AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Nathan, Alison J.	United States Court of Appeals for the Second Circuit	08/13/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
Thurgood Marshall United States Courthouse 40 Centre Street New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisor on the Restatement of the Law, Copyright Project	American Law Institute
2.	Adjunct Professor	NYU School of Law
3.	Advisory Board	[redacted]
4.	Adjunct Professor	University of Virginia Law School
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2024	NYU School of Law (teaching income)	$21,185.00
2.	2024	UVA Law School (teaching income)	$10,000.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2024	NYU School of Law (salary)
2.	2024	Namati Inc, Legal Empowerment Learning Agenda (honorarium/consulting: $4,000)
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Second Circuit Federal Bar Council	February 3-8, 2024	Grand Cayman, Cayman Islands	Conference Panel Presentation	Transportation, food, lodging
2.	UVA Law School	April 7-13, 2024	Charlottesville, VA	Lecturer	Transportation, food, lodging
3.	Villanova Law School	May 17-18, 2024	Villanova, PA	Commencement Speaker	Transportation, food, lodging
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Nathan, Alison J.	08/13/2025

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. Emigrant Direct (cash)	A	Interest	L	T					
3. Bank of America (cash)	A	Interest	J	T					
4. Chase Bank (cash)	A	Interest	J	T					
5. American Express Savings (cash)	B	Interest	L	T					
6. Account #1 (H)									
7. Vanguard Federal Money Market Fund (VMFXX) (cash eq.)	A	Dividend			Buy	09/19/24	J		
8.					Sold	09/20/24	J		
9.					Buy	09/25/24	J		
10.					Sold (part)	09/26/24	J		
11.					Sold	12/26/24	J		
12. Vanguard Extended Market ETF (VXF)	A	Dividend	J	T	Buy	09/18/24	J		
13.					Buy (add'l)	09/24/24	J		
14. Vanguard Total Bond Market Index Adm Cl (VBTLX)	B	Dividend			Sold (part)	09/18/24	K		
15.					Sold	09/24/24	K		
16. Vanguard Total Bond Market ETF (BND)	B	Dividend	K	T	Buy (add'l)	03/25/24	K		
17.					Sold (part)	09/24/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Buy (add'l)	12/02/24	J		
19. Vanguard Total Intl Stock Index Fund ETF (VXUS)	C	Dividend	L	T	Sold (part)	03/25/24	K		
20.					Buy (add'l)	09/18/24	K		
21.					Buy (add'l)	09/19/24	J		
22.					Buy (add'l)	12/02/24	J		
23.					Buy (add'l)	12/24/24	J		
24. Vanguard Total Intl Bond Index ETF (BNDX)	A	Dividend	J	T	Buy (add'l)	03/25/24	J		
25.					Sold (part)	09/18/24	J		
26.					Sold (part)	09/24/24	K		
27. Vanguard Total Stock Market ETF (VTI)	A	Dividend	K	T	Buy	09/24/24	K		
28.					Buy (add'l)	09/25/24	J		
29.					Sold (part)	12/02/24	J		
30. Account #2 (H)									
31. Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T	Buy	05/15/24	J		
32. Account #3 (H)									
33. Vanguard Inst Index Plus (VIIIX)	C	Dividend	M	T	Buy (add'l)	04/15/24	M		
34.					Sold (part)	04/15/24	M		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. Vanguard Target Retirement 2020 (VTWNX)	D	Dividend	N	T	Buy (add'l)	04/15/24	N		
36.					Sold (part)	04/15/24	N		
37. Vanguard Target Retirement 2040 (VFORX)	E	Dividend	O	T	Buy (add'l)	01/02/24	J		
38.					Buy (add'l)	02/01/24	J		
39.					Buy (add'l)	03/01/24	J		
40.					Buy (add'l)	04/01/24	J		
41.					Buy (add'l)	04/15/24	P1		
42.					Sold (part)	04/15/24	P1		
43.					Buy (add'l)	05/01/24	J		
44.					Buy (add'l)	05/31/24	J		
45.					Buy (add'l)	07/01/24	J		
46.					Buy (add'l)	08/01/24	J		
47.					Buy (add'l)	08/30/24	J		
48.					Buy (add'l)	10/01/24	J		
49.					Buy (add'l)	11/01/24	J		
50.					Buy (add'l)	11/29/24	J		
51. Account #4 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. Vanguard Federal Money Market (cash eq.)	A	Dividend			Buy (add'l)	05/14/24	J		
53.					Sold	09/19/24	J		
54. Vanguard Mun Bd Tax Exempt Bond Index ETF (VTEB)	B	Dividend	M	T	Buy	09/24/24	M		
55. Vanguard Total Intl Stock Index Fund ETF (VXUS)	A	Dividend			Buy (add'l)	03/25/24	J		
56.					Buy (add'l)	09/18/24	J		
57.					Sold	09/24/24	K		
58. Vanguard Total Stock Market ETF (VTI)	A	Dividend			Sold	09/24/24	L		
59. Vanguard Value ETF (VTV)	A	Dividend			Sold	03/25/24	J		
60. Invesco S&P 500 Equal Weight Tech ETF (RSPT)	A	Dividend			Sold	03/25/24	J		
61. Account #5 (H)									
62. Vanguard Federal Money Market Fund (VMFXX) (cash eq.)	A	Dividend			Buy	03/26/24	J		
63.					Sold (part)	03/27/24	J		
64.					Sold	03/28/24	J		
65. Vanguard Municipal Money Market (VMSXX) (cash eq.)		None	J	T	Buy	12/02/24	J		
66. Vanguard Mun Bd Tax Exempt Bond Index ETF (VTEB)	A	Dividend	K	T	Buy	09/24/24	K		
67.					Buy (add'l)	12/02/24	J		
68. Primecap Odyssey Growth Fund (POGRX)		None			Sold	03/25/24	J	A	

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69. Vanguard Growth ETF (VUG)	A	Dividend			Sold	03/25/24	J	B	
70. Vanguard S&P 500 Index ETF (VOO)	A	Dividend	K	T					
71. Vanguard Total Intl Stock Index Fund ETF (VXUS)	A	Dividend			Buy	03/25/24	K		
72.					Buy (add'l)	03/26/24	J		
73.					Sold	09/24/24	K		
74. Vanguard Total Stock Market ETF (VTI)	A	Dividend	K	T	Sold (part)	03/25/24	J	D	
75.					Sold (part)	09/24/24	K		
76.					Sold (part)	12/02/24	J		
77. Account #6 (H)									
78. TD Bank (cash) (Y)									
79. Apple Inc Stock (AAPL)	B	Dividend	N	T					
80. Account #7 (H)									
81. NY 529 Target Enrollment 2030		None	L	T					
82. Account #8 (H)									
83. Vanguard Target Enrollment 2026/27 Portfolio		None	M	T					
84. Account #9 (H)									
85. NY 529 Target Enrollment 2030		None	L	T					

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86. Account #10 (H)									
87. Vanguard Target Enrollment 2026/27		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

Part III-B: Honorarium is the term used by the payor. This payment covered consultancy-like services performed throughout the year.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alison J. Nathan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544