AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Baldock, Bobby R.	United States Court of Appeals for the Tenth Circuit	05/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 500 North Richardson Ave Roswell, NM 88201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Baldock, Bobby R.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Pioneer Savings Bank (cash)	A	Interest	N	T					
3. Silver and silver coins		None	J	T					
4. Gold coins		None	J	T					
5. Lot in South Spring Acres, Roswell, NM		None	J	W					
6. Stock in South Spring Acres, Roswell, NM		None	K	W					
7. Account #1 (H)									
8. Bank of America, N.A. (cash) (Y)									
9. XCEL Energy Inc. (XEL)	B	Dividend	K	T	Sold (part)	04/06/21	J		
10.					Sold (part)	06/30/21	J		
11. Account #2 (H)									
12. MML Bank Deposit Program (cash)	A	Dividend	J	T					
13. Blackrock Liquidity Fund T Fund Instl Cl (TSTXX)		None			Sold	01/12/21	J	E	
14. Invesco Emerging Markets (PCY)	A	Dividend	K	T	Buy (add'l)	01/12/21	J		
15.					Buy (add'l)	09/13/21	J		
16. Invesco Preferred ETF (PGX)	A	Dividend	J	T					
17. IShares 20+ Year (TLT)	A	Dividend	J	T	Buy (add'l)	01/12/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Baldock, Bobby R.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.						Buy (add'l)	09/13/21	J		
19.	iShares TIPS (TIP)	A	Dividend	J	T					
20.	iShares MBS (MBB)	A	Dividend	L	T	Buy (add'l)	01/12/21	J		
21.						Buy (add'l)	09/13/21	J		
22.						Buy (add'l)	11/17/21	J		
23.	iShares Inc Core MSCI Emerging Markets (IEMG)	A	Dividend	K	T	Buy (add'l)	01/12/21	J		
24.						Buy (add'l)	09/13/21	J		
25.	iShares Tr Core MSCI EFA (IEFA)	B	Dividend	L	T	Sold (part)	01/12/21	J	A	
26.						Sold (part)	09/13/21	J	A	
27.	Schwab Short-Term U.S. (SCHO)	A	Dividend	K	T	Buy (add'l)	01/12/21	J		
28.	SPDR Bloomberg Barclays (BIL)		None	K	T	Sold (part)	01/12/21	J		
29.						Buy (add'l)	09/13/21	J		
30.	Vaneck Vectors Fallen Angel High Yield B (ANG)	A	Dividend	J	T					
31.	Vanguard Small Cap Value (VBR)	A	Dividend	K	T	Buy (add'l)	01/12/21	J		
32.						Sold (part)	09/13/21	J	A	
33.						Buy (add'l)	11/17/21	J		
34.	Vanguard Small Cap Growth (VBK)	A	Dividend	J	T	Sold (part)	01/12/21	J	A	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Vanguard Value ETF (VTV)	B	Dividend	L	T	Sold (part)	01/12/21	J	C	
36.					Sold (part)	09/13/21	J	B	
37.					Buy (add'l)	11/17/21	J		
38. Vanguard Growth ETF (VUG)	A	Dividend	L	T	Sold (part)	01/12/21	K	D	
39.					Sold (part)	09/13/21	J	D	
40.					Sold (part)	11/17/21	K	B	
41. Vanguard Intermediate (BIV)	A	Dividend			Sold (part)	01/12/21	K	B	
42.					Sold	09/13/21	K	B	
43. Vanguard Short Term Corp Bond (VCSH)	A	Dividend	L	T	Buy (add'l)	01/12/21	J		
44.					Buy (add'l)	09/13/21	J		
45.					Buy (add'l)	11/17/21	J		
46. Vanguard Intermediate Corp Bond (VCIT)	A	Dividend	K	T	Buy (add'l)	01/12/21	J		
47.					Buy (add'l)	09/13/21	J		
48.					Buy (add'l)	11/17/21	J		
49. Vanguard Total International (BNDX)	A	Dividend	K	T	Buy (add'l)	01/12/21	J		
50.					Buy (add'l)	09/13/21	J		
51. Xtrackers USD High Yield (HYLB)	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52. Account #3 (H)									
53. XCEL Energy Inc. (XEL)	A	Dividend	K	T					
54. Oil & Gas Items (H)									
55. XTO Energy Production	A	Royalty	J	W					
56. Simcoe LLC Company	C	Royalty	J	W					
57. Hilcorp San Juan, L.P.	C	Royalty	J	W					
58. Sunco Oil & Gas Production	A	Royalty	J	W					
59. Great Western Drillling Company	A	Royalty	J	W					
60. El Paso Production Company - (Finley Resources, Inc.)	B	Royalty	J	W					
61. Working interest in Mewbourne Oil, Eddy County, NM	A	Royalty	J	W					
62. Hunker Commune (Holly, Bluestem, Rio Oil & Gas, COG Operating)	A	Royalty	J	W					
63. Minerals, Washita County, OK (BBX Oil Corp.)	A	Rent	J	W					
64. Texland Mineral Lease, Hockley County, TX	A	Royalty	K	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
Baldock, Bobby R.	05/15/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Bobby R. Baldock

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544