AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bade, Bridget S.	Ninth Circuit Court of Appeals	05/03/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Court Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Sandra Day O'Connor U.S. Courthouse 401 W. Washington Street, Suite 612 Phoenix, Arizona 85003		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Trustee	Trust #1 (revocable trust)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Self-Employed Attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bade, Bridget S.	05/03/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. (H) ACCOUNTS									
2. Arizona Central Credit Union	A	Int./Div.	L	T					
3. (H) ROTH IRA #1 - BAIRD									
4. IVV - iShares Core S&P 500 ETF	A	Dividend	J	T					
5. (H) ROTH IRA #2 - BAIRD									
6. IVV - iShares Core S&P 500 ETF	A	Dividend	J	T					
7. (H) COVERDELL ESA - BAIRD									
8. IVV - iShares Core S&P 500 ETF	A	Dividend	K	T	Sold (part)	08/02/21	J	B	
9. (H) ROLLOVER IRA #1 - BAIRD									
10. Baird Money Market	A	Interest	J	T					
11. XEL common stock	B	Dividend	K	T					
12. IVV - iShares Core S&P 500 ETF	B	Dividend	M	T					
13. (H) ROLLOVER IRA #2 - BAIRD									
14. Baird Money Market	A	Interest	J	T					
15. XEL common stock	A	Dividend	K	T					
16. BSPAX - iShares S&P 500 Index Fund	A	Dividend	L	T					
17. IVV - iShares Core S&P 500 ETF	C	Dividend	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Bade, Bridget S.	05/03/2022

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18. (H) ROLLOVER IRA #3 - BEACON POINTE									
19. FDIC Insured Deposit Account - Cash	A	Interest	J	T					
20. IWB - iShares Russell 1000 ETF	B	Int./Div.	M	T					
21. SHY - iShares 1-3 Year Treasury Bond ETF	A	Int./Div.	L	T					
22. IWF - iShares Russell 1000 Growth ETF	A	Int./Div.	M	T					
23. (H) TRUST #1 - BEACON POINTE									
24. FDIC Insured Deposit Account - Cash	A	Interest	M	T					
25. IWF - iShares Russell 1000 Growth ETF	A	Int./Div.	M	T					
26. SHY - iShares 1-3 Year Treasury Bond ETF	A	Int./Div.	J	T					
27. (H) ROLLOVER IRA #4 - BEACON POINTE									
28. FDIC Insured Deposit Account - Cash	A	Interest	J	T					
29. DVY - iShares Select Dividend ETF	D	Int./Div.	M	T					
30. SHY - iShares 1-3 Year Treasury Bond ETF	A	Int./Div.	K	T					
31. IWB - iShares Russell 1000 ETF	C	Int./Div.	N	T	Buy (add'l)	01/07/21	L		
32. (H) LIFE INSURANCE POLICIES									
33. Northwestern Mutual Whole Life	A	Dividend	J	T					
34. Northwestern Mutual Adjustable CompLife	A	Dividend	J	T					

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35. Northwestern Muutal Adjustable CompLife	A	Dividend	J	T					
36. Northwestern Mutual Whole Life	A	Dividend	J	T					
37. Northwestern Mutual 65 Life	A	Dividend	J	T					
38. (H) OFFICE CONDO									
39. TBM2 Investments LLC - office condo in Tempe, AZ	F	Rent	O	W					
40. (H) TBM2 PROMISSORY NOTE									
41. Promissory Note from TBM2 Investments LLC	D	Interest	M	T					
42.									
43.									
44.									
45.									
46.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions. The judge is the co-trustee of a revocable trust for the benefit of the judge, her [redacted] and her [redacted]. The assets held in the name of the revocable trust are the judge's personal residence, the interests in TBM2 Investments LLC, and the account listed in Part VII, lines 23-26. The residence does not have to be reported in Part VII, and the assets of TBM2 Investments LLC are reported in Part VII, lines 38-39.

Part VII. Office condo. The membership interests in TBM2 Investments LLC are owned equally by the judge and her [redacted] through their revocable trust. The judge's [redacted] is the manager of the LLC.

Part VII. TBM2 Promissory Note. The loan to TBM2 Investments LLC was made on March 31, 2014 in connection with the LLC's purchase of the office condo. TBM2 Investments LLC makes loan payments, consisting of principal and interest, to the judge and her [redacted]e. As noted abouve, TBM2 Investments is owned equally by the judge and her [redacted] through their revocable trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Bridget S. Bade

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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