AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bacharach, Robert E.	Court of Appeals for the 10th Circuit	08/14/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	
7. Chambers or Office Address		
200 NW 4th Street Room 2421 Oklahoma City, OK 73102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	FBA Board Member	Federal Bar Association, Oklahoma City Chapter
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	12/31/23	Copyright - Law Book Royalties from American Bar Association	$2,128.42
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Regent University School of Law	01/25/23 - 01/26/23	Virginia Beach, VA	Presentation on Legal Writing	Transportation, Hotel
2.	Columbus School of Law (CAU)	02/23/23 - 02/24/23	Washington, DC	Presentation on Legal Writing	Transportation, Hotel
3.	Peperdine University Caruso School of Law	03/30/23 - 03/31/23	Malibu, CA	Moot Court Panel	Transportation, Hotel, Meals
4.	National Judicial College	04/16/23	Reno, NV	Speaker	Transportation, Hotel
5.	2023 National Foundation for Judicial Excellence	07/28/23 - 07/29/23	Washington, DC	Speaker	Transportation, Hotel, Meals

6.	Utah Appellate Practice Section CLE: Legal Writing Part II	10/02/23 - 10/03/23	Salt Lake City, UT	Presentation on Legal Writing	Transportation, Hotel, Meals
7.	Kansas Judicial Conference	10/26/23	Kansas City, MO	Speaker	Transportation, Hotel, Meals
8.	Alabama Judicial Education Conference	11/29/23 - 11/30/23	Montgomery, AL	Speaker	Transportation, Hotel, Meals

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Bacharach, Robert E.	08/14/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Charles Schwab & Co., Inc.	Margin Loan (Brokerage Account)	J
2.	Westminster School, Oklahoma City, OK	Student Tuition Agreements	None
3.	[redacted]	Student Tuition Agreements	None
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. VANGUARD VARIABLE ANNUITY									
2. - Vanguard Equity Index Portfolio	A	Int./Div.	M	T					
3. - Vanguard Diversified Value Portfolio	A	Int./Div.	M	T					
4. - Vanguard Growth Portfolio	A	Int./Div.	M	T					
5. - Vanguard International Portfolio	A	Int./Div.	N	T					
6. - Vanguard Mid-Cap Index Portfolio	A	Int./Div.	M	T					
7. - Vanguard REIT Index Portfolio	A	Int./Div.	K	T					
8. CHARLES SCHWAB & CO INC Acct #1									
9. - (DFEVX) DFA Emerging Markets Value I	A	Int./Div.			Sold	09/29/23	K	D	
10. - (DFUSX) DFA US Large Company I	A	Int./Div.	K	T	Buy	12/13/23	K		
11. - (DISVX) DFA Internationall Small Cap Portfolio	A	Int./Div.	K	T					
12. - (DFLVX) DFA US Large Cap Value I	B	Int./Div.	L	T					
13. - (FEUPX) Europacific Growth Fund	A	Int./Div.	J	T					
14. - (IEMG) Ishares Inc Core MSCI Emerging Markets ETF	A	Int./Div.	J	T	Buy	01/19/23	J		
15. - (NOMIX) Northern Mid Cap Index Fund	A	Int./Div.	J	T	Buy	09/29/23	J		
16. - (SFILX) Schwab Fundimental International Small Co Index	A	Int./Div.	J	T					
17. - (SWMXX) Schwab Money Market Fund / Cash	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Bacharach, Robert E.	08/14/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. CHARLES SCHWAB & CO INC Acct #2									
19. - (SWGXX) Schwab Government Money Fund / Cash	A	Int./Div.	J	T					
20. - (SFENX) Schwab Fundamental Emerging Markets Large Company Index Fund	A	Int./Div.	J	T					
21. - (SFILX) Schwab Fundamental International Small Co Index	A	Int./Div.	J	T	Sold (part)	01/19/23	J		
22. CHARLES SCHWAB & CO INC Acct #3									
23. - (DFEVX) DFA Emerging Markets Value I	C	Int./Div.	L	T	Sold (part)	09/29/23	K	D	
24. - (DISVX) DFA International Small Cap Value I	C	Int./Div.	L	T					
25. - (DFIVX) DFA International Value I	C	Int./Div.	M	T					
26. - (DFLVX) DFA US Large Cap Value I	B	Int./Div.	L	T					
27. - (DFSVX) DFA US Small Cap Value I	D	Int./Div.	M	T					
28. - (DFUSX) DFA US Large Company I	A	Int./Div.	K	T	Buy	09/29/23	K		
29. - (DURPX) DFA Hi Relatv Profitability Instutional Fund	B	Int./Div.	L	T					
30. - (SWMXX) Schwab Money Market Fund / Cash	A	Int./Div.	J	T					
31. - (VADDX) Invesco Equally-Weighted S&P 500 Fund	C	Int./Div.	L	T					
32. CHARLES SCHWAB & CO INC Acct #4									
33. - (INFIX) Advisory Research MLP & Energy Income I	C	Int./Div.	L	T					
34. - (DFAPX) DFA Investment Grade I	A	Int./Div.	J	T	Buy	09/29/23	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. - (DFEVX) DFA Emerging Markets Value I	A	Int./Div.			Sold	09/29/23	K		
36. - (DFIP) Dimensional Inflation Protected Sec ETF	A	Int./Div.	J	T	Buy	09/29/23	J		
37. - (DFITX) DFA International Real Estate Securities I	A	Int./Div.	K	T					
38. - (DISVX) DFA International Small Cap Value I	B	Int./Div.	J	T	Sold (part)	09/29/23	M	C	
39. - (DFIVX) DFA International Value I	C	Int./Div.	M	T					
40. - (DFSVX) DFA US Small Cap Value Portfolio	A	Int./Div.	K	T	Buy	09/29/23	K		
41. - GSZIX) Goldman Sachs Strategic Income Institutional	A	Int./Div.	J	T	Buy	09/29/23	J		
42. - (IAGG) iShares Core International Aggt Bd EFT	A	Int./Div.	J	T	Buy	09/29/23	J		
43. - (IEMG) iShares Core MSCI Emerging Markets ETF	B	Int./Div.	L	T	Buy (add'l)	09/29/23	J		
44. - (JHEQX) JPMorgan hedged equity I	A	Int./Div.	J	T					
45. - (MRGR) Proshares Merger ETF	A	Int./Div.	J	T	Buy	09/29/23	J		
46. - NOMIX) Northern Mid Cap Index Fund	C	Int./Div.	L	T	Buy	09/29/23	L		
47. - (SAMBX) Virtus Seix Floating Rate High Income I	A	Int./Div.	J	T	Buy	09/29/23	J		
48. - (VADDX) Invesco Equally Weighted S&P 500 Fund	D	Int./Div.	M	T					
49. - (VFSUX) BVanguard Short Term Investment Grade Fund Admiral	A	Int./Div.	K	T	Buy	09/29/23	K		
50. - (PDBC) Invesco Optimum Yield	A	Int./Div.	K	T					
51. - (SWMXX) Schwab Money Market Fund / Cash	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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52. - (VIGAX) Vanguard Growth Index Admiral	B	Int./Div.	M	T	Sold (part)	06/05/23	J	C	
53. - (VNQ) Vanguard Real Estate ETF	A	Int./Div.	J	T	Buy	09/29/23	J		
54. CHARLES SCHWAB & CO INC Acct #5									
55. - (SWMXX) Schwab Money Market Fund / Cash	A	Int./Div.	J	T					
56. - (DFIVX) DFA International Value I	B	Int./Div.	K	T					
57. - (IEMG) iShares Core MSCI Emerging Markets ETF	A	Int./Div.	J	T	Buy	01/19/23	J		
58. CHARLES SCHWAB & CO INC Acct #6									
59. - (DFUV) DFA Dimensioanl US Marketwide Value ETF	B	Int./Div.	M	T					
60. - (DFUSX) DFA US Large Company I	A	Int./Div.	J	T					
61. - (DFSVX) DFA US Small Cap Value I	A	Int./Div.	J	T	Sold (part)	04/27/23	J	D	
62. - (SWMXX) Schwab Money Market Fund / Cash	A	Int./Div.	J	T	Redeemed (part)	04/28/23	J		
63. - (VOE) Vanguard Mid-Cap Value EFT	B	Int./Div.	L	T	Sold (part)	02/02/23	J	D	
64. - (VOO) Vanguard S&P 500 EFT	A	Int./Div.	J	T	Buy	09/29/23	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Bacharach, Robert E.	08/14/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert E. Bacharach

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544