrock Liquidity Fund Fedfund (BUPXX) (cash eq.) | A | Dividend | J | T | Buy | 02/13/24 | J | | |
| 21. Invesco Emerging Markets (PCY) | A | Dividend | J | T | Sold (part) | 02/13/24 | J | | |
| 22. Invesco Preferred ETF (PGX) | A | Dividend | J | T | | | | | |
| 23. IShares 20+ Year (TLT) | B | Dividend | K | T | Sold (part) | 10/09/24 | J | | |
| 24. iShares TIPS (TIP) | A | Dividend | J | T | | | | | |
| 25. iShares MBS (MBB) | C | Dividend | L | T | Sold (part) | 02/13/24 | J | | |
| 26. | | | | | Buy (add'l) | 10/09/24 | J | | |
| 27. iShares Inc Core MSCI Emerging Markets (IEMG) | C | Dividend | K | T | Sold (part) | 10/09/24 | J | A | |
| 28. iShares Tr Core MSCI EAF (IEFA) | B | Dividend | K | T | | | | | |
| 29. Schwab Short-Term U.S. (SCHO) | B | Dividend | K | T | Sold (part) | 02/13/24 | J | | |
| 30. | | | | | Buy (add'l) | 10/09/24 | J | | |
| 31. Vanguard Small Cap Value (VBR) | A | Dividend | K | T | Buy (add'l) | 02/13/24 | J | | |
| 32. Vanguard Small Cap Growth (VBK) | A | Dividend | J | T | Buy (add'l) | 02/13/24 | J | | |
| 33. Vanguard Value ETF (VTV) | B | Dividend | L | T | Sold (part) | 02/13/24 | J | A | |
| 34. | | | | | Sold (part) | 10/09/24 | J | B | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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3. Value Method Codes (See Column C2)
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|---|---|---|---|---|---|---|---|---|---|
| 35. Vanguard Growth ETF (VUG) | A | Dividend | L | T | Sold (part) | 02/13/24 | J | C | |
| 36. | | | | | Sold (part) | 10/09/24 | J | B | |
| 37. Vanguard Interm-Term Govt BD (VGIT) | B | Dividend | K | T | Buy (add'l) | 02/13/24 | J | | |
| 38. | | | | | Buy (add'l) | 10/09/24 | J | | |
| 39. Vanguard Short Term Corp Bond (VCSH) | B | Dividend | K | T | Buy (add'l) | 02/13/24 | J | | |
| 40. | | | | | Buy (add'l) | 10/09/24 | J | | |
| 41. Vanguard Scottsdale Intermediate Term Corp Bond (VCIT) | B | Dividend | K | T | Sold (part) | 02/13/24 | J | | |
| 42. | | | | | Buy (add'l) | 10/09/24 | J | | |
| 43. Vanguard Total International (BNDX) | B | Dividend | L | T | Buy (add'l) | 02/13/24 | J | | |
| 44. | | | | | Buy (add'l) | 10/09/24 | J | | |
| 45. Account #3 (H) | | | | | | | | | |
| 46. Bank of America (cash) | C | Interest | L | T | | | | | |
| 47. Midfirst Bank (cash) | B | Interest | | | Open | 08/23/24 | L | | |
| 48. | | | | | Closed | 12/02/24 | L | | |
| 49. Truist Bank (cash) | A | Interest | L | T | Open | 02/13/24 | K | | |
| 50. XCEL Energy Inc. (XEL) | B | Dividend | K | T | | | | | |
| 51. PNC Bank National As (cash) | C | Interest | | | Closed | 08/22/24 | L | | |

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|---|---|
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| | | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 52. | Goldman Sachs Bk USA (cash) | C | Interest | | | Closed | 07/17/24 | L | | |
| 53. | US Bank Natl Assoc (cash) | A | Interest | | | Closed | 02/05/24 | K | | |
| 54. | Wells Fargo Bank (cash) | B | Interest | | | Closed | 06/03/24 | K | | |
| 55. | Oil & Gas Items (H) | | | | | | | | | |
| 56. | Simcoe LLC Company, San Juan County, NM | C | Royalty | J | W | | | | | |
| 57. | Hilcorp San Juan, L.P., San Juan County, NM | D | Royalty | K | W | | | | | |
| 58. | Sunco Oil & Gas Production, Seminole County, OK | A | Royalty | J | W | | | | | |
| 59. | Pineland Operating Company (was Great Western), San Juan County, NM | A | Royalty | J | W | | | | | |
| 60. | Finley Resources, Inc., San Juan County, NM | B | Royalty | J | W | | | | | |
| 61. | Working interest in Mewbourne Oil, Eddy County, NM | A | Royalty | J | W | | | | | |
| 62. | Hunker Commune (Holly, Bluestem, Rio & COG), Eddy County, NM | A | Royalty | J | W | | | | | |
| 63. | Minerals, Washita County, OK (BBX Oil Corp.) | A | Rent | J | W | | | | | |
| 64. | Texland Mineral Lease, Hockley County, TX | E | Royalty | L | W | | | | | |

| | | | | | |
|---|---|---|---|---|---|
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| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

| Name of Person Reporting | Date of Report |
|---|---|
| **Baldock, Bobby R.** | 06/27/2025 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Bobby R. Baldock**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544