AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Lohier, Raymond J.	Second Circuit	11/06/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
Thurgood Marshall United States Courthouse 40 Foley Square, Room 2403 New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.	Trustee	New York University Law School
3.	Adjunct Professor	New York University Law School
4.	Executive Committee	American Law Institute
5.	Governing Board Member (Board of Overseers)	Harvard University
6.	Power of Attorney	Relative
7.	Board Member	Target Margin Theater
8.	Board Member	Havens Relief Fund Society
9.	Co-Chairperson	Ad Hoc Committee of the National Academies of Sciences, Engineering and Medicine

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		

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Lohier, Raymond J.	11/06/2025

2.

3.

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.	2024	New York University School of Law - Teaching	$22,000.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.	2024	City University of New York - Salary and Pension plan
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Law Institute	January 18-19, June 12-13, 2024	Philadelphia, PA	Bar Association	Transportation, Meals, Hotel
2.	Harvard University (Board Member)	Feb 3-4, April 6-7, May 22-24, September 28-29, Dec 7-8 2024	Cambridge, MA	Educational Activities; University Board meetings	Transportation, Meals, Hotel
3.	New York University School of Law	July 15-19, 2024	Vienna, Austria	Law School Educational Activities and Board Meetings	Transportaton, Meals, Hotel
4.					

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5.

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Lohier, Raymond J.	11/06/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	American Century Growth Stock Fund	C	Dividend	M	T					
2.	American Century Ultra Stock Fund	A	Dividend	O	T					
3.	American Century Retirement Growth Stock Fund	D	Distribution	L	T					
4.	American Century Equity Income (IRA)	A	Int./Div.	K	T					
5.	American Century Small Company Fund	A	Dividend	K	T					
6.	IRA AIG American Pathway (Fixed Annuity)	A	Int./Div.	K	T					
7.	Fidelity Growth Co Pool	A	Dividend	O	T					
8.	Fidelity Retirement Money Market Account	A	Interest	K	T					
9.	Fidelity Investment Contract Fund	A	Dividend	J	T					
10.	Fidelity SIT MidCap Growth Fund	A	Int./Div.	K	T					
11.	Fidelity Magellan	B	Dividend	N	T					
12.	Fidelity International Index Fund	B	Dividend	K	T	Buy	08/07/24	J		
13.	Fidelity 500 Index	A	Dividend	M	T					
14.	Janus 20 Fund/40 Fund D	A	Distribution	N	T					
15.	Janus Global Technology Fund	A	Distribution	K	T					
16.	Janus Research Fund D	A	Int./Div.	K	T					
17.	Janus Global Life Sciences Fund D	B	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Janus Global Tech and Innovation Fund D (X) (Trust account)	A	Int./Div.	K	T					
19. Vanguard Growth Index Fund	A	Int./Div.	N	T					
20. Vanguard Small Cap Index Fund	A	Dividend	M	T					
21. Vanguard 500 Index Fund	A	Dividend	N	T					
22. Vanguard Total Stock Market ETF mutual fund (Roth IRA)	A	Int./Div.	J	T					
23. Vanguard Windsor 2 Fund	A	Dividend	M	T					
24. T Rowe Price New Horizons Fund	D	Distribution	M	T					
25. T Rowe Price International Stock Fund	A	Dividend	K	T					
26. T Rowe Price Summit Municipal Funds Inc Mun Income FD	A	Int./Div.			Sold	08/06/24	L	C	
27. T Rowe Price Intl FD Overseeas Stock Fund	B	Dividend			Sold	04/25/24	K	C	
28. T Rowe Price Tax Free Short Intermediate Fund	A	Dividend			Sold	08/06/24	J	A	
29. T Rowe Price Mid-Cap Growth Fund	A	Dividend	L	T					
30. Bank of America Common Stock	A	Dividend	J	T					
31. Becton Dickinson & Co. common stock	B	Dividend	L	T					
32. Chevron common stock	B	Dividend	K	T					
33. Cisco, Inc. common stock	A	Dividend	K	T					
34. Dow Chemical common stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. Honeywell International Common Stock	A	Dividend	K	T					
36. Intel Corp. common stock	A	Dividend			Sold	12/23/24	J		
37. International Business Machine Corp (IBM)	B	Dividend	L	T					
38. Lockheed Martin Corp	A	Dividend	K	T					
39. Microsoft Corp. common Stock	C	Dividend	N	T					
40. OGE Energy Corp.	C	Dividend	L	T					
41. Public Service Enterprise Group	A	Dividend	K	T					
42. Trust #1		None	O	W					
43. FIrst Clearing LLC (X)	E	Dividend	K	T					
44. Apple Inc.	B	Dividend	O	T					
45. Corning Inc.	A	Dividend	K	T					
46. Invesco Floating Rate Mutual Fund	C	Dividend	M	T					
47. Invesco Developing Markets Fund Class Y	A	Int./Div.	K	T					
48. Fidelity Contrafund	A	Int./Div.	L	T					
49. Vanguard Target 2030 (Fidelity)	A	Int./Div.	L	T					
50. Baird Funds Inc Aggregate BD FD INSTL	B	Dividend	L	T					
51. Blackrock Funds II Multi Asset Income Porftolio	A	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52. Brown Advisory FDS Advisory Small Cap Growth FD Instl SHS (X)	A	Dividend			Sold	08/06/24	K	B	
53. Center Coast Brooklield Midstream Focus fund Class Y	B	Dividend	K	T					
54. Columbia Dividend Income Fund Institutional GSFTX	A	Int./Div.	K	T					
55. Columbia Dividend Income Fund Institutional GSFTX	A	Int./Div.	K	T					
56. Eaton Vance global Macro Absolute Return Fund Class I	B	Int./Div.	J	T					
57. Eaton Vance global Macro Absolute Return Fund Class I	A	Dividend	J	T					
58. Eaton Vance Tax Mangaged Value FD CL I	B	Dividend			Sold	02/07/24	J	B	
59. Federated Hermes Equity Funds Strategic Value Dividend Fund	B	Dividend	K	T					
60. Franklin Custodian Funds Income Service Adv CL AD	B	Dividend	K	T					
61. Goldman Sachs Treasury Financial Square Treasury Instruments Fund	A	Dividend	J	T					
62. Goldman Sachs US Equity Dvd & Premium Fund Institutional SHS	A	Dividend	M	T					
63. Harbor Fund Small Cap Value FD INSTL CL (X)	A	Int./Div.			Sold	08/06/24	J	B	
64. Harbor FD Cap Appreciation FD INSTL CL (X)	A	Int./Div.			Sold	02/07/24	J	B	
65. Jensen Quality Growth Fund Class I	A	Int./Div.			Sold	08/06/24	K	B	
66. John Hancock Funds III Global SHarehiolder Yield Class I	A	Dividend	K	T					
67. John Hancock Funds III Disciplined Value Mid Cap FD Class I	A	Dividend	L	T					
68. JPMorgan Income Builder Fund Class I	C	Dividend	L	T					

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69.	Mainstay NYLI Mackay High Yield Municipal Bond Fund Class I	B	Dividend	K	T					
70.	Neuberger Berman Equity Income Funds Institutional Class	A	Dividend	J	T					
71.	Nuveen Investment Funds Real Asset Income Fund	B	Dividend	K	T					
72.	Nuveen Mun Trust Inter Duration Class I (X)	B	Dividend	K	T					
73.	Allspring Emerging Markets Equity Fund Class Instl (X)	A	Dividend	K	T					
74.	Allspring Municipal Bond Fund Class Instl	A	Dividend	L	T					
75.	Principal Funds Inc. Global IDversifeid Income Fund	C	Int./Div.	L	T					
76.	PIMCO FDS Income FD Institutional Fund	B	Dividend	K	T					
77.	PGIM High Yield Fund Class Z	B	Int./Div.	K	T					
78.	JPMorgan Treasury II Equity Income Fund	B	Int./Div.	K	T					
79.	TCW Funds INc Emerging Markets Income Fund	B	Int./Div.	K	T					
80.	Embecta Corp	A	Dividend	J	T					
81.	Boeing Co.	A	Dividend			Sold	12/23/24	K		
82.	Delaware Group Equity FDS II-Value Fund Instl CL	A	Dividend			Sold	08/06/24	L	C	
83.	Kyndryl Holdings Inc (X)	A	Dividend	J	T					
84.	Lord Abbet Intr (X)	A	Dividend	K	T					
85.	Diamond Hill Funds Large Cap Fund Class I inte	B	Dividend	M	T	Buy	08/06/24	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Part I

"Trust #1 is an "unfunded trust" whose sole asset is a term life insurance policy.
Relative recently inherited securities from an estate or held securities as an executor of an estate. Some of these securities were liquidated at the end of the year on behalf of the estate, but in an abundance of caution I've included them and noted the dates on which they were subsequently liquidated on behalf of the estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Raymond J. Lohier

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544