AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
KEARSE, AMALYA L.	U.S. COURT OF APPEALS FOR THE SECOND CIRCUIT	05/08/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE, SENIOR STATUS	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. COURTHOUSE 40 FOLEY SQUARE NEW YORK, NEW YORK 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee, Director	Robert F. Kearse Memorial Scholarship Fund
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	'69, '79	Hughes Hubbard & Reed - Amalya L. Kearse: Return of July 1979 Capital
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
KEARSE, AMALYA L.	05/08/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	T.Rowe Price Eq Inc Mutual Fund	A	Dividend	J	T					
2.	IRA Janus Henderson Mid-Cap Value Fund -	B	Dividend	K	T	Distributed (part)	03/07/23	J		
3.	IRA T.Rowe Price Mid-Cap Value Fund	D	Dividend	M	T					
4.	Janus Money Market Fund	A	Dividend	J	T					
5.	T.Rowe Price Money Market Fund	A	Dividend	K	T					
6.	T.Rowe Price Mid-Cap Growth Fund	D	Dividend	M	T					
7.	Citibank Money Market	A	Interest	J	T					
8.	JP Morgan Clearing Corp. Money Market Fund	A	Interest	J	T					
9.	Selected American Shares	A	Dividend	J	T					
10.	T. Rowe Price Communication & Technology Fund	A	Dividend	J	T	Sold (part)	10/30/23	J		
11.	T. Rowe Price Small Cap Value Fund	B	Dividend	J	T	Sold (part)	10/30/23	J		
12.	Nvidia Corp. common	A	Dividend	P1	T					
13.	Cognex Corp. common	A	Dividend	K	T	Donated (part)	08/24/23			
14.						Donated (part)	08/29/23			
15.	Advanced Micro Devices Inc. common		None	K	T					
16.	Atlassian Corporation Plc. common		None	M	T					
17.	Twillo Inc. common		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
KEARSE, AMALYA L.	05/08/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Appian Corporation common		None	K	T					
19. OKTA Inc. common		None	K	T					
20. Cirrus Logic Inc. common		None			Donated	08/24/23			
21. StoneCo Ltd. common		None	J	T	Sold (part)	02/27/23	J		
22.					Donated (part)	12/15/23			
23. Fastly, Inc. common		None	J	T	Buy	08/04/23	J		
24. Impinj Inc. common		None			Donated	08/24/23			
25. Pubmatic Inc. common		None	K	T	Buy (add'l)	03/28/23	J		
26.					Buy (add'l)	05/04/23	J		
27.					Sold (part)	08/10/23	K		
28.					Buy (add'l)	09/11/23	K		
29. Coupang Inc. common		None	K	T	Sold (part)	03/28/23	J		
30.					Buy (add'l)	05/10/23	J		
31.					Buy (add'l)	06/02/23	J		
32. Fortinet Inc. common		None	K	T	Buy (add'l)	01/09/23	J		
33.					Buy (add'l)	01/30/23	J		
34.					Sold (part)	08/04/23	J		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. Confluent Inc. common		None	K	T	Sold (part)	07/05/23	J		
36. Marvell Technology, Inc. common	A	None	J	T					
37. Nutanix, Inc. common		None	J	T					
38. Marqeta, Inc. common		None			Sold (part)	03/01/23	J		
39.					Sold	05/10/23	J		
40. Chargepoint Holdings Inc. common		None			Sold	01/08/23	J		
41. ProTerra Inc. common		None			Buy (add'l)	01/09/23	J		
42.					Sold	03/02/23	J		
43. The Trade Desk Inc. common		None	K	T	Buy	02/10/23	J		
44.				T	Buy (add'l)	03/02/23	J		
45.				T	Buy (add'l)	03/13/23	J		
46. Sweetgreen Inc. common		None	J	T	Buy	02/27/23	J		
47.				T	Buy (add'l)	03/13/23	J		
48.				T	Buy (add'l)	07/05/23	J		
49. Toast, Inc. common		None	J	T	Buy	08/04/23	J		
50. Ayden NV common		None	J	T	Buy	09/11/23	J		
51. Digital Oceans Holdings Inc. common		None	J	T	Buy	01/09/23	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52.					Buy (add'l)	01/30/23	J		
53.					Buy (add'l)	07/05/23	J		
54.					Sold (part)	09/11/23	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All Explanations are re Part VII:

All investments shown in previous report as having no value at end of the prior reporting period were sold or otherwise disposed of during that period and have been deleted from the form.

Any multiple entries for the same security, required in prior report because there were transactions on different dates, have been consolidated to the extent appropriate.

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KEARSE, AMALYA L.	05/08/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ AMALYA L. KEARSE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544