AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Baldock, Bobby R.	United States Court of Appeals for the Tenth Circuit	04/08/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States Courthouse 500 North Richardson Ave Roswell, NM 88201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Pepperdine University School of Law	Oct. 19-21, 2023	Malibu, CA	Moot Court Judge	Transportation, meals, lodging
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Baldock, Bobby R.	04/08/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. Pioneer Savings Bank (cash)	A	Interest	L	T					
3. Bank of America (cash) (X)	A	Interest	J	T					
4. Silver and silver coins (Y)									
5. Gold coins (Y)									
6. Lot in South Spring Acres, Roswell, NM		None	J	W					
7. Stock in South Spring Acres, Roswell, NM		None	K	W					
8. Account #1 (H)									
9. Bank of America (cash)	A	Interest	K	T					
10. Beal Bank (cash)	A	Interest			Closed	08/16/23	K		
11. UBS Bank (cash)	A	Interest			Closed	04/27/23	K		
12. Flagstar Signature Bank (cash)	D	Interest			Open	01/20/23	M		
13.					Closed	10/20/23	M		
14. Truist Bank (cash)		None	K	T	Open	02/13/23	K		
15. Valley National Bank (cash)		None	M	T	Open	10/30/23	M		
16. Wells Fargo Bank (cash)		None	K	T	Open	08/29/23	K		
17. XCEL Energy Inc. (XEL)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Baldock, Bobby R.	04/08/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Account #2 (H)									
19. Bank of America (cash)	A	Interest	J	T					
20. Invesco Emerging Markets (PCY)	A	Dividend	J	T					
21. Invesco Preferred ETF (PGX)	A	Dividend	J	T					
22. IShares 20+ Year (TLT)	A	Dividend	K	T	Buy (add'l)	07/19/23	J		
23.					Buy (add'l)	10/17/23	J		
24. iShares TIPS (TIP)	A	Dividend	J	T	Sold (part)	10/17/23	J		
25. iShares MBS (MBB)	B	Dividend	L	T	Buy (add'l)	01/19/23	J		
26.					Buy (add'l)	07/19/23	J		
27.					Buy (add'l)	10/17/23	J		
28. iShares Inc Core MSCI Emerging Markets (IEMG)	A	Dividend	K	T	Sold (part)	01/19/23	J	A	
29. iShares Tr Core MSCI EAF (IEFA)	A	Dividend	K	T	Sold (part)	01/19/23	K	B	
30. Schwab Short-Term U.S. (SCHO)	B	Dividend	K	T	Buy (add'l)	01/19/23	K		
31.					Sold (part)	07/19/23	K		
32.					Sold (part)	10/17/23	J		
33. Vaneck Vectors Fallen Angel High Yield B (ANG)	A	Dividend			Sold (part)	01/19/23	J		
34.					Sold	10/17/23	J		

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35. Vanguard Small Cap Value (VBR)	A	Dividend	J	T					
36. Vanguard Small Cap Growth (VBK)	A	Dividend	J	T					
37. Vanguard Value ETF (VTV)	B	Dividend	L	T	Sold (part)	01/19/23	J	C	
38.					Buy (add'l)	07/19/23	J		
39. Vanguard Growth ETF (VUG)	A	Dividend	L	T	Buy (add'l)	01/19/23	J		
40.					Sold (part)	07/19/23	K	D	
41. Vanguard Interm-Term Govt BD (VGIT)	A	Dividend	K	T	Buy	07/19/23	K		
42.					Buy (add'l)	10/17/23	J		
43.					Sold (part)	10/17/23	J		
44. Vanguard Short Term Corp Bond (VCSH)	B	Dividend	K	T	Buy (add'l)	01/19/23	J		
45.					Buy (add'l)	07/19/23	J		
46.					Sold (part)	10/17/23	J		
47. Vanguard Scottsdale Intermediate Term Corp Bond (VCIT)	B	Dividend	K	T	Sold (part)	01/19/23	J		
48.					Buy (add'l)	07/19/23	J		
49. Vanguard Total International (BNDX)	B	Dividend	K	T	Buy (add'l)	01/19/23	K		
50.					Buy (add'l)	07/19/23	J		
51. Xtrackers USD High Yield (HYLB)	A	Dividend			Sold (part)	01/19/23	J		

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52.					Sold	10/17/23	J		
53. Account #3 (H)									
54. Bank of America (cash)	A	Interest	L	T					
55. Beal Bank (cash)	A	Interest			Closed	08/16/23	K		
56. UBS Bank (cash)	A	Interest			Closed	04/27/23	K		
57. Morgan Stanley Private Bank (cash)	C	Interest			Closed	11/10/23	L		
58. US Bank Natl Assoc (cash)		None	K	T	Open	05/03/23	K		
59. Wells Fargo Bank (cash)		None	K	T	Open	08/29/23	L		
60. Goldman Sachs Bk USA (cash)		None	L	T	Open	10/17/23	L		
61. PNC Bank National As (Cash)		None	L	T	Open	11/22/23	L		
62. XCEL Energy Inc. (XEL)	A	Dividend	K	T					
63. Oil & Gas Items (H)									
64. Simcoe LLC Company	C	Royalty	J	W					
65. Hilcorp San Juan, L.P.	D	Royalty	J	W					
66. Sunco Oil & Gas Production	A	Royalty	J	W					
67. Pineland Operating Company (was Great Western Drilling Company)	A	Royalty	J	W					
68. Finley Resources, Inc.	B	Royalty	J	W					

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69.	Working interest in Mewbourne Oil, Eddy County, NM	A	Royalty	J	W					
70.	Hunker Commune (Holly, Bluestem, Rio Oil & Gas, COG Operating)	A	Royalty	J	W					
71.	Minerals, Washita County, OK (BBX Oil Corp.)	A	Rent	J	W					
72.	Texland Mineral Lease, Hockley County, TX	A	Royalty	K	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Bobby R. Baldock

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544