AO 10*
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Nardini, William J.	U.S. Court of Appeals for the Second Circuit	05/12/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
157 Church Street, 18th Floor New Haven, CT 06510		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Nardini, William J.	05/12/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Yale University salary
2.	11/24/2021	Connecticut Light & Power - Connecticut State Historic House Tax Credit
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Nardini, William J.	05/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA Federal Savings Bank Cash Accounts	A	Interest	L	T					
2. American Century Strategic Allocation: Aggressive Fund	C	Dividend	M	T					
3. Ameritrade IRA (H)									
4. - Disney common stock		None			Sold	10/04/21	J	D	
5. - Sysco common stock	A	Dividend			Sold	10/04/21	J	D	
6. Fidelity Low-Priced Stock Fund	D	Dividend	N	T					
7. Wells Fargo Ultra Short-Term Income A	A	Dividend	K	T					
8. Connecticut Higher Education Trust: Conservative Allocation		None			Sold	02/05/21	M		
9. Connecticut Higher Education Trust: Aggressive Allocation		None			Sold	02/05/21	M		
10. CT Higher Education Trust: CT College Portfolio (Fidelity Blend)		None			Buy	02/05/21	L		
11.					Sold	03/08/21	L		
12. CT Higher Education Trust: CT Portfolio 2021 (Fidelity Index)		None	K	T	Buy	03/08/21	L		
13.					Distributed (part)	07/29/21	K		
14.					Distributed (part)	11/16/21	K		
15. CT Higher Education Trust: CT Portfolio 2024 (Fidelity Blend)		None			Buy	02/05/21	M		
16.					Sold	03/08/21	M		
17. CT Higher Education Trust: CT Portfolio 2021 (Fidelity Index)		None	N	T	Buy	03/08/21	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Nardini, William J.	05/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. CT Higher Education Trust: CT Portfolio 2024 (Fidelity Blend)		None			Buy	02/05/21	L		
19.					Sold	03/08/21	L		
20. CT Higher Education Trust: CT Portfolio 2024 (Fidelity Index)		None	L	T	Buy	03/08/21	L		
21. CT Higher Education Trust: CT Portfolio 2027 (Fidelity Blend)		None			Buy	02/05/21	L		
22.					Sold	03/08/21	L		
23. CT Higher Education Trust: CT Portfolio 2027 (Fidelity Index)		None	L	T	Buy	03/08/21	L		
24.					Buy (add'l)	11/18/21	J		
25. TIAA 503 plan (H)									
26. - Vanguard Total Stock Market Index Fund Institutional Plus	D	Dividend	O	T	Buy (add'l)	01/29/21	J		
27.					Buy (add'l)	02/26/21	J		
28.					Buy (add'l)	03/31/21	J		
29.					Buy (add'l)	04/30/21	J		
30.					Buy (add'l)	05/28/21	J		
31.					Buy (add'l)	06/30/21	J		
32.					Buy (add'l)	07/30/21	J		
33.					Buy (add'l)	08/31/21	J		
34.					Buy (add'l)	09/30/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	10/29/21	J		
36.					Buy (add'l)	11/30/21	J		
37.					Buy (add'l)	12/22/21	J		
38. Wells Fargo IRA #1 (H)									
39. -Brinker Intl Inc. stock (X)		None			Sold	09/29/21	J		
40. -Bristol Myers Squibb stock (X)		None			Sold	09/29/21	J		
41. -Centene Corp stock (X)		None			Sold	09/29/21	J		
42. -Clorox Company stock (X)		None			Sold	09/29/21	J		
43. -Edison Intl stock (X)		None			Sold	09/29/21	J		
44. -Humana Inc stock (X)		None			Sold	09/29/21	J		
45. -Masco Corp stock (X)		None			Sold	09/29/21	J		
46. -Palo Alto Networks stock (X)		None			Sold	09/29/21	J		
47. -Penn Natl Gaming Inc stock (X)		None			Sold	09/29/21	J		
48. -Trade Desk Inc/The Class A stock (X)		None			Sold	09/29/21	J		
49. -Scottsdale AZ Mun PPTY Corp Excise Tax Rev Taxbl Rfdg BDS 2021 (X)		None			Sold	09/29/21	J		
50. Wells Fargo Roth IRA Account #1 (H)									
51. -Carnival Corp stock (X)		None			Sold	09/29/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. Wells Fargo IRA #2 (H)									
53. -Brinker Intl Inc. stock (X)		None			Sold	09/29/21	J		
54. -Bristol Myers Squibb stock (X)		None			Sold	09/29/21	J		
55. -Centene Corp stock (X)		None			Sold	09/29/21	J		
56. -Clorox Company stock (X)		None			Sold	09/29/21	J		
57. -Edison Intl stock (X)		None			Sold	09/29/21	J		
58. -Humana Inc stock (X)		None			Sold	09/29/21	J		
59. -Masco Corp stock (X)		None			Sold	09/29/21	J		
60. -Palo Alto Networks stock (X)		None			Sold	09/29/21	J		
61. -Penn Natl Gaming Inc stock (X)		None			Sold	09/29/21	J		
62. -Trade Desk Inc/The Class A stock (X)		None			Sold	09/29/21	J		
63. -Scottsdale AZ Mun PPTY Corp Excise Tax Rev Taxbl Rfdg BDS 2021 (X)		None			Sold	09/29/21	J		
64. Wells Fargo Roth IRA Account #2 (H)									
65. -Carnival Corp stock (X)		None			Sold	09/29/21	J		
66. Wells Fargo IRA #3 (H)									
67. -Brinker Intl Inc. stock (X)		None			Sold	09/29/21	J		
68. -Bristol Myers Squibb stock (X)		None			Sold	09/29/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69. -Centene Corp stock (X)		None			Sold	09/29/21	J		
70. -Clorox Company stock (X)		None			Sold	09/29/21	J		
71. -Edison Intl stock (X)		None			Sold	09/29/21	J		
72. -Humana Inc stock (X)		None			Sold	09/29/21	J		
73. -Masco Corp stock (X)		None			Sold	09/29/21	J		
74. -Palo Alto Networks stock (X)		None			Sold	09/29/21	J		
75. -Penn Natl Gaming Inc stock (X)		None			Sold	09/29/21	J		
76. -Trade Desk Inc/The Class A stock (X)		None			Sold	09/29/21	J		
77. -Scottsdale AZ Mun PPTY Corp Excise Tax Rev Taxbl Rfdg BDS 2021 (X)		None			Sold	09/29/21	J		
78. Wells Fargo Roth IRA Account #3 (H)									
79. -Carnival Corp stock (X)		None			Sold	09/29/21	J		
80. Wells Fargo IRA #4 (H)									
81. -Brinker Intl Inc. stock (X)		None			Sold	09/29/21	J		
82. -Bristol Myers Squibb stock (X)		None			Sold	09/29/21	J		
83. -Centene Corp stock (X)		None			Sold	09/29/21	J		
84. -Clorox Company stock (X)		None			Sold	09/29/21	J		
85. -Edison Intl stock (X)		None			Sold	09/29/21	J		

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86. -Humana Inc stock (X)		None			Sold	09/29/21	J		
87. -Masco Corp stock (X)		None			Sold	09/29/21	J		
88. -Palo Alto Networks stock (X)		None			Sold	09/29/21	J		
89. -Penn Natl Gaming Inc stock (X)		None			Sold	09/29/21	J		
90. -Trade Desk Inc/The Class A stock (X)		None			Sold	09/29/21	J		
91. -Scottsdale AZ Mun PPTY Corp Excise Tax Rev Taxbl Rfdg BDS 2021 (X)		None			Sold	09/29/21	J		
92. Wells Fargo Roth IRA Account #4 (H)									
93. -Carnival Corp stock (X)		None			Sold	09/29/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Nardini, William J.	05/12/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William J. Nardini

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544