AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
RAGGI, REENA	U.S. COURT OF APPEALS, 2ND CIR	09/27/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
UNITED STATES CIRCUIT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022

7. Chambers or Office Address

U.S. COURTHOUSE
225 CADMAN PLAZA EAST
BROOKLYN, NY 11201

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	TRUSTEE	WILLIAM NELSON CROMWELL FOUNDATION, NEW YORK, NEW YORK
2.	EMPLOYEE-PROFESSOR	NEW YORK UNIVERSITY LAW SCHOOL
3.	SECRETARY	PIERREPONT STREET TENANTS ASSOCIATION, BROOKLYN, NEW YORK
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	NEW YORK UNIVERSITY LAW SCHOOL - SALARY	$30,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	SEYFARTH SHAW LLP LAW OFFICE W-2
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	FEDERALIST SOCIETY	11/11/2022-11/12/2022	WASHINGTON, DC	2022 NATIONAL LAWYERS CONVENTION	HOTEL AND MEALS
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
RAGGI, REENA	09/27/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	CITIBANK CHECKING ACCOUNT	A	Interest	N	T					
2.	CITI PERSONAL WEALTH MANAGEMENT									
3.	-GOLDMAN SACHS BK USA NEW YORK CTF DEP ACT/365 2.40% 06/19/19	B	Interest		T	Redeemed	06/21/22	M		
4.	-UNITED STATES TREAS NTS 2.50% 08/15/23 B/E DTD 08/15/13	B	Interest	M	T	Buy	08/03/22	M		
5.	-UNITED STATES TREAS NTS 0.250% 03/15/24 B/E DTD 03/15/21	A	Interest	M	T	Buy	02/16/22	M		
6.	-UNITED STATES TREAS NTS 2.375% 08/15/24 B/E DTD 08/15/14	B	Interest	M	T	Buy	08/03/22	M		
7.	CITI PERSONAL WEALTH RETIREMENT PLANS-IRA									
8.	-PUTNAM SHORT DURATION BOND FUND CLASS A	D	Dividend	O	T					
9.	-CASH ACCOUNT-CITIBANK BANK DEPOSIT PROGRAM	A	Interest	L	T					
10.	-UNITED STATES TREAS NTS 0.25% 03/15/24 B/E DTD 03/15/21	B	Interest	L	S	Buy	02/16/22	L		
11.	CHARLES SCHWAB-CONTRIBUTORY IRA-HIGHTOWER ADVISORS LLC									
12.	-CASH ACCOUNT	A	Interest	K	T					
13.	-ISHARES TR IS 1-5 YR IN GR CR BD ETF	B	Dividend	L	T					
14.	-VANGUARD TOTAL BOND MARKET ETF	B	Dividend	L	T					
15.	-CALVERT SHORT DURATION INC A MUTUAL FUNDS	B	Dividend	L	T					
16.	-DELAWARE LTD-TERM DIVERS INC A MUTUAL FUNDS	B	Dividend	L	T					
17.	-PIMCO TOTAL RETURN A MUTUAL FUNDS	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. -VIRTUS MULTI-SECTOR S/T BD A MUTUAL FUNDS	C	Dividend	L	T					
19. -TEMPLETON GLOBAL BD FD A	B	Dividend	K	T					
20. -TEMPLETON GLOBAL TOTAL RET A	B	Dividend	K	T					
21. -INVESCO S&P 500 EQUAL EFT	B	Dividend	M	T					
22. NATIONAL FINANCIAL SERVICES LLC-HIGHTOWER SECURITIES, LLC									
23. -FIDELITY GOVT MMKT CAPITAL RESERVES	C	Dividend	M	T					
24. -FIEDELITY NY MUNI MONEY MARKET	A	Dividend		T	Sold	08/04/22	N		
25. -GENERAL ELECTRIC CO	A	Dividend		T	Sold	07/05/22	J		
26. -PFIZER INC	A	Dividend		T	Sold	07/05/22	L	E	
27. -VIATRIS INC COM	A	Distribution		T	Sold	03/24/22	J	A	
28. -NEW YORK ST TWY AUTH GEN REV GEN REV-01/01/2032	A	Interest		T	Redeemed	01/03/22	K		
29. -NEW YORK N Y GO BDS SER B 4.00% 08/01/2022	A	Interest		T	Redeemed	08/01/22	K		
30. -NEW YORK ST DORM AUTH REVS NON ST 3.00% 07/01/2028	B	Interest	L	T					
31. -ALBANY CNTY N Y ARPT AUTH ARPT REV REV 3.00% 12/15/2028	B	Interest	L	T					
32. -MONROE CNTY N Y PUB IMPT SERIAL BDS 3.00% 06/01/2029	B	Interest	L	T					
33. -METROPOLITAN TRANSN AUTH N Y DEDICATED 11/15/2030	A	Interest	K	T					
34. -TRIBOROUGH BRDG & TUNL AUTH N Y REVS 11/15/2030	A	Interest	K	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. -NEW YORK N Y CITY TRANSITIONAL FIN 5.00% 05/01/2034		None		T	Redeemed	05/02/22	K		
36. -METROPOLITAN TRANSN AUTH N Y REV REF 4.00% 11/15/2034	B	Interest	L	T					
37. -HUDSON YDS INFRASTRUCTURE CIRO 5.00% 02/15/2037	B	Interest	L	T					
38. -CALVERT SHORT DURATION INC CL A-MUTUAL FUNDS	B	Dividend	L	T					
39. -UNITED STATES TREAS SER AL-2024 0.25000% 03/15/2024 NTS NOTE	C	Interest	M	T	Buy	03/22/22	M		
40. -UNITED STATES TREAS NTS NOTE 2.500% 05/15/2024	C	Interest	M	T	Buy	07/01/22	M		
41. -UNITED STATES TREAS BD STRIOO ZERO CON 08/15/2023	D	Interest	M	T	Buy	08/05/22	M		
42. -UNITED STATES TREAS SER BE-2024 3. % 07/31/2024 NTS NOTE	D	Interest	M	T	Buy	08/05/22	M		
43. -INVESCO ROCHESTER LMTD TERM MUNI A-MUTUAL FUND		None		T	Sold	07/02/22	L		
44. -MAINSTAY MACKAY HIGH YIELD CORP BD CL A-MUTUAL FUND	C	Dividend	L	T					
45. -PGIM SHORT-TERM CORP BOND CL A-MUTUAL FUND		None		T	Sold	07/05/22	L		
46. -VIRTUS NEWFLEET LOW DUR CORE PLUS BD A-MUTUAL FUND	A	Dividend	K	T					
47. -VIRTUS NEWFLEET MLT SECTOR SH TERM BD A-MUTUAL FUND	B	Dividend	M	T					
48. -ALLSPRING SHORT TERM MUNI BOND FUND A	A	Dividend	L	T					
49. CHARLES SCHWAB ONE ACCOUNT	A	Interest	K	T					
50. CHARLES SCHWAB-INHERITED IRA									
51. -FIDELITY PURITAN FUND	A	Dividend	K	T					

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52. UBS FINANCIAL SERVICES INC IRA ROLLOVER									
53. -UBS BANK USA DEP ACCT	A	Interest	J	T					
54. -FLEXSHARES MORNINGSTAR GLOBAL UPSTREAM NATURAL	A	Int./Div.		T	Sold	01/05/22	J	A	
55. -ISHARES CORE S&P SMALL-CAP ETF	A	Interest	J	T					
56. -ISHARES RUSSELL MID-CAP VALUE ETF	A	Int./Div.		T	Sold	03/07/22	J		
57. -ISHARES MSCI EAFE ETF	B	Interest	J	T	Sold (part)	03/25/22	K	A	
58. -ISHARES CORE MSCI EAFE ETF	B	Interest	M	T	Buy (add'l)	07/12/22	K		
59. -ISHARES CORE MSCI EMERGING MARKETS ETF	B	Interest	L	T	Buy (add'l)	07/12/22	J		
60. -SPDR SER TRUST S&P OIL & GAS EXPL & PRODTN ETF	A	Int./Div.	J	T	Buy	10/21/22	J		
61. -ISHARES CURRENCT HEDGED MISC JAPAN ETF	A	Int./Div.		T	Sold	01/28/22	J		
62. -VANGUARD VALUE ETF	B	Interest	L	T	Sold (part)	07/12/22	J	C	
63. -VANGUARD MID-CAP ETF	A	Interest	K	T	Sold (part)	02/25/22	J	C	
64. -VANGUARD GROWTH ETF	B	Interest	L	T	Sold (part)	10/21/22	J	B	
65. -VANGUARD RUSSELL 1000 GROWTH ETF	A	Int./Div.	J	T	Buy (add'l)	12/27/22	J		
66. -VANGUARD RUSSELL 1000 VALUE	A	Int./Div.	K	T					
67. -VANGUARD RUSSELL 2000 ETF	A	Interest	K	T					
68. -WISDOMTREE JAPAN HEDGED EQUITY FUND ETF	A	Int./Div.		T	Sold	01/28/22	J	A	

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69.	-ISHARES 20 YEAR TREAS BOND ETF	A	Interest	K	T	Buy (add'l)	06/24/22	J		
70.	-ISHARES BROAD USD INVT GRADE CORPORATE BOND ETF	C	Interest	L	T	Buy (add'l)	10/21/22	K		
71.	-ISHARES MBS ETF	A	Interest	J	T	Buy	10/21/22	J		
72.	-ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF	A	Interest	J	T					
73.	-ISHARES BROAD USD HIGH YIELD BOND ETF	B	Interest	K	T					
74.	-VANECK VECTORS JP MORGAN EM LOCAL CURRENCY BOND ETF	A	Interest	J	T					
75.	-VANGUARD INTER TERM TREAS ETF	A	Interest	J	T	Buy	10/21/22	J		
76.	-INVESCO OPTIMUM YIELD DIVERSIFIED COMMODITY STRATEGY	A	Interest	J	T	Buy	12/27/22	J		
77.	UBS FINANCIAL SERVICES INC IRA ROLLOVER									
78.	-UBS BANK USA DEP ACCT	A	Interest	J	T					
79.	-ESCROW LB PAR VALUE		None	J	T					
80.	-MAN-AHL DIVERSIFIED I CLASS B	A	Int./Div.	L	T					
81.	UBS FINANCIAL SERVICES INC TRADITIONAL IRA									
82.	-UBS BANK USA DEP ACCT	A	Interest	K	T					
83.	-INVESCO OPPENHEIMER S&P SMALLCAP 600 REV ETF	A	Int./Div.	K	T					
84.	-ISHARES CORE S&P SMALL-CAP ETF	A	Int./Div.	K	T					

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85. -ISHARES GLOBAL ENERGY ETF	A	Int./Div.	J	T					
86. -ISHARES CORE MSCI EAFE ETF	C	Int./Div.	L	T					
87. -ISHARES CORE MSCI EMERGING MARKETS ETF	B	Int./Div.	L	T					
88. -SCHWAB FUNDAMENTAL INTL LARGE CO INDEX EFT	B	Int./Div.	K	T					
89. -FIRST EAGLE OVERSEAS FUND CLASS I	A	Int./Div.	K	T					
90. -THE GABELLI GOLD FUND INC CLASSI	A	Int./Div.	J	T					
91. -UBS US QUALITY GROWTH	B	Int./Div.	O	T					
92. -VAN ECK GLOBAL HARD ASSETS CL Y	A	Int./Div.	J	T					
93. -ISHARES SHORT-TERM 1-5 CREDIT CORPORATE BOND ETF	B	Int./Div.	K	T					
94. -BNY MELLON INTERNATIONAL BONF DUND - CLASS 1	A	Int./Div.	K	T					
95. -DOUBLE LINE TOTAL RETURN FUND INSTL	C	Int./Div.	L	T					
96. -JPMORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS 1	C	Int./Div.	L	T					
97. -LORD ABBETT BOND DEBENTURE FUND CLASS F	B	Int./Div.	K	T					
98. -METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS 1	B	Int./Div.	K	T					
99. -PIMCO TOTAL RETURN FUND CLASS 12	B	Int./Div.	K	T					
100. MERRILL LYNCH-RAMIREZ WEALTH MANAGEMENT									
101. -CASH/MONEY ACCOUNTS - BANK OF AMERICA	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
RAGGI, REENA	09/27/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
102. -GNM SECURITY BOND	A	Interest	J	T					
103. -GNM SECURITY BOND	A	Interest	J	T					
104. UBS FINANCIAL SERVICES INC ACCOUNT									
105. -UBS BANK USA DEP ACCT/MMF YIELD	A	Interest	M	T					
106. -US TREASURY BILL 06/15/23	C	Interest	N	T	Buy	12/14/22	N		
107. -ISHARES RUSSELL 2000 ETF	A	Interest	J	T					
108. -ISHARES CORE S&P SMALL-CAP ETF	B	Int./Div.	M	T					
109. -ISHARES RUSSELL 1000 VALUE ETF	A	Interest	K	T					
110. -ISHARES RUSSELL 1000 GROWTH ETF	A	Interest		T	Sold	06/15/22	K	D	
111. -ISHARES SELECT DIVID ETF	B	Int./Div.	K	T	Buy	08/10/22	K		
112. -ISHARES CORE MSCI EAFE ETF	D	Int./Div.	M	T					
113. -ISHARES CORE MSCI EMERGING MARKETS ETF	B	Int./Div.	L	T					
114. -VANGUARD VALUE ETF	A	Int./Div.	K	T	Buy	08/10/22	K		
115. -VANGUARD DIVID APPRECIATION	A	Int./Div.	K	T	Buy	08/10/22	K		
116. -BNY MELLON SMALL/MID CAP GROWTH FUND	B	Int./Div.	L	T					
117. -CALAMOS EVOLVING WORLD GROWTH FUND CLASS 1	A	Int./Div.	L	T					
118. -FIRST EAGLE OVERSEAS FUND CLASS 1	A	Int./Div.	M	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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119. -UBS US DIVIDEND RULER PRICE FUND CLASS P	C	Int./Div.	N	T					
120. -UBS US QUALITY GROWTH AT REASONABLE PRICE FUND CLASS P	A	Int./Div.	M	T	Sold (part)	06/15/22	M		
121. UBS FINANCIAL SERVICES INC TRADITIONAL IRA									
122. -UBS BANK USA DEP ACCOUNT	A	Interest	J	T					
123. UBS FINANCIAL SERVICES INC RMA MPF FI-MANAGED BY HV ETF MUNICIPAL									
124. -UBS INSURED SWEEP PROGRAM	A	Interest	L	T					
125. -INVESCO SENIOR LN ETF	A	Int./Div.		T	Sold	04/08/22	K		
126. -INVESCO NATL AMT FREE MUNICIPAL BOND ETF	D	Int./Div.	O	T	Buy (add'l)	08/01/22	N		
127. -ISHARES 20 YEAR TREAS BOND ETF	B	Int./Div.	L	T	Buy (add'l)	08/26/22	L		
128. -ISHARES MBS ETF	A	Int./Div.	K	T	Buy	08/01/22	K		
129. -ISHARES NATL MUNI BOND ETF	D	Int./Div.	O	T	Buy (add'l)	08/01/22	N		
130. -ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF	C	Int./Div.	L	T	Buy (add'l)	08/01/22	L		
131. -ISHARES SHORT-TERM NATL MUNI BOND ETF	B	Int./Div.	M	T	Buy (add'l)	08/01/22	M		
132. -ISHARES CMBS ETF	A	Int./Div.		T	Sold	02/11/22	J		
133. -ISJARES BROAD USD HIGH YIELD BOND ETF	C	Int./Div.	L	T	Buy (add'l)	08/01/22	L		
134. -VANGUARD INTER TERM TREAS ETF	C	Int./Div.	M	T	Buy (add'l)	08/01/22	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
RAGGI, REENA	09/27/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
135. -VANGUARD SHORT-TERM TREAS ETF	A	Int./Div.	L	T	Buy (add'l)	08/01/22	L		
136. UBS FINANCIAL SERVICES INC-RMA UBS AM MUNI									
137. -UBS INSURED SWEEP PROGRAM		None		T	Closed				
138. -ST DORM AUTH SALES TAX R A-GRO RV-03/15/22	A	Interest		T	Sold	07/27/22	K		
139. -DORMITORY AUTH OF NY ST-0701/42	A	Interest		T	Sold	07/27/22	L		
140. -ROCHESTER NY GENL OBLIG SR 11 NTS-08/03/22	A	Interest		T	Sold	07/27/22	L		
141. -OYSTER BAY TOWN-08/26/22	A	Interest		T	Sold	07/27/22	L		
142. -METROPOLITAN TRANSN AUTH-09/01/22	A	Interest		T	Sold	07/27/22	K		
143. -METROPOLITAN TRANSN AUTH-11/15/42	A	Interest		T	Sold	07/27/22	K		
144. -METROPOLITAN TRANSN AUTH-11/15/22	A	Interest		T	Sold	07/27/22	K		
145. -TRIBOROUGH BRDG&TUNL NY-11/15/22	A	Interest		T	Sold	07/27/22	K		
146. -METROPOLITAN TRANSN AUTH-11/15/26	A	Interest		T	Sold	07/27/22	K		
147. -MONROE CNTY NY INDL DEV-07/01/24	A	Interest		T	Sold	07/27/22	K		
148. -NEW YORK CITY TRANSIT-05/01/25	A	Interest		T	Sold	06/15/21	J		
149. -PORT AUTH NY & NJ-05/01/25	A	Interest		T	Sold	07/27/22	K		
150. -ST DORM AUTH SALES TAX RA-GRO RV-03/15/26	A	Interest		T	Sold	07/27/22	K		
151. -NEW YORK NY CITY TRANSIT-11/01/26	A	Interest		T	Sold	07/27/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
RAGGI, REENA	09/27/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
152. -NY ST DORM AUTH SALES-03/15/27	A	Interest		T	Sold	07/27/22	K		
153. -NEW YORK ST ENVIRON FACS-06/15/27	A	Interest		T	Sold	07/27/22	K		
154. -NEW YORK ST DORM AUTH RE-07/01/27	A	Interest		T	Sold	07/27/22	L		
155. -NEW YORK NY GO BDS-04/01/28	A	Interest		T	Sold	07/27/22	K		
156. -LONG ISLAND POWER AUTHORITY-09/01/28	A	Interest		T	Sold	07/27/22	K		
157. -TRIBOROUGH BRDG & TUNL AUTH-11/01/28	A	Interest		T	Sold	07/27/22	J		
158. -TRIBOROUGH BRDG & TUNL AUTH-11/15/28	A	Interest		T	Sold	07/27/22	K		
159. -NEW YORK ST DORM AUTH-07/01/29	A	Interest		T	Sold	07/22/22	L		
160. -NEW YORK ST ENVIRON FACS-06/15/30	A	Interest		T	Sold	07/27/22	K		
161. -NEW YORK CITY TRANS FINA-07/15/30	A	Interest		T	Sold	07/27/22	K		
162. -NASSAU NY INTERIM FIN AUTH-11/15/30	A	Interest		T	Sold	07/27/22	K		
163. -NEW YORK ST DORM AUTH-07/01/32	A	Interest		T	Sold	07/27/22	J		
164. -NEW YORK CITY TRANS FINA-07/15/33	A	Interest		T	Sold	07/27/22	K		
165. -NEW YORK ST DORM AUTH ST 2021-03/15/34	A	Interest		T	Sold	07/27/22	J		
166. -TRIBOROUGH BRDG & TUNL AUTH-05/15/38	A	Interest		T	Sold	07/27/22	K		
167. -NEW YORK N Y CITY MUNI-06/15/41	A	Interest		T	Sold	07/27/22	K		
168. -NEW YORK N Y GO BDS-03/01/43	A	Interest		T	Sold	07/27/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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169. -NEW YORK ST URBAN DEV CO-03/15/44	A	Interest		T	Sold	07/27/22	K		
170. -NEW YORK NY CITY MUN WT-06/15/44	A	Interest		T	Sold	07/27/22	L		
171. -NEW YORK NY CITY TRANSIT-08/01/45	A	Interest		T	Sold	07/27/22	K		
172. -TRIBOROUGH BRDG & TUNL AUTH-05/15/46	A	Interest		T	Sold	07/27/22	K		
173. UBS FINANCIAL SERVICES INC-RMA									
174. -UBS INSURED SWEEP PROGRAM	A	Interest	J	T					
175. -CITIBANK NA BAR-MLCD SPX 03/22/2024	A	Interest	L	T	Buy	09/19/22	L		
176. -GOLDMAN SACH BANK USA BAR-MLCD SPX 02/15/2024	A	Interest	L	T	Buy	08/12/22	L		
177. -GOLDMAN SACH BANK USA BAR-MLCD SPX 05/20/2024	A	Interest	L	T	Buy	11/15/22	L		
178. GOLDMAN SACH BANK USA BAR-MLCD SPX 05/31/2024	A	Interest	L	T	Buy	11/28/22	L		
179.									
180.									
181.									
182.									
183.									
184.									
185.									

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186.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
RAGGI, REENA	09/27/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ REENA RAGGI

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544