AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kayatta, William J.	First Circuit	06/5/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
U.S. Courthouse 156 Federal Street Portland, ME 04101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Society of International Law	11/22/24	Washington, D.C.	Advisory Board Meeting	Travel and meal
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Kayatta, William J.	06/5/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Brokerage Account #1 (H)									
2. -iShares Russell 1000 Gr. Index (IWF)	A	Dividend	L	T	Donated (part)				
3. -iShares Russell 2000 Index (IWM)	A	Dividend	K	T					
4. -Vanguard Inform. Tech. ETF (VGT)	A	Dividend	K	T					
5. -iShares NASDAQ Biotech. Index (IBB)	A	Dividend	K	T					
6. -iShares Barclays MBS Bon Fund (MBB)	A	Dividend	J	T					
7. -iShares IBOXX $ Invt Gr. Corp. Bd. Fund (LQD)	A	Dividend	J	T					
8. -SPDR Barclays High Yield Bond ETF (JNK)	C	Dividend	J	T					
9. -Vanguard Intermediate Term Bond ETF (BIV)	A	Dividend	J	T					
10. -Vanguard Short Term Bond (BSV)	A	Dividend	J	T					
11. -Merrill Lynch Bank Deposit Program	A	Interest	N	T					
12. -Eaton Vance Tax Managed ETF	A	Dividend	K	T					
13. 401(k) Account #1(H)									
14. -Vanguard Bond Index Fund (BND)	C	Dividend	M	T					
15. -iShares Barclays 7-10 Treasury Bond Fund (IEF)	A	Dividend	K	T					
16. -IShares Gold Trust ETF (IAU)	A	Dividend	M	T					
17. -iShares Barclays Tips Bond Fund ((TIP)	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Kayatta, William J.	06/5/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -Schwab Sweep Account	C	Interest	K	T					
19. -Schwabb Value Advantage (SNAXX)	D	Interest	P1	T	Buy (add'l)	07/08/24	M		
20. -MidcapF.Inv(MFIC)(Formerly Apollo Senior Floating Rate (AFT))	E	Dividend	L	T					
21. -Blackstone GSO (BGX)	D	Dividend	M	T					
22. -Invesco QQQ Trust (QQQ)	A	Dividend	M	T					
23. SPDR S&P (SPY)	A	Dividend	O	T					
24. Eaton Vance Senior Inc (EVF)	A	Dividend	L	T					
25. Vanguard S&P 500 (VOO)	A	Dividend	M	T	Buy	4/15/24	K		
26.					Buy (add'l)	05/23/24	L		
27. Brokerage Account #2 (H)									
28. -iShares N. America Tech ETF (IGM)	A	Dividend	N	T					
29. -iShares NASDAQ Biotech ETF (IBB)	A	Dividend	M	T					
30. -iShares US Aerospace (ITA)	A	Dividend	M	T					
31. -iShares US Med Devices (IHI)	A	Dividend	M	T					
32. -iShares US Pharma ETF (IHE)	C	Dividend	M	T					
33. -iShares US Tech ETF (IYW)	A	Dividend	N	T					
34. -iShares US Telecomm ETF (IYZ)	C	Dividend	L	T					

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F =$50,001 - $100,000
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H1 =$1,000,001 - $5,000,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
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35. -Clearbridge Aggressive Growth (SAGYX)	A	Dividend	L	T					
36. -Ishares US Fin ETF (IYF)	B	Dividend	N	T					
37. -Ishares US Industrial ETF (IYJ)	B	Dividend	N	T					
38. -Vanguard Real Estate (VNQ)	A	Dividend	L	T					
39. -Vanack Agri, (MOO)	A	Dividend	J	T					
40. -ME State HSNG Auth MTG Ser. B-1	B	Dividend	J	T					
41. -ME State HSNG Auth MTG SER-C (Matures 11/15/23	A	Dividend	J	T					
42. -ME State HSNG Auth MTG SER-C (Matures 11/15/27	A	Dividend	K	T					
43. -York TownME Gen OBLIG	A	Dividend	J	T					
44. -Ft. NASDAQ Cyber (CIBR)	A	Dividend	M	T					
45. -Regional Sch. Unit 21` ME	A	Dividend	K	T					
46. -School Admin Dist No 051	A	Dividend			Redeemed	10/15/24	K	A	
47. -Maine Health and Higher EDL FAcs Auth Rev	A	Dividend	J	T					
48. -Maine Munic Bond Block Grant Antic Rev-A	A	Dividend	K	T					
49. -Maine State Hsng Auth Mtg Pur	A	Dividend	K	T					
50. -Lewiston ME Pub Impot GO	A	Dividend	K	T					
51. -Maine Munic.Bd Bk Grant	A	Dividend	K	T					

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52. -Maine st HsngAuth Mtg	A	Dividend	K	T					
53. Key Bank IRA (CD)	A	Interest	J	T					
54. Bank of America Deposit Account	A	Interest	M	T					
55. Inherited IRA (H)									
56. -SPDR PORTF Short Term (SPSB)	C	Dividend	M	T					
57. -iShares Global Clean Energy	A	Dividend	J	T					
58. -iShares Russell 2000 (IWM)	A	Dividend			Sold	09/30/24	K	D	
59. -iShares S&P 500 Growth (IVW)	A	Dividend	K	T					
60. -Maine State HSG AUTH MTG PUR REV-D	A	Dividend			Redeemed	11/12/24	J	A	
61. -Boeing Bond	A	Dividend	K	T					
62. -Vanack Agribusiness ETF (MOO)	A	Dividend			Sold	01/29/24	J	B	
63. Rollover IRA (H)									
64. -Invesco QQQ Trust (QQQ)	A	Dividend	N	T					
65. -Blackstone GSO Strategic Credit (BGB)	D	Dividend	M	T					
66. -SPDR S&P (SPY)	A	Dividend	L	T					
67. -Charles Schwab Bank Cash Account	A	Interest	L	T					
68. Brokerage Account #3 (H)									

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69. -Charles Schwabb Bank Cash Account	A	Interest	O	T					
70. -SNVXX	E	Dividend	O	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William J. Kayatta

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544