Annual, 2024

Financial Disclosure Report (AO Form 10)

Filer's Information
Dyk, Timothy Belcher
U.S. Court of Appeals - Federal Circuit
Circuit Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

/s/ Dyk, Timothy Belcher [electronically signed on Jul 8, 2025 12:43pm by Dyk, Timothy Belcher in JEFS]
/s/ Dyk, Timothy Belcher [electronically signed on May 14, 2025 10:18am by Dyk, Timothy Belcher in JEFS]

I. Positions

ORGANIZATION/ENTITY NAME	ORGANIZATION/ENTITY TYPE	POSITION HELD
GILES RICH INN OF COURT	Non-Profit	JUDICIAL COUNSELOR

II. Agreements

I do not have any Agreements to report
No entries in this section

III A. Filer Non-Investment Income

SOURCE	INCOME TYPE	INCOME AMOUNT	HONORARIUM DATE
UNIVERSITY OF NEBRASKA - BOARD OF REGENTS (ROYALTY)	Publication / Copyright Royalties	$392.33	

III B. Spouse Non-Investment Income

SOURCE	DESCRIPTION	HONORARIUM	HONORARIUM AMOUNT	HONORARIUM DATE
NYU LAW SCHOOL	Salary		$0.00	
WASHINGTON UNIVERSITY	Honorarium	Yes	$1,000.00	Jun 12, 2024

IV. Reimbursements

SOURCE OF REIMBURSEMENT	FROM DATE	TO DATE	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
Federal Circuit Bar Association	Jun 18, 2024	Jun 22, 2024	Isle of Palms, SC	Bar Association Meeting	Flight, food, beverage, lodging, and transportation.
Federal Circuit Bar Association	Sep 20, 2024	Sep 20, 2024	Wilmington, DE	Bar Association Meeting	Train, transportation, food, beverage, and parking.

SOURCE OF REIMBURSEMENT	FROM DATE	TO DATE	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
Harvard	Nov 18, 2024	Nov 19, 2024	Boston, MA	IP Conference	Flight, transportation, conference, meals, and parking.

V. Gifts

I do not have any Gifts to report
No entries in this section

VI. Liabilities

I do not have any Liabilities to report
No entries in this section

VII. Investments and Trusts

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
LOT - TRURO, MA (PARCEL 1) ($380,500)		None	$250,001 - $500,000	Assessment
Additional Explanation: Assessed value is $380,500.				
MORGAN STANLEY PRIVATE BANK ACCOUNT	$1,000 or less	Interest	$15,000 or less	Cash/Market
SPECIAL SITUATIONS LIFE SCIENCES INNOVATION FUND		None	$50,001 - $100,000	Cash/Market
SPECIAL SITUATIONS LIFE SCIENCES INNOVATION FUND II		None	$50,001 - $100,000	Cash/Market
-CELMATIX, INC (PREFERRED SHARES) (SEE NOTE)		None	$15,000 or less	Estimated
-OOVA INC. (PREFERRED SHARES) (SEE NOTE)		None	$15,001 - $50,000	Estimated
-TMRW LIFE SCIENCES (PREFERRED SHARES) (SEE NOTE)		None	$15,001 - $50,000	Estimated
-TMRW LIFE SCIENCES (COMMON UNITS) (SEE NOTE)		None	$15,000 or less	Estimated
-MEDANSWERS, INC. COMMON UNITS (SEE NOTE)		None	$15,000 or less	Estimated
Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	Buy	Aug 6, 2024	$15,001 - $50,000	
- CELMATIX, INC. (WARRANTS) (SEE NOTE)		None	$15,000 or less	Estimated
-TMRW LIFE SCIENCES (WARRANTS) (SEE NOTE)		None	$15,001 - $50,000	Estimated
-CELMATIX INC. (COMMON STOCK) (SEE NOTE)		None	$15,000 or less	Estimated
JAPAN EQUITY FUND	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
BANK OF AMERICA (COMMON STOCK)	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market

ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
NUVEEN AMT FREE QUALITY MUNI INCOME FUND	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
CRIMSON WINE GROUP (COMMON STOCK)		None	$15,000 or less	Cash/Market
NUVEEN AMT FREE MUNI CREDIT INCOME FUND	$1,000 or less	Interest	$15,000 or less	Cash/Market
VANGUARD FEDERAL MONEY MARKET FUND	$15,001 - $50,000	Dividend	$500,001 - $1,000,000	Cash/Market
VANGUARD TAX EXEMPT MONEY MARKET FUND	$1,000 or less	Dividend	$15,000 or less	Cash/Market
XEROX HOLDINGS (COMMON STOCK)	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
FLAGSTAR FINANCIAL FORMERLY KNOWN AS NY COMM BK CORP (STOCK)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Sold (part)	Dec 16, 2024	$15,001 - $50,000
	Buy	Feb 26, 2024	$15,001 - $50,000
	Buy	Feb 27, 2024	$15,001 - $50,000

WARBY PARKER (COMMON STOCK)		None	$15,001 - $50,000	Cash/Market
WESTERN INTERMEDIATE MUNI FUND	$5,001 - $15,000	Interest	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Aug 19, 2024	$100,001 - $250,000

INFUSYSTEM HOLDINGS (COMMON STOCK)		None	$15,001 - $50,000	Cash/Market
LAWRENCE MA MUNI BD	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Redeemed	Dec 1, 2024	$15,001 - $50,000

NUVEEN SELECT MATURITY (MUNICIPAL FUND)	$1,000 or less	Interest	$15,000 or less	Cash/Market
(H) VANGUARD IRA #4				
-NEW AMERICA HIGH INCOME FUND	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Sold	Sep 25, 2024	$15,001 - $50,000

-WESTERN ASSET HIGH INC OPP FUND	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
-FIDELITY JAPAN SMALL CO. FUND	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
-VANGUARD FEDERAL MONEY MARKET FUND	$1,000 or less	Dividend	$15,000 or less	Cash/Market
-VANGUARD TREASURY MONEY MARKET FUND	$1,000 or less	Dividend	$15,000 or less	Cash/Market
-BLACKSTONE MORT TRUST	$1,000 or less	Dividend	$15,000 or less	Cash/Market
-PIMCO STOCKPLUS SHORT (FUND)	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Sold	Nov 8, 2024	$50,001 - $100,000

-OCCIDENTAL PETROLEUM WARRANTS		None	$15,000 or less	Cash/Market
-OCCIDENTAL PETROLEUM (COMMON STOCK)	$1,000 or less	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Aug 22, 2024	$15,001 - $50,000
	Buy	Dec 16, 2024	$15,001 - $50,000

-CRIMSON WINE GROUP (COMMON STOCK)		None	$15,000 or less	Cash/Market
-M & T BANK CORP (COMMON STOCK)	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
-Korea Fund	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
Buy Mar 5, 2024 $15,001 - $50,000				
-AMERICAN INTERNATIONAL GROUP COMMON STOCK	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
Buy Nov 26, 2024 $50,001 - $100,000				
(H) VANGUARD IRA - #1				
-BANK OF AMERICA (COMMON STOCK)	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
-FIDELITY JAPAN SM CO FUND	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
-VALARIS (COMMON STOCK) WTS		None	$15,000 or less	Cash/Market
-PIMCO STOCKPLUS (FUND)	$1,000 or less	Dividend		
Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
Sold Nov 8, 2024 $15,001 - $50,000				
-GENESIS ENERGY (COMMON STOCK)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
-VANGUARD FEDERAL MONEY MARKET FUND	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
-VANGUARD TREASURY MONEY MARKET FUND	$1,000 or less	Dividend	$15,000 or less	Cash/Market
(H) VANGUARD IRA #2				
-VANGUARD TREASURY MONEY MARKET FUND	$1,000 or less	Dividend	$15,000 or less	Cash/Market
-VANGUARD FEDERAL MONEY MARKET FUND	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
-FIDELITY INV TRUST JAPAN SMALL CO FUND	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market
-VALLEY NATIONAL BANK	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
-WESTERN ASSET HIGH INCOME FUND	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
-WALGREENS BOOTS	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
-VIRTUS INFRACAP US PREFERRED STOCK ETF	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
Sold (part) Dec 27, 2024 $15,001 - $50,000				
Buy Sep 30, 2024 $100,001 - $250,000				
-PROVIDENT BANCORP COMMON STOCK	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
Buy May 6, 2024 $15,001 - $50,000				
(H) VANGUARD IRA #3				
-CAUSEWAY INT. SMALL CAP FUND	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
-FLAGSTAR FINANCIAL FORMERLY KNOWN AS NY COMMUNITY BK (STOCK)	$1,000 or less	Dividend	$15,000 or less	Cash/Market
-VANGUARD FEDERAL MONEY MARKET FUND	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
-VANGUARD TREASURY MONEY MARKET FUND	$1,000 or less	Dividend	$15,000 or less	Cash/Market
-PIMCO STOCKPLUS SHORT (FUND)	$1,001 - $2,500	Interest		
Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
Sold Nov 8, 2024 $15,001 - $50,000				
-SUBARU (COMMON STOCK)	$1,001 - $2,500	Dividend		
Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
Sold Feb 2, 2024 $15,001 - $50,000				
-OCCIDENTAL PETROLEUM (COMMON STOCK)	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
-OCCIDENTAL PETROLEUM WARRANTS		None	$15,001 - $50,000	Cash/Market
-BRIGHTHOUSE FINANCIAL		None	$15,001 - $50,000	Cash/Market
-COGENT COMMUNICATIONS COMMON STOCK	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
Buy Mar 12, 2024 $15,001 - $50,000				

ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
(H) CHARLES SCHWAB FORMERLY TD AMERITRADE INS. DEPOSIT ACCT IRA #1				
IRA	$1,000 or less	Dividend	$15,000 or less	Cash/Market
(H) CHARLES SCHWAB IRA #2				
Additional Explanation: Formerly known as TD AMERITRADE IRA #2				
-SPEC SITUATION LIFE SCIENCES FUND	$1,000 or less	Dividend	$250,001 - $500,000	Cash/Market
-CHARLES SCHWAB INSURED DEP ACCT FORMERLY KNOWN AS TD AMERITRADE	$1,000 or less	Dividend	$15,000 or less	Cash/Market
-NORTHERN LIGHTS NORTH STAR DIVIDEND LIGHTS FUND	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
(H) VANGUARD IRA #5				
-VANGUARD FEDERAL MONEY MARKET FUND	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
-NUVEEN PREFERRED INCOME FUND	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
-WESTERN ASSET HIGH INCOME OPPORTUNITY FUND	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
-FLAGSTAR FINANCIAL FORMERLY KNOWN AS NY COMMUNITY BK (STOCK)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
-NEW AMERICA HIGH INCOME FUND	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Sold	Sep 25, 2024	$15,001 - $50,000

(H) NEW YORK UNIVERSITY 403(B) PLAN (NOW MANAGED BY TIAA)				
-TIAA TRADITIONAL FUND	$15,001 - $50,000	Dividend	$500,001 - $1,000,000	Cash/Market
MASS MUTUAL LIFE INSURANCE (WHOLE)	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
ING LIFE INSURANCE (WHOLE)	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
PNC BANK WASHINGTON DC	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
JJ GUMBERG LIMITED PARTNERSHIP	$5,001 - $15,000	Distribution	$15,000 or less	Estimated
TRUIST FINANCIAL CORP COMMON STOCK	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
FED HOME LN BK DUE 8/15/24	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Jan 9, 2024	$50,001 - $100,000
	Redeemed	Aug 15, 2024	$50,001 - $100,000

FED HOME LN BK DUE 2/14/25	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Redeemed	Nov 14, 2024	$15,001 - $50,000

FED HOME LN BK DUE 2/17/26	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Redeemed	Nov 18, 2024	$15,001 - $50,000

FED HOME LN BK DUE 7/20/28	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Redeemed	Feb 14, 2024	$15,001 - $50,000

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DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
FED HOME LN BK DUE 4/5/27	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Redeemed	Oct 7, 2024	$15,001 - $50,000

FED HOME LN BK DUE 3/6/28	$2,501 - $5,000	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Redeemed	Sep 6, 2024	$50,001 - $100,000

FED HOME LN MTG CORP MED TERM NOTE DUE 2/14/25	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Redeemed	Nov 14, 2024	$15,001 - $50,000

FED HOME LN MTG CORP MED TERM NOTE DUE 6/8/26	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Redeemed	Mar 8, 2024	$50,001 - $100,000

LOAN TO FAMILY MEMBER		None	$250,001 - $500,000	Book Value

(H) FED HOME LN BK NOTE				
Note	$1,001 - $2,500	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Redeemed	Feb 14, 2024	$15,001 - $50,000

(H) FED NATL MTG ASSN DUE 10/25/27				
Stock	$1,000 or less	Interest		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Redeemed	Oct 25, 2024	$50,001 - $100,000
	Buy	Feb 21, 2024	$50,001 - $100,000

(H) CONS STAPLES SEL SECT SPDR FD				
Fund	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 2, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$15,001 - $50,000

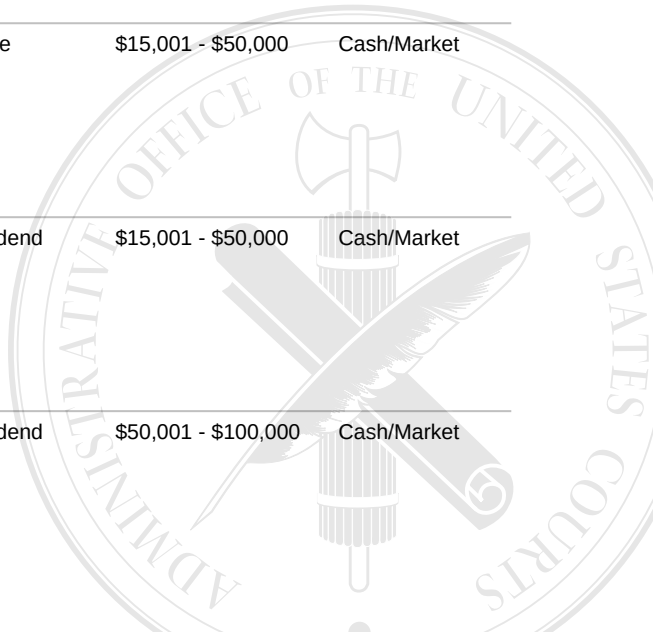
(H) VISTRY GROUP PLC ADR				
Stock		None	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 27, 2024	$15,001 - $50,000

(H) PROSHARES SHORT S&P500				
Stock	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 18, 2024	$15,001 - $50,000

(H) PROSHARES SHORT QQQ				
Stock	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market



DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 18, 2024	$50,001 - $100,000

(H) COMM SERV SELECT SECTOR SPDR

Fund	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 2, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$15,001 - $50,000

(H) TECHNOLOGY SEL SEC SPDR FD

Fund	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 2, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$15,001 - $50,000

(H) INDUSTRIAL SEL SEC SPDR FD

Fund	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 2, 2024	$15,000 or less
	Buy	Nov 7, 2024	$15,000 or less

(H) THE FINANCIAL SEL SECT SPDR FD

Fund	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 2, 2024	$15,001 - $50,000
	Buy	Nov 11, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$15,001 - $50,000

(H) CONS DISCRET SEL SECT SPDR FD

Fund	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 2, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$15,001 - $50,000

(H) HEALTH CARE SEL SECT SPDR FD

Fund	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 2, 2024	$15,000 or less
	Buy	Nov 7, 2024	$15,000 or less

(H) SPDR BLACKSTONE SENIOR LOAN

Fund	$1,000 or less	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 6, 2024	$15,001 - $50,000
	Buy	Dec 5, 2024	$15,000 or less
	Buy	Dec 2, 2024	$15,001 - $50,000
	Buy	Nov 18, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$50,001 - $100,000

(H) ISHARES 0-3 MONTH TREASURY B

ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
Bond	$1,000 or less	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 2, 2024	$50,001 - $100,000
	Buy	Nov 7, 2024	$50,001 - $100,000

(H) ISHARES 0-5 HY CORP

Bond	$1,000 or less	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 2, 2024	$15,001 - $50,000
	Buy	Nov 18, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$50,001 - $100,000
	Buy	Nov 7, 2024	$50,001 - $100,000

(H) ISHARES INT HEDG CORP BD ETF

Bond	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Nov 7, 2024	$15,001 - $50,000

(H) ISHARES PREFERRED & INCOME S

Fund	$15,001 - $50,000	Interest	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Jan 25, 2024	$15,001 - $50,000
	Buy	Jan 22, 2024	$50,001 - $100,000
	Buy	Aug 7, 2024	$50,001 - $100,000
	Buy	Jul 26, 2024	$15,000 or less
	Buy	Jul 9, 2024	$15,000 or less
	Buy	Aug 21, 2024	$15,001 - $50,000
	Buy	Aug 7, 2024	$100,001 - $250,000
	Sold (part)	Dec 18, 2024	$50,001 - $100,000
	Buy	Oct 28, 2024	$15,000 or less
	Sold (part)	Nov 7, 2024	$50,001 - $100,000
	Buy	Nov 7, 2024	$15,001 - $50,000

(H) ISHARES SHORT TREASURY BD ETF

Bond	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 6, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$50,001 - $100,000

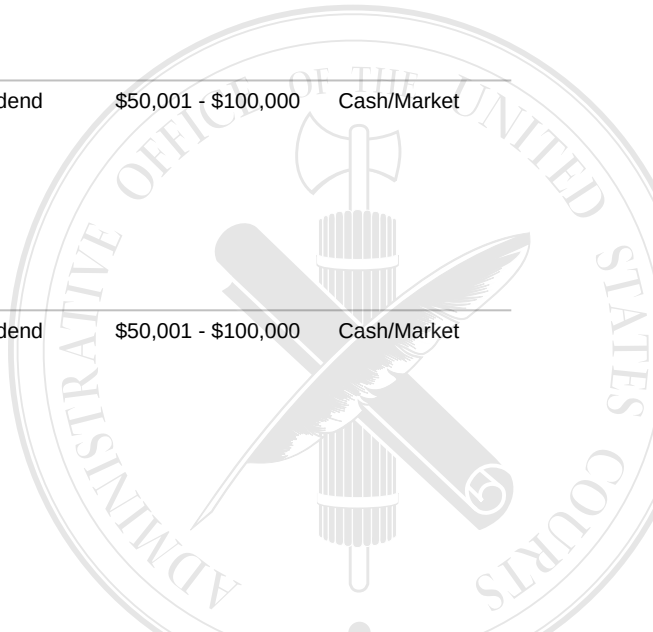
(H) ISHARES IBOXX HY COR BD ETF

Bond	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 6, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$50,001 - $100,000

(H) ISHARES RUSSEL 1000 VALUE ETF

Fund	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market



DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 6, 2024	$15,001 - $50,000
	Buy	Dec 2, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$15,001 - $50,000

(H) ISHARES IBOXX INVEST GR COR BD

Bond	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Dec 6, 2024	$15,001 - $50,000
	Buy	Nov 7, 2024	$50,001 - $100,000

(H) INVESCO SENIOR LOAN ETF

Fund	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Oct 25, 2024	$15,000 or less
	Buy	Oct 22, 2024	$15,000 or less
	Sold (part)	Dec 18, 2024	$50,001 - $100,000
	Buy	Dec 6, 2024	$15,001 - $50,000
	Buy	Dec 2, 2024	$15,001 - $50,000
	Buy	Nov 18, 2024	$15,000 or less
	Buy	Nov 7, 2024	$50,001 - $100,000
	Buy	Nov 7, 2024	$15,001 - $50,000

(H) FED HOME LN BK DUE 11/7/2044

Note	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Oct 28, 2024	$15,001 - $50,000

(H) FED FARM CR BK DUE 07/17/2034

Bond	$1,001 - $2,500	Interest	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Oct 9, 2024	$50,001 - $100,000

(H) JP MORGAN CHASE 1(ONE)-YEAR CD

CD	$2,501 - $5,000	Interest	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Sep 18, 2024	$250,001 - $500,000

(H) FED FARM CR BK DUE 04/30/2027

Bond	$2,501 - $5,000	Interest	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Sep 9, 2024	$50,001 - $100,000
	Buy	Aug 21, 2024	$100,001 - $250,000

(H) FED FARM CR BK DUE 10/2/2024

Note		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Mar 11, 2024	$100,001 - $250,000
	Sold	Aug 7, 2024	$100,001 - $250,000

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DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
(H) FED HOME LN BK NOTE DUE 5/23/34				
Note	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
	Buy	Jul 9, 2024	$15,001 - $50,000
	Sold (part)	Aug 7, 2024	$15,000 or less

VIII. Additional Information or Explanations

ADDITIONAL INFORMATION OR EXPLANATION
1. TOTAL INCOME FOR IRA ACCOUNTS IS TOTAL INCOME AS REPORTED BY CUSTODIAN OF ACCOUNT.
2. TRANSFERS FROM IRA MONEY MARKET TO PERSONAL ACCOUNTS (RMD) NOT SHOWN.
3. PART VII, LINE 41: PERIODIC EMPLOYER CONTRIBUTIONS MADE TO THIS ACCOUNT (NEW YORK UNIVERSITY 403(B) PLAN) ARE NOT REPORTED AS A SEPARATE BUY.
4. THE VANGUARD FEDERAL MONEY MARKET FUND IS AN SEC-MANDATED SETTLEMENT FUND FOR SECURITIES TRANSACTIONS. PURCHASES AND SALES OF SECURITIES DISCLOSED IN THIS REPORT AUTOMATICALLY RESULTED IN CORRESPONDING SALES AND PURCHASES IN THE FUND. THE SAME APPLIES FOR THE CHARLES SCHWAB INSURED DEPOSIT ACCOUNT. MONEY MARKET TRANSACTIONS INVOLVING DEPOSITS OF EMPLOYMENT COMPENSATION OR A PAYMENT OF PERSONAL EXPENSES AND EXCHANGES BETWEEN FUNDS NOT LISTED AS BEING IDENTICAL TO BANK ACCOUNT ACTIVITIES.
5. THE LIFE SCIENCES INNOVATION FUND AND LIFE SCIENCES INNOVATION FUND II INCLUDE CELMATIX, INC., OOVA INC., AND TMRW LIFE SCIENCES. I DO NOT OWN THESE SECURITIES INDIVIDUALLY BUT AS PART OF THE LIFE SCIENCES INNOVATION FUND AND LIFE SCIENCES FUND II. GOING FORWARD I HAVE DECIDED TO REPORT MY ALLOCATED SHARE OF THE FUNDS EVEN THOUGH REPORTING MAY NOT BE NECESSARY. THESE FUNDS ARE NOT THE SAME AS ITEMS IN THE SPEC SITUATION LIFE SCIENCES FUND.
6. HOLDINGS, PURCHASES, AND SALES OF US TREASURY SECURITIES ARE NOT INCLUDED.