AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Prost, Sharon	Federal Circuit	05/08/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Court of Appeals, Federal Circuit 717 Madison Pl., NW Washington, DC 20439		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Conner Inn 15th Annual Reception and Dinner	01/23/2023 - 01/24/2023	New York, NY	Annual Reception and Dinner	Transportation, lodging, food
2.	NYIPLA 101st Annual Dinner in Honor of the Federal Judiciary	03/31/2023 - 04/01/2023	New York, NY	Annual Dinner	Transportation, lodging, food
3.	Delaware Bench and Bar Conference	09/21/2023 - 09/22/2023	Wilmington, DE	Conference	Transportation, lodging, food
4.	FCBA 2023 Global Series	10/31/2023 - 11/03/2023	Milan, Italy	Conference	Transportation, lodging, food

5.

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Prost, Sharon	05/08/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	ML Fundamental Growth Mutual Fund		None	J	T					
2.	CMA Tax-Exempt Fund	A	Interest	J	T					
3.	Pioneer - Pioneer Value Fund CIA	A	Dividend	K	T					
4.	American Funds - Capital World Growth Income Fund	A	Dividend	K	T					
5.	American Funds New World Fund	A	Dividend	L	T					
6.	Rental Property, Silver Spring, MD	D	Rent	M	W					
7.	United States Senate FCU - Account	B	Interest	M	T					
8.	United States Senate - ROTH IRA	A	Interest	J	T					
9.	Pioneer - Pioneer Mid-Cap Growth Fund - IRA	A	Dividend	K	T					
10.	M&T Bank - IRA	A	Interest	J	T					
11.	PNC Bank - IRA	A	Interest	J	T					
12.	U.S. Government Fund - The Reserve Fund - IRA	A	Dividend	J	T					
13.	Merill Lynch Life Insurance Cash Surrender Value	A	Dividend	L	T					
14.	PNC Bank - CDs	B	Interest	J	T					
15.	Goldman Sachs TR Mid-Cap Value Fund	A	Dividend	J	T					
16.	American Century New Opportunities	A	Dividend	J	T					
17.	Westcore Small Cap Value Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Prost, Sharon	05/08/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.	AUGUSTA GA WTR & SEW REV & IMPT RF	A	Interest	K	T					
19.	San Angelo Tex Indpt Sch Dist	A	Interest	J	T					
20.	Vanguard Growth ETF (VUG)	A	Dividend	K	T					
21.	New York NY City TFA Rev Future Ser	A	Dividend	J	T					
22.	Powershares DWA Momentum Portfolio - Now Invesco DWA* Momentum (PDP) *	A	Dividend	J	T					
23.	Guggenheim S&P 500 - Now Invesco S&P 500 (RSP)*	A	Dividend	J	T					
24.	SPDR Ser TR S&P Dividend (SDY)	A	Dividend	J	T					
25.	SPDR S&P 500 ETF Trust (SPY)	A	Dividend	J	T					
26.	IShares IBonds Dec 2025 Term Corp	A	Dividend	J	T					
27.	IShares Edge MSCI USA Momentum (MTUM)	A	Dividend			Sold	10/16/23	J	A	
28.	Vanguard Information Tech ETF Prospectus (VGT)	A	Dividend	J	T					
29.	District Columbia GO BDS SER D Dec17	A	Interest	J	T					
30.	Hawaii ST GO BDS SER FT Feb18	A	Interest	J	T					
31.	IShares Russell 1000 Value Product Description (IWD)*	A	Dividend	K	T					
32.	IShares Russell 1000 Growth (IWF)*	A	Dividend	K	T					
33.	Vanguard Energy ETF FDS	A	Dividend			Sold	03/02/23	J	A	
34.	Vanguard Consumer Staples	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. Delaware ST GO BDS	A	Interest	J	T					
36. Virginia Beach VA Dev Authority	A	Interest	J	T					
37. Washington ST VAR PURP GO BDS SER B Feb15	A	Interest	K	T	Buy	01/24/23	K		
38. Orlando Fla CAP IMPT SPL REV REF BDS SER B May16	A	Interest	K	T	Buy	10/06/23	K		
39. Wisconsin ST GO REF BDS 2016 SER 1 Mar16	A	Interest	K	T	Buy	10/06/23	K		
40. Ohio ST SPL OBLIG CAP FACS LEASE SER C Oct16	A	Interest	K	T	Buy	10/06/23	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 22 Powershares DWA Momentum had a name and CUSIP change and is now Invesco DWA Momentum (PDP).
Line 23 Guggenheim S&P was bought by Invesco and will now appear on the report as Invesco S&P 500 (RSP).
Line 31 IShares Russell 1000 Value (IWD) was listed as sold in 2014 when it should have been listed as a partial sale.
Line 32 IShares Russell 1000 Growth (IWF) was listed as sold in 2014 when it should have been listed as a partial sale.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sharon Prost

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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