AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
WALKER, JOHN M.	Second Circuit Court of Appeals	08/15/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Second Circuit Appeals Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	1/1/2024 **to** 12/31/2024
7. Chambers or Office Address		
157 Church Street, 17th Floor New Haven, CT 06510		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
WALKER, JOHN M.	08/15/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	--COMMON STOCK--									
2.	3M COMPANY	A	Dividend	J	T					
3.	ABBVIE INC COM			J	T	Buy	11/22/24	J		
4.	ADVANCED MICRO DEVICES			J	T	Buy	12/13/24	J		
5.	ALLSTATE CORP	C	Dividend	M	T					
6.	ALPHABET INC CL C	B	Dividend	N	T	Sold (part)	02/15/24	J	D	
7.						Donated (part)	12/12/24	J		
8.	AMERICAN INT'L GROUP	B	Dividend	L	T					
9.	AMERICAN TOWER INC	D	Dividend	M	T					
10.	APPLE INC	A	Dividend	M	T	Sold (part)	10/15/24	J	C	
11.	ASML HOLDING NV NY REGISTRY	A	Dividend			Buy	07/25/24	K		
12.						Sold	11/06/24	J		
13.	AUTO ZONE INC			L	T					
14.	BROADCOM LIMITED	A	Dividend	K	T					
15.	BROOKFIELD INFASTRUCTURE CORP	A	Dividend	J	T	Sold (part)	08/23/24	J	A	
16.	CAMECO CORP	A	Dividend	K	T	Buy	08/15/24	J		
17.	CARMAX			L	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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WALKER, JOHN M.	08/15/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. CHARTER COMMUNICATIONS CL A			L	T	Donated (part)	12/13/24	J		
19. CHEVRON CORP	B	Dividend	K	T	Sold (part)	12/03/24	J		
20. CHIPOTLE MEXICAN GRILL			K	T	Buy	08/15/24	K		
21. CROWDSTRIKE HLDGS INC CL A			J	T	Buy (add'l)	09/25/24	J		
22. CROWN HOLDINGS	A	Dividend	L	T	Sold (part)	07/23/24	J	D	
23. DANAHER CORPORATION			J	T	Buy	12/13/24	J		
24. DEERE & CO COM	A	Dividend	K	T					
25. DOLLAR TREE INC			L	T					
26. EXXON MOBIL CORP			J	T	Buy	12/12/24	J		
27. GE AEROSPACE COM			K	T	Buy	10/23/24	K		
28. GENERAC HLDGS INC COM			J	T					
29. GLADSTONE COMM CORP	A	Dividend	J	T					
30. ISHARES RUSSELL 2000 ETF			K	T					
31. INTUIT COM	A	Dividend	J	T	Buy	08/23/24	J		
32. IOVANCE BIOTHERAPEUTICS INC			J	T	Buy	03/07/24	J		
33.					Sold (part)	12/02/24	J		
34. JOHNSON & JOHNSON	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. JP MORGAN CHASE	C	Dividend	M	T	Sold (part)	01/03/24	K	D	
36. LABORATORY CORP AMER HOLDINGS	A	Dividend	K	T	Sold (part)	12/03/24	J		
37. LOCKHEED MARTIN CORP	A	Dividend	K	T					
38. LOWES CO INC	C	Dividend	M	T	Sold (part)	01/03/24	J	D	
39. MARKEL CORPORATION			M	T					
40. MCDONALD'S CORP	A	Dividend	J	T					
41. MERCK & CO INC	B	Dividend	K	T					
42. MICRON TECHNOLOGY INC			J	T	Buy	12/18/24	J		
43. MICROSOFT CORP	C	Dividend	N	T					
44. NEOGEN CORP			J	T					
45. NEXGEN ENERGY LTD			J	T	Buy	03/28/24	J		
46. NVIDIA CORPORATION	A	Dividend	K	T					
47. NOVARTIS AG SPONSORED ADR	C	Dividend	M	T					
48. NOVO NORDISK A/S ADR					Buy	09/13/24	K		
49.					Sold	12/02/24	K		
50. ORACLE CORPORATION	B	Dividend	M	T	Sold (part)	06/20/24	J	C	
51. ORGANON & CO COMMON STOCK	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
52. PEPSICO INC	B	Dividend	K	T					
53. PHILIP MORRIS INTL INC COM	C	Dividend	K	T	Sold (part)	08/22/24	J	C	
54. PHILIPS 66 COM	B	Dividend	K	T					
55. QUALCOMM INC	A	Dividend	K	T					
56. REGENERON PHARMACEUTICALS			J	T					
57. ROSS STORES INC	B	Dividend	M	T	Sold (part)	08/21/24	K	D	
58. ROYAL BANK CANADA	A	Dividend	K	T	Sold (part)	09/26/24	J		
59. SANDOZ GROUP AG SPNSD ADS	A	Dividend	J	T	Buy	04/17/24	J		
60. SCHLUMBERGER LTD COM	A	Dividend	J	T	Buy	02/22/24	J		
61. SHOPIFY INC CL A			J	T	Buy	05/22/24	J		
62. SMUCKER JM & CO	B	Dividend	L	T					
63. TAIWAN SEMICONDUCTOR	C	Dividend	M	T	Sold (part)	02/08/24	K	E	
64. UBER TECHNOLOGIES INC			K	T	Buy	12/05/24	K		
65. VERTEX PHARMACEUTICALS INC			J	T	Buy (add'l)	02/02/24	J		
66. WALMART STORES INC	A	Dividend	L	T	Sold (part)	09/12/24	J	D	
67. XYLEM INC			J	T	Buy	12/10/24	J		
68.									

1. Income Gain Codes: (See Columns B1 and D4)
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2. Value Codes (See Columns C1 and D3)
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L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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69. --MUTUAL FUNDS--									
70. ISHARES RUSSELL 2000 ETF	A	Dividend	J	T	Buy	11/27/24	J		
71. ISHARES RUSSELL MIDCAP ETF	A	Dividend	J	T	Buy	11/27/24	J		
72.									
73. --CASH & EQUIVALENTS--									
74. PERSHING GOVT ACCOUNT	A	Interest	J	T					
75. DREYFUS TREASURY PRIME INV SH	D	Dividend	M	T					
76. MONEY MKT OBLIGS TR FH US	A	Interest	M	T					
77. US TREASURY BILLS	D	Interest	M	T	Buy (add'l)	04/04/24	M		
78.					Sold (part)	02/08/24	M		
79. US TREASURY NOTES	D	Interest	M	T	Buy (add'l)	06/06/24	M		
80.					Sold (part)	10/15/24	M		
81. --LIMITED PARTNERSHIP-									
82. BROOKFIELD INFRASTRUCTURE PARTNERS	C	Dividend	L	T					
83.									
84. --BANK ACCOUNTS--									
85. BANK OF AMERICA	A	Interest	J	T					

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86. CITIBANK	A	Interest	J	T					
87. PEOPLES BANK	A	Interest	J	T					
88.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
WALKER, JOHN M.	08/15/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JOHN M. WALKER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544