AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Agee, George S.	4th Circuit	04/20/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Fourth Circuit U.S. Court of Appeals 1100 E. Main Street Richmond, VA 23218		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Life Trustee	Bridgewater College
2.	Director	Roanoke Equity Group, LLC
3.	Trustee	Trust #1
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Agee, George S.	04/20/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2021	Commonwealth of Virginia-Retirement Income	$75,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2021	Carilion Clinic-Salary
2. 2021	RGC Resources, Inc. - Director Fees
3. 2021	American National Bank - Director Fees
4. 2021	Health Care Realty Trust Incorporated - Director Fees
5. 2021	Change Healthcare - Consultant Fees
6. 2021	Mytonomy - Director Fees

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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Agee, George S.	04/20/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Agee, George S.	04/20/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMNB	D	Dividend	N	T					
2. SUWI, LLC - (H)									
3. -Rental Property #1 Roanoke, VA	C	Distribution	L	W					
4. Healthcare Realty Trust, Inc.	C	Dividend	M	T					
5. Agee Mill, LLC	A	Distribution	L	W					
6. RGC Resources, Inc.	D	Dividend	O	T					
7. Edward Jones Cash Account	A	Interest	J	T					
8. RCC Equity Group, LLC		None	K	U					
9. IRA #1 - (H)									
10. -IGAAX	A	Dividend	J	T					
11. -ANWPX	A	Dividend	K	T					
12. -CWGIX	A	Dividend	J	T					
13. -AMRMX	A	Dividend	K	T					
14. -ANCFX	A	Dividend	K	T					
15. -CAIBX	A	Dividend	K	T					
16. -AMECX	A	Dividend	K	T					
17. -AMCPX	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. -AGTHX	A	Dividend	K	T					
19. -NEWFX	A	Dividend	J	T					
20. Retirement Account #1 Virginia Deferred Compensation Plan - (H)									
21. -VRS Stock Fund	C	Dividend	N	T					
22. -Stable Value Fund	A	Dividend	L	T					
23. -VRS Int'l Stock Fund	C	Dividend	L	T					
24. -VRS Small/Mid Cap Stock Fund	B	Dividend	L	T					
25. IRA #2 - (H)									
26. -IGAAX	A	Dividend	J	T					
27. -ANWPX	A	Dividend	J	T					
28. -CWGIX	A	Dividend	J	T					
29. -AMRMX	A	Dividend	J	T					
30. -ANCFX	A	Dividend	J	T					
31. -CAIBX	A	Dividend	J	T					
32. -AMECX	A	Dividend	K	T					
33. -AMCPX	A	Dividend	J	T					
34. -AGTHX	A	Dividend	J	T					

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35. -NEWFX	A	Dividend	J	T					
36. Retirement Account #2 Carilion Health System Sec 403(b) Plan - (H)									
37. -Metropolitan West Total Return Bond Plan	C	Dividend	J	T					
38. -JP Morgan Mid Cap Value	C	Dividend			Sold	09/30/21	J	A	
39. -Loomis Soyles Small Cap Growth Inst	C	Dividend	J	T					
40. -Vanguard Instl Index I	A	Dividend	J	T	Buy	09/30/21	J		
41. Retirement Acct #3 Carilion Health System Sec 457(b) Plan - (H)									
42. -Metropolitan West Total Return Bond Plan	B	Dividend	L	T					
43. -J Hancock Disciplined Value R6	D	Dividend	N	T					
44. -Vanguard Instl I	D	Dividend	N	T					
45. Retirement Acct #4 Equivest TSA 501(c)(3) Plan - (H)									
46. -EQ Guaranteed Interest	A	Dividend	J	T					
47. -EQ International Equity Index	A	Dividend	J	T					
48. -EQ/AB Small Cap Growth	A	Dividend	K	T					
49. -EQ/MidCap VAL managed Vol	A	Dividend	J	T					
50. -EQ Value Equity (formerly EQ Black Rock Basic)	B	Dividend	K	T					
51. -Equitable Multimanager Core Bond	A	Dividend	J	T					

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52. -Equitable Core Plus Bond (formerly Charter Multi Sector Bond)	A	Dividend	J	T					
53. Life Insurance Policy #1 Natl Life Ins Co Whole Life	C	Dividend	M	T					
54. Life Insurance Policy #2 Equitable Variable Life - (H)									
55. -EQ/Moderate Allocation	A	Dividend	J	T					
56. -EQ/Common Stock Index	A	Dividend	K	T					
57. -Multimanager, Aggressive Equity	A	Dividend	J	T					
58. GOFXX (formerly Fed Govt OBLIG Fund #395)	A	Interest	J	T					
59. OWLSX	E	Dividend	P1	T					
60. OWSMX	E	Dividend	N	T					
61. OWACX	E	Dividend	O	T					
62. OWCIX	D	Dividend	M	T					
63. OWMBX	D	Interest	O	T					
64. BOTJ	A	Dividend	K	T					
65. IVV	A	Dividend	M	T					
66. ABTYX	A	Dividend	K	T					
67. FWGIX	A	Dividend	K	T					
68. FUNFX	A	Dividend	K	T					

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69. GAFFX	A	Dividend	K	T					
70. HGIFX	A	Dividend	K	T					
71. FIFAX	A	Dividend	J	T					
72. JPPEX	A	Dividend	K	T					
73. MPVOX	A	Dividend	K	T					
74. MPMNX	A	Dividend	K	T					
75. MFEKX	A	Dividend	K	T					
76. FNPFX	A	Dividend	L	T					
77. FNWFX	A	Dividend	K	T					
78. TBCIX	A	Dividend	K	T					
79. IEFA	A	Dividend	K	T					
80. TIP	A	Dividend	K	T					
81. IUSG	A	Dividend	L	T					
82. VO	A	Dividend	K	T					
83. VB	A	Dividend	K	T					
84. IUSV	A	Dividend	J	T					
85. IWR	A	Dividend	J	T					

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86. CFIHX	A	Dividend	J	T					
87. TFEBX	A	Dividend	J	T					
88. FITQX	A	Dividend	J	T					
89. Retirement Acct #6 SEP (H)									
90. -IEMG	A	Dividend	J	T					
91. -IJR	A	Dividend	J	T					
92. -IVV	A	Dividend	K	T					
93. -ISTB	A	Dividend	K	T					
94. -VEU	A	Dividend	J	T					
95. -VO	A	Dividend	K	T					
96. -FEUPX	A	Dividend	J	T					
97. -FNWFX	A	Dividend	J	T					
98. -BAGIX	A	Dividend	J	T					
99. -MFEKX	A	Dividend	K	T					
100. -MITJX	A	Dividend	K	T					
101. -PHYQX	A	Dividend	J	T					
102. -TRGXX	A	Dividend	J	T					

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103. Trust #1									
104. -AMNB Money Market	A	Interest	J	T					
105. ATFSX	A	Dividend	K	T					
106. IRA #3 - (H)									
107. -OWFIX	D	Interest	N	T					
108. -OWLSX	D	Dividend	O	T					
109. -OWSMX	D	Dividend	M	T					
110. -OWACX	D	Dividend	N	T					
111. -OWCIX	C	Dividend	L	T					
112. MEIKX	A	Dividend	J	T					
113. PDGIX	A	Dividend	J	T					
114. Chorda Pharma, Inc.	A	Dividend	J	T	Buy	12/21/21	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Item 57 on 2020 calendar year Report, VII. Investments and Trusts - "Retirement Account #5 Alliance Benefit Group" reflected the sale of all account assets (FAIGX and VFIAX) with cash distribution to the beneficiary, [redacted], my spouse.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ George S. Agee

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544