AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Bacharach, Robert E.	Court of Appeals for the 10th Circuit	07/12/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2021 **to** 12/31/2021
	5b. ☐ Amended Report	
7. Chambers or Office Address		
200 NW 4th Street Room 2421 Oklahoma City, OK 73102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	National Delegate - FBA Board Member	Federal Bar Association, Oklahoma City Chapter
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	12/31/21	Copyright - Law Book Royalties from American Bar Association	$5,231.05
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Charles Schwab & Co., Inc.	Margin Loan (Brokerage Account)	K
2.	Westminster School, Oklahoma City, Oklahoma	Student Tuition	J
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Bacharach, Robert E.	07/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. VANGUARD VARIABLE ANNUITY									
2. - Vanguard Equity Index Portfolio	A	Int./Div.	M	T					
3. - Vanguard Diversified Value Portfolio	A	Int./Div.	L	T					
4. - Vanguard Growth Portfolio	A	Int./Div.	M	T					
5. - Vanguard International Portfolio	A	Int./Div.	N	T					
6. - Vanguard Mid-Cap Index Portfolio	A	Int./Div.	M	T					
7. - Vanguard REIT Index Portfolio	A	Int./Div.	L	T					
8. CHARLES SCHWAB & CO INC Acct #1									
9. - (DFEVX) DFA Emerging Markets Value I	B	Int./Div.	K	T					
10. - (DISVX) DFA Internationall Small Cap Portfolio	A	Int./Div.	K	T					
11. - (DFLVX) DFA US Large Cap Value I	C	Int./Div.	L	T					
12. - (FEUPX) Europacific Growth Fund	B	Int./Div.	K	T					
13. - (SFILX) Schwab Fundimental International Small Co Index	A	Int./Div.	J	T					
14. - (SWMXX) Schwab Money Market Fund	A	Int./Div.	J	T					
15. CHARLES SCHWAB & CO INC Acct #2									
16. - (SWGXX) Schwab Government Money Fund			J	T					
17. - (SFENX) Schwab Fundimental Emerging Markets Large Company Index Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Bacharach, Robert E.	07/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. - (SFILX) Schwab Fundimental International Small Co Index	A	Int./Div.	J	T	Sold (part)	03/26/21	J	A	
19. CHARLES SCHWAB & CO INC Acct #3									
20. - (DFEVX) DFA Emerging Markets Value I	C	Int./Div.	L	T					
21. - (DISVX) DFA International Small Cap Value I	C	Int./Div.	L	T					
22. - (DFIVX) DFA International Value I	C	Int./Div.	L	T					
23. - (DFLVX) DFA US Large Cap Value I	B	Int./Div.	L	T					
24. - (DFSVX) DFA US Small Cap Value I	E	Int./Div.	M	T					
25. - (DURPX) DFA Hi Relatv Profitability Instutional Fund	B	Int./Div.	L	T	Sold (part)	06/18/21	J	A	
26. - (SWMXX) Schwab Money Market Fund	A	Int./Div.	J	T					
27. - (VADDX) Invesco Equally-Weighted S&P 500 Fund	D	Int./Div.	L	T					
28. CHARLES SCHWAB & CO INC Acct #4									
29. - (INFIX) Advisory Research MLP & Energy Income I	C	Int./Div.	K	T					
30. - (DFEVX) DFA Emerging Markets Value I	B	Int./Div.	K	T					
31. - (DFITX) DFA International Real Estate Securities I	B	Int./Div.	K	T					
32. - (DISVX) DFA International Small Cap Value I	C	Int./Div.	M	T					
33. - (DFIVX) DFA International Value I	C	Int./Div.	L	T					
34. - (IEMG) iShares Core MSCI Emerging Markets ETF	B	Int./Div.	L	T	Buy (add'l)	06/17/21	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35.					Buy (add'l)	12/20/21	J		
36. - (VADDX) Invesco Equally Weighted S&P 500 Fund	D	Int./Div.	M	T					
37. - (PDBC) Invesco Optimum Yield	D	Int./Div.	K	T					
38. - (SWMXX) Schwab Money Market Fund	A	Int./Div.	K	T					
39. - (VIGAX) Vanguard Growth Index Admiral	B	Int./Div.	M	T	Sold (part)	03/26/21	J	A	
40.					Sold (part)	06/18/21	J	A	
41. CHARLES SCHWAB & CO INC Acct #5									
42. - (SWMXX) Schwab Money Market Fund			J	T					
43. - (DFIVX) DFA International Value I	B	Int./Div.	K	T					
44. CHARLES SCHWAB & CO INC Acct #6									
45. - (DTMMX) DFA Tax Managed US Marketwide Value Portfolio	B	Int./Div.			Closed	10/15/21	M		
46. - (DFMVX) DFA Tax Managed US Marketwide Value Portfolio II	A	Int./Div.	M	T	Open	10/15/21	M		
47. - (DFUSX) DFA US Large Company I	A	Int./Div.	J	T					
48. - (DFSVX) DFA US Small Cap Value I	B	Int./Div.	K	T					
49. - (SWMXX) Schwab Money Market Fund	A	Int./Div.	J	T					
50. - (VOE) Vanguard Mid-Cap Value EFT	B	Int./Div.	L	T					
51.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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Name of Person Reporting	Date of Report
Bacharach, Robert E.	07/12/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Bacharach, Robert E.	07/12/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert E. Bacharach

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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