AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
RAGGI, REENA	U.S. COURT OF APPEALS, 2ND CIR	08/12/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
UNITED STATES CIRCUIT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023

7. Chambers or Office Address

U.S. COURTHOUSE
225 CADMAN PLAZA EAST
BROOKLYN, NY 11201

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	TRUSTEE	WILLIAM NELSON CROMWELL FOUNDATION, NEW YORK, NEW YORK
2.	EMPLOYEE-PROFESSOR	NEW YORK UNIVERSITY LAW SCHOOL
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	NEW YORK UNIVERSITY LAW SCHOOL - SALARY	$30,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	SEYFARTH SHAW LLP LAW OFFICE W-2
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	USC GOULD SCHOOL OF LAW	11/13/2023-11/16/2023	LAS ANGELES, CALIF	JURIST IN RESIDENCE PROGRAM	HOTEL AND MEALS
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
RAGGI, REENA	08/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	CITIBANK CHECKING ACCOUNT	A	Interest	N	T					
2.	CITI PERSONAL WEALTH MANAGEMENT									
3.	-UNITED STATES TREAS NTS 2.50% 08/15/23 B/E DTD 08/15/13	B	Interest			Redeemed	08/15/23	M		
4.	-UNITED STATES TREAS NTS 0.250% 03/15/24 B/E DTD 03/15/21	A	Interest	M	T					
5.	-UNITED STATES TREAS NTS 2.375% 08/15/24 B/E DTD 08/15/14	C	Interest	M	T					
6.	-UNITED STATES TREAS NTS 2.875% 07/31/25 DTD 07/31/18	D	Interest	N	T	Buy	08/21/23	N		
7.	CITI PERSONAL WEALTH RETIREMENT PLANS-IRA					Closed	12/21/23	O		
8.	-PUTNAM SHORT DURATION BOND FUND CLASS A	D	Dividend			Sold	08/23/23	L		
9.	-CASH ACCOUNT-CITIBANK BANK DEPOSIT PROGRAM	B	Interest	M	T					
10.	-UNITED STATES TREAS NTS 0.25% 03/15/24 B/E DTD 03/15/21	B	Interest	L	T					
11.	-UNITED STATES TREAS NTS 3.625% 05/31/28 B/E DTD 05/31/23	C	Interest	M	T	Buy	08/23/23	M		
12.	UNITED STATES TREAS NTS 4-125% 01/31/25 B/E DTD 01/31/23	C	Interest	N	T	Buy	08/23/23	N		
13.	CHARLES SCHWAB-CONTRIBUTORY IRA-HIGHTOWER ADVISORS LLC									
14.	-CASH ACCOUNT-CHARLES SCHWAB	B	Interest	N	T					
15.	-ISHARES TR IS 1-5 YR IN GR CR BD ETF		None			Sold	02/23/23	L		
16.	-VANGUARD TOTAL BOND MARKET ETF		None			Sold	02/23/23	L		
17.	-CALVERT SHORT DURATION INC A MUTUAL FUNDS		None			Sold	02/22/23	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -DELAWARE LTD-TERM DIVERS INC A MUTUAL FUNDS		None			Sold	02/22/23	L		
19. -PIMCO TOTAL RETURN A MUTUAL FUNDS		None			Sold	02/22/23	L		
20. -VIRTUS MULTI-SECTOR S/T BD A MUTUAL FUNDS		None			Sold	02/22/23	L		
21. -TEMPLETON GLOBAL BD FD A		None			Sold	02/22/23	K		
22. -TEMPLETON GLOBAL TOTAL RET A		None			Sold	02/22/23	K		
23. -INVESCO S&P 500 EQUAL EFT	B	Dividend	M	T					
24. -UNITED STATES TREASURY 1.125% DUE 10/31/2026	A	Interest	K	T	Buy	02/23/23	K		
25. -UNITED STATES TREASURY 1.25% DUE 03/31/2028	C	Interest	N	T	Buy	02/23/23	N		
26. -UNITED STATES TREASURY 2.25% DUE 10/31/2024	A	Interest	K	T	Buy	02/23/23	K		
27. -UNITED STATES TREASURY 3% DUE 10/31/2025	A	Interest	K	T	Buy	02/23/23	K		
28. -UNITED STATES TREASURY 4/125% DUE 10/31/2027	B	Interest	K	T	Buy	02/23/23	K		
29. NATIONAL FINANCIAL SERVICES LLC-HIGHTOWER SECURITIES, LLC									
30. -FIDELITY GOVT MMKT CAPITAL RESERVES	A	Dividend	L	T					
31. -NEW YORK ST DORM AUTH REVS NON ST 3.00% 07/01/2028	B	Interest	L	T					
32. -ALBANY CNTY N Y ARPT AUTH ARPT REV REV 3.00% 12/15/2028	B	Interest	L	T					
33. -MONROE CNTY N Y PUB IMPT SERIAL BDS 3.00% 06/01/2029	B	Interest	L	T					
34. -METROPOLITAN TRANSN AUTH N Y DEDICATED 11/15/2030	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. -METROPOLITAN TRANSN AUTH N Y REV REF 4.00% 11/15/2034	B	Interest	L	T					
36. -HUDSON YDS INFRASTRUCTURE CIRO 5.00% 02/15/2037	B	Interest	L	T					
37. -CALVERT SHORT DURATION INC CL A-MUTUAL FUNDS		None			Sold	02/21/23	L		
38. -UNITED STATES TREAS SER AL-2024 0.25000% 03/15/2024 NTS NOTE	C	Interest	M	T					
39. -UNITED STATES TREAS NTS NOTE 2.500% 05/15/2024	C	Interest	M	T					
40. -UNITED STATES TREAS BD STRIOO ZERO CON 08/15/2023	D	Interest			Redeemed	08/15/23	M		
41. -UNITED STATES TREAS SER BE-2024 3.00% 07/31/2024 NTS NOTE	D	Interest	M	T					
42. -MAINSTAY MACKAY HIGH YIELD CORP BD CL A-MUTUAL FUND		None			Sold	02/21/23	L		
43. -VIRTUS NEWFLEET LOW DUR CORE PLUS BD A-MUTUAL FUND		None			Sold	02/21/23	K		
44. -VIRTUS NEWFLEET MLT SECTOR SH TERM BD A-MUTUAL FUND		None			Sold	02/21/23	L		
45. -ALLSPRING SHORT TERM MUNI BOND FUND A		None			Sold	02/21/23	L		
46. -UNITED STATES TREASURY SER U-2028 3.50% 01/31/2028	D	Interest	N	T	Buy	02/23/23	N		
47. -US TREAS SEC STRIPPED INT PMT 0.00% 08/15/2034 ZERO CPN	A	Interest	N	T	Buy	11/13/23	N		
48. CHARLES SCHWAB ONE ACCOUNT	A	Interest	K	T					
49. CHARLES SCHWAB-INHERITED IRA									
50. -FIDELITY PURITAN FUND	A	Dividend	K	T	Redeemed (part)	10/11/23	J		
51. UBS FINANCIAL SERVICES INC IRA ROLLOVER									

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52. -UBS BANK USA DEP ACCT	A	Interest	K	T					
53. -ISHARES CORE S&P SMALL-CAP ETF	A	Dividend	K	T					
54. -ISHARES MSCI EAFE ETF		None			Sold	01/20/23	J	A	
55. -ISHARES CORE MSCI EAFE ETF	D	Dividend	M	T	Sold (part)	07/27/23	K	A	
56. -ISHARES CORE MSCI EMERGING MARKETS ETF	B	Dividend	L	T	Sold (part)	06/16/23	J	A	
57. -SPDR SER TRUST S&P OIL & GAS EXPL & PRODTN ETF		None			Sold	02/24/23	J		
58. -VANGUARD VALUE ETF	B	Int./Div.	L	T	Sold (part)	06/16/23	J	C	
59. -VANGUARD MID-CAP ETF	A	Int./Div.	L	T					
60. -VANGUARD GROWTH ETF	A	Int./Div.	L	T	Sold (part)	01/16/23	K	D	
61. -VANGUARD RUSSELL 1000 GROWTH ETF	A	Int./Div.	K	T	Buy (add'l)	11/10/23	J		
62. -VANGUARD RUSSELL 1000 VALUE	A	Int./Div.	K	T	Buy (add'l)	11/10/23	J		
63. -VANGUARD RUSSELL 2000 ETF	A	Int./Div.	K	T	Buy (add'l)	12/18/23	J		
64. -ISHARES 20 YEAR TREAS BOND ETF	B	Dividend	K	T	Buy (add'l)	07/11/23	J		
65. -ISHARES BROAD USD INVT GRADE CORPORATE BOND ETF	C	Dividend	M	T	Buy (add'l)	07/11/23	K		
66. -ISHARES MBS ETF		None			Sold	04/21/23	J	A	
67. -ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF	A	Dividend	J	T	Buy (add'l)	06/20/23	J		
68. -ISHARES BROAD USD HIGH YIELD BOND ETF	B	Dividend	K	T	Buy (add'l)	06/20/23	K		

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69.	-ISHARES 0-3 MNTH TREASURY BOND ETF	A	Dividend	J	T	Buy	07/14/23	J		
70.	-SCHWAB US TIPS ETF	A	Dividend	J	T	Buy	09/12/23	J		
71.	-VANECK VECTORS JP MORGAN EM LOCAL CURRENCY BOND ETF	A	Dividend	J	T	Buy (add'l)	07/11/23	J		
72.	-VANGUARD INTER TERM TREAS ETF	A	Dividend	K	T	Buy (add'l)	07/11/23	J		
73.	-INVESCO OPTIMUM YIELD DIVERSIFIED COMMODITY STRATEGY		None			Sold	06/16/23	J		
74.	UBS FINANCIAL SERVICES INC IRA ROLLOVER									
75.	-UBS BANK USA DEP ACCT	A	Interest	J	T					
76.	-ESCROW LB PAR VALUE		None		T					
77.	-MAN-AHL DIVERSIFIED I CLASS B	A	Int./Div.	L	T					
78.	UBS FINANCIAL SERVICES INC TRADITIONAL IRA									
79.	-UBS BANK USA DEP ACCT	A	Interest	K	T					
80.	-INVESCO OPPENHEIMER S&P SMALLCAP 600 REV ETF	A	Int./Div.	K	T					
81.	-ISHARES CORE S&P SMALL-CAP ETF	A	Int./Div.	L	T					
82.	-ISHARES GLOBAL ENERGY ETF	A	Int./Div.	J	T					
83.	-ISHARES CORE MSCI EAFE ETF	C	Int./Div.	L	T					
84.	-ISHARES CORE MSCI EMERGING MARKETS ETF	B	Int./Div.	L	T					

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85. -SCHWAB FUNDAMENTAL INTL LARGE CO INDEX EFT	B	Int./Div.	K	T					
86. -FIRST EAGLE OVERSEAS FUND CLASS I	A	Int./Div.	K	T					
87. -THE GABELLI GOLD FUND INC CLASSI	A	Int./Div.	J	T					
88. -UBS US QUALITY GROWTH	B	Int./Div.	N	T					
89. -VAN ECK GLOBAL HARD ASSETS CL Y	A	Int./Div.	J	T					
90. -ISHARES SHORT-TERM 1-5 CREDIT CORPORATE BOND ETF	B	Int./Div.	K	T					
91. -BNY MELLON INTERNATIONAL BONF DUND - CLASS 1	A	Int./Div.	K	T					
92. -DOUBLE LINE TOTAL RETURN FUND INSTL	A				Sold	11/20/23	L		
93. -JPMORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS 1	C	Int./Div.	L	T					
94. -LORD ABBETT BOND DEBENTURE FUND CLASS F	B	Int./Div.	K	T					
95. -METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS 1	B	Int./Div.	K	T					
96. -PIMCO TOTAL RETURN FUND CLASS 12	B	Int./Div.	K	T					
97. MERRILL LYNCH-RAMIREZ WEALTH MANAGEMENT									
98. -CASH/MONEY ACCOUNTS - BANK OF AMERICA	A	Interest	J	T					
99. -GNM SECURITY BOND	A	Interest	J	T					
100. -GNM SECURITY BOND	A	Interest	J	T					
101. UBS FINANCIAL SERVICES INC ACCOUNT RMA SA									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
RAGGI, REENA	08/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
102. -UBS BANK USA DEP ACCT/MMF YIELD	A	Interest	L	T					
103. -US TREASURY BILL 06/15/23	B	Interest			Sold	05/25/23	N		
104. -US TREASURY BILL MATURES 10/03/2024	A	Interest	N	T	Buy	10/10/23	N		
105. -US TREASURY BILL MATURES 11/29/2024	A	Interest	O	T	Buy	12/18/23	O		
106. -US TREASURY NOTE RATE 0.8750% MATURES 01/31/2024	B	Interest	N	T	Buy	02/09/23	N		
107. -US TREASURY NOTE RATE 0.2500% MATURES 06/15/24	A	Interest	N	T	Buy	02/09/23	N		
108. -US TREASURY NOTE RATE 4.250% MATURES 09/30/2024	D	Interest	N	T	Buy	02/09/23	N		
109. -ISHARES RUSSELL 2000 ETF	A	Interest	J	T					
110. -ISHARES CORE S&P SMALL-CAP ETF	B	Int./Div.	M	T					
111. -ISHARES RUSSELL 1000 VALUE ETF	A	Dividend	K	T					
112. -ISHARES SELECT DIVID ETF	B	Int./Div.	K	T					
113. -ISHARES CORE MSCI EAFE ETF	D	Int./Div.	M	T					
114. -ISHARES CORE MSCI EMERGING MARKETS ETF	B	Int./Div.	L	T					
115. -VANGUARD VALUE ETF	A	Int./Div.	K	T					
116. -VANGUARD DIVID APPRECIATION	A	Int./Div.	K	T					
117. -BNY MELLON SMALL/MID CAP GROWTH FUND	B	Int./Div.	L	T					
118. -CALAMOS EVOLVING WORLD GROWTH FUND CLASS 1	A	Int./Div.	L	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
119. -FIRST EAGLE OVERSEAS FUND CLASS 1	A	Int./Div.	M	T					
120. -UBS US DIVIDEND RULER PRICE FUND CLASS P	C	Int./Div.	N	T					
121. -UBS US QUALITY GROWTH AT REASONABLE PRICE FUND CLASS P	A	Int./Div.	M	T					
122. -BANK AMER CORP SER KK 5.375% PREFERRED CLBL PAR VALUE	B	Interest	L	T	Buy	09/13/23	L		
123. -JPMORGAN CHASE & CO SER DD 5.75% PREFERRED CLBL PAR VALUE	B	Interest	L	T	Buy	09/13/23	L		
124. -MORGAN STANLEY SER K OREFERRED CLBL PAR VALUE RT 5.85%	B	Interest	L	T	Buy	09/13/23	L		
125. -WELLS FARGO & CO 4.75% PREFERRES CLBL PAR VALUE	B	Interest	L	T	Buy	09/13/23	L		
126. UBS FINANCIAL SERVICES INC TRADITIONAL IRA									
127. -UBS BANK USA DEP ACCOUNT	A	Interest	J	T					
128. UBS FINANCIAL SERVICES INC RMA MPF FI-MANAGED BY HV ETF MUNICIPAL		None			Closed	02/07/23	P1		
129. -UBS INSURED SWEEP PROGRAM		None	J	T					
130. -INVESCO NATL AMT FREE MUNICIPAL BOND ETF		None		T	Sold	02/07/23	N		
131. -ISHARES 20 YEAR TREAS BOND ETF		None			Sold	02/07/23	M		
132. -ISHARES MBS ETF		None			Sold	02/07/23	J		
133. -ISHARES NATL MUNI BOND ETF		None			Sold	02/07/23	O		
134. -ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF		None			Sold	02/07/23	M		

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135. -ISHARES SHORT-TERM NATL MUNI BOND ETF		None			Sold	02/07/23	M		
136. -ISJARES BROAD USD HIGH YIELD BOND ETF		None			Sold	02/07/23	M		
137. -VANGUARD INTER TERM TREAS ETF		None			Sold	02/07/23	M		
138. -VANGUARD SHORT-TERM TREAS ETF		None			Sold	02/07/23	M		
139. UBS FINANCIAL SERVICES INC-RMA									
140. -UBS INSURED SWEEP PROGRAM	A	Interest	J	T					
141. -CITIBANK NA BAR-MLCD SPX 03/22/2024	A	Interest	L	T					
142. -GOLDMAN SACH BANK USA BAR-MLCD SPX 02/15/2024	A	Interest	L	T					
143. -GOLDMAN SACH BANK USA BAR-MLCD SPX 05/20/2024	A	Interest	L	T					
144. GOLDMAN SACH BANK USA BAR-MLCD SPX 05/31/2024	A	Interest	L	T					
145. -CITIBANK NA BAR-MLCD SPX 08/30/2024	A	Interest	L	T	Buy	02/23/23	L		
146.									
147.									
148.									
149.									
150.									
151.									

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152.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINE 7 PART VII-CITI PERSONAL WEALTH RETIREMENT PLANS-IRA 0 ACCOUNT WAS CLOSED AND ALL ASSETS TRANSFERRED TO CHARLES SCHWAB-CONTRIBUTORY IRA-HIGHTOWER ADVISORS LLC LINE 13

Name of Person Reporting	Date of Report
RAGGI, REENA	08/12/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ REENA RAGGI

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544