AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
DYK, TIMOTHY B.	FEDERAL CIRCUIT	11/25/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE - ACTIVE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
717 MADISON PLACE, N.W. WASHINGTON, DC 20439		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	JUDICIAL COUNSELOR	GILES RICH INN OF COURT
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	UNIVERSITY OF NEBRASKA - BOARD OF REGENTS (ROYALTY)	$383.40
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	NYU LAW SCHOOL - SALARY
2.	2023	GRAY CENTER GEORGE MASON UNIVERSITY - HONORARIUM $1,000.00
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	UNIVERSITY OF SAN DIEGO SCHOOL OF LAW	03/17/23 - 03/19/23	SAN DIEGO, CA	2023 USD SCHOOL OF LAW PATENT LAW CONFERENCE	ROUND TRIP FLIGHT AND HOTEL.
2.	DELAWARE CHAPTER OF THE FBA	09/21/23 - 09/22/23	WILMINGTON, DE	DELAWARE BAR CONFERENCE	ROUND TRIP TRAIN, PARKING, HOTEL, CONFERENCE FEE, AND DINNER.
3.	FEDERAL CIRCUIT BAR ASSOCIATION	11/1/23 - 11/3/23	MILAN, ITALY	FCBA AND EUROPEAN PATENT LAW ASSOCIATION 2023 GLOBAL SERIES	TRANSPORTATION TO AND FROM AIRPORT, ROUND TRIP FLIGHT, AND HOTEL.

4. _____ _____ _____ _____ _____

5. _____ _____ _____ _____ _____

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
DYK, TIMOTHY B.	11/25/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	LOT - TRURO, MA (PARCEL 1) ($275,500)		None	N	S					
2.	MORGAN STANLEY PRIVATE BANK ACCOUNT	A	Interest	K	T					
3.	SPECIAL SITUATIONS LIFE SCIENCES INNOVATION FUND		None	M	T					
4.	SPECIAL SITUATIONS LIFE SCIENCES INNOVATION FUND II		None	L	T					
5.	-CELMATIX, INC (PREFERRED SHARES) (SEE NOTE)		None	J	W					
6.	-OOVA INC. (PREFERRED SHARES) (SEE NOTE)		None	K	W	Buy (add'l)	02/06/23	J		
7.	-TMRW LIFE SCIENCES (PREFERRED SHARES) (SEE NOTE)		None	M	W					
8.	-TMRW LIFE SCIENCES (COMMON UNITS) (SEE NOTE)		None	J	W					
9.	-MEDANSWERS, INC. (CONVERTABLE NOTE) (SEE NOTE)		None	J	W					
10.	- CELMATIX, INC. 5/9/24 (WARRANTS) (SEE NOTE)		None	J	W					
11.	-CELMATIX, INC. SERIES A-3 (WARRANTS) (SEE NOTE)		None	J	W	Sold	02/06/23	J		
12.	-CELMATIX, INC. SERIES 1 (WARRANTS) (SEE NOTE)		None	J	W	Buy (add'l)	02/06/23	J		
13.	-CELMATIX INC. (COMMON STOCK) (SEE NOTE)		None	J	W	Buy (add'l)	02/06/23	J		
14.	-CELMATIX INC. SERIES A-2 (PREFERRED STOCK) (SEE NOTE)		None			Sold	02/06/23	J		
15.	-CELMATIX INC. SERIES 2 (PREFERRED STOCK) (SEE NOTE)		None	J	W	Buy	06/20/23	J		
16.	JAPAN EQUITY FUND	C	Dividend	K	T					
17.	BANK OF AMERICA (COMMON STOCK)	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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DYK, TIMOTHY B.	11/25/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	NUVEEN AMT FREE QUALITY MUNI INCOME FUND	C	Dividend	L	T					
19.	CRIMSON WINE GROUP (COMMON STOCK)		None	J	T					
20.	NUVEEN AMT FREE MUNI CREDIT INCOME FUND	A	Interest	J	T					
21.	SHELL (COMMON STOCK)	D	Dividend			Sold	09/14/23	N	C	
22.	VANGUARD FEDERAL MONEY MARKET FUND	E	Dividend	O	T					
23.	VANGUARD TAX EXEMPT MONEY MARKET FUND	A	Dividend	J	T					
24.	XEROX HOLDINGS (COMMON STOCK)	C	Dividend	K	T					
25.	NY COMM BK CORP (COMMON STOCK)	B	Dividend	K	T					
26.	US TREASURY NOTE DUE 07/31/24	D	Interest	M	T					
27.	WARBY PARKER (COMMON STOCK)		None	J	T					
28.	WESTERN INTERMEDIATE MUNI FUND	D	Interest	M	T					
29.	INFUSYSTEM HOLDINGS (COMMON STOCK)		None	K	T					
30.	TOTAL S.A. (TOTAL ENERGIES) (COMMON STOCK)	A	Dividend			Sold	01/13/23	M	C	
31.	TOTAL S.A. (TOTAL ENERGIES) (COMMON STOCK)	A	Dividend			Sold	09/18/23	J	A	
32.	U.S. TREASURY BOND DUE 9/5/24	D	Interest	N	T	Buy	09/15/23	N		
33.	LAWRENCE MA MUNI BD	A	Interest	K	T					
34.	NEWPORT-MESA CA UNION SCH DIST MUNI BD	B	Interest	K	T	Redeemed	08/01/23	K	A	

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. NUVEEN SELECT MATURITY (MUNICIPAL FUND)	A	Interest	J	T					
36. VANGUARD IRA #4 (H)									
37. -NEW AMERICA HIGH INCOME FUND	B	Dividend	K	T					
38. -WESTERN ASSET HIGH INC OPP FUND	D	Dividend	L	T					
39. -FIDELITY JAPAN SMALL CO. FUND	A	Dividend	M	T					
40. -VANGUARD FEDERAL MONEY MARKET FUND	A	Dividend	K	T					
41. -VANGUARD TREASURY MONEY MARKET FUND	A	Dividend	J	T					
42. -BLACKSTONE MORT TRUST	A	Dividend	J	T					
43. -PIMCO STOCKPLUS SHORT (FUND)	A	Dividend	L	T					
44. -OCCIDENTAL PETROLEUM WARRANTS		None	J	T					
45. -OCCIDENTAL PETROLEUM (COMMON STOCK)	A	Dividend	L	T					
46. -CRIMSON WINE GROUP (COMMON STOCK)		None	J	T					
47. -M & T BANK CORP (COMMON STOCK) FORMERLY-PEOPLES UNITED (COMMON STOCK)	B	Dividend	L	T					
48. VANGUARD IRA - #1 (H)									
49. -BANK OF AMERICA (COMMON STOCK)	B	Dividend	L	T					
50. -FIDELITY JAPAN SM CO FUND	A	Dividend	M	T					

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51. -VALARIS (COMMON STOCK) WTS		None	J	T					
52. -PIMCO STOCKPLUS (FUND)	A	Dividend	K	T					
53. -GENESIS ENERGY (COMMON STOCK)	A	Dividend	J	T					
54. -VANGUARD FEDERAL MONEY MARKET FUND	B	Dividend	L	T					
55. -VANGUARD TREASURY MONEY MARKET FUND	A	Dividend	J	T					
56. VANGUARD IRA #2 (H)									
57. -VANGUARD TREASURY MONEY MARKET FUND	A	Dividend	J	T					
58. -VANGUARD FEDERAL MONEY MARKET FUND	F	Dividend	P1	T					
59. -FIDELITY INV TRUST JAPAN SMALL CO FUND	A	Dividend	N	T					
60. -VALLEY NATIONAL BANK	C	Dividend	L	T					
61. -WESTERN ASSET HIGH INCOME FUND	D	Dividend	L	T					
62. VANGUARD IRA #3 (H)									
63. -CAUSEWAY INT. SMALL CAP FUND	A	Dividend	K	T	Buy	01/16/23	K		
64. -NY COMMUNITY BK (COMMON STOCK)	B	Dividend	K	T	Buy	01/19/23	K		
65. -VANGUARD FEDERAL MONEY MARKET FUND	A	Dividend	J	T					
66. -VANGUARD TREASURY MONEY MARKET FUND	A	Dividend	J	T					
67. -PIMCO STOCKPLUS SHORT (FUND)	B	Interest	M	T					

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68. -SUBARU (COMMON STOCK)	B	Dividend	K	T					
69. -OCCIDENTAL PETROLEUM (COMMON STOCK)	A	Dividend	L	T					
70. -OCCIDENTAL PETROLEUM WARRANTS		None	L	T					
71. -BRIGHTHOUSE FINANCIAL		None	L	T					
72. TD AMERITRADE INSURED DEPOSIT ACCOUNT IRA #1 (H)	A	Dividend	K	T					
73. TD AMERITRADE IRA #2 (H)									
74. -SPEC SITUATION LIFE SCIENCES FUND	A	Dividend	N	T					
75. -TD AMERITRADE INSURED DEPOSIT ACCOUNT	A	Dividend	K	T					
76. -NORTHERN LIGHTS NORTH STAR DIVIDEND LIGHTS FUND	A	Dividend	J	T					
77. US TREASURY NOTE - DUE 08/15/24	B	Interest	L	T					
78. VANGUARD IRA #5 (H)									
79. -VANGUARD FEDERAL MONEY MARKET FUND	C	Dividend	L	T					
80. -BP PLC (COMMON STOCK)	B	Dividend	K	T	Sold	09/18/23	K	A	
81. -NEW AMERICA HIGH INCOME FUND	A	Dividend	J	T					
82. -NUVEEN PREFERRED INCOME FUND	C	Dividend	K	T					
83. -WESTERN ASSET HIGH INCOME OPPORTUNITY FUND	C	Dividend	K	T					
84. -NY COMMUNITY BK (COMMON STOCK)	C	Dividend	L	T	Buy	03/15/23	K		

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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85. NEW YORK UNIVERSITY 403(B) PLAN (NOW MANAGED BY TIAA) (H)									
86. -TIAA TRADITIONAL FUND	E	Dividend	O	T					
87. -VANGUARD FEDERAL MONEY MARKET FUND	A	Dividend	M	T	Buy	08/28/23	M		
88. MASS MUTUAL LIFE INSURANCE (WHOLE)	A	Dividend	K	T					
89. ING LIFE INSURANCE (WHOLE)	C	Dividend	M	T					
90. PNC BANK WASHINGTON DC	A	Interest	K	T					
91. US BONDS	D	Interest	M	T					
92. JJ GUMBERG LIMITED PARTNERSHIP	D	Distribution	J	W					
93. VIRGINIA RETIREMENT SYSTEM	A	Interest			Redeemed	02/03/23	J	A	
94. CREDIT SUISSE COMMON STOCK		None			Buy	03/08/23	K		
95. CREDIT SUISSE COMMON STOCK		None			Sold	03/21/23	J		
96. TRUIST FINANCIAL CORP COMMON STOCK	C	Dividend	L	T	Buy	04/03/23	L		
97. FED HOME LN BK DUE 8/15/24	B	Interest	M	T	Buy	06/21/23	M		
98. FED HOME LN BK DUE 9/9/24					Buy	06/21/23	L		
99. FED HOME LN BK DUE 9/9/24	B	Interest			Sold	11/16/23	L		
100. FED HOME LN BK DUE 2/14/25	A	Interest	K	T	Buy	06/21/23	K		
101. FED HOME LN BK DUE 2/17/26	B	Interest	K	T	Buy	06/21/23	K		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
DYK, TIMOTHY B.	11/25/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
102. FED HOME LN BK DUE 2/27/26					Buy	06/21/23	L		
103. FED HOME LN BK DUE 2/27/26	B	Interest			Sold	11/16/23	L		
104. FED HOME LN BK DUE 7/20/28	B	Interest	K	T	Buy	07/10/23	K		
105. FED HOME LN BK DUE 2/17/26	B	Interest	K	T	Buy	06/15/23	L		
106. FED HOME LN BK DUE 4/5/27	A	Interest	K	T	Buy	06/22/23	K		
107. FED HOME LN BK DUE 8/28/28	C	Interest			Buy	08/02/23	K		
108. FED HOME LN BK DUE 8/28/28	C	Interest			Redeemed	12/28/23	K		
109. FED HOME LN BK DUE 3/6/28	C	Interest	L	T	Buy	12/12/23	L		
110. FED HOME LN MTG CORP MED TERM NOTE DUE 2/14/25	B	Interest	K	T	Buy	06/15/23	L		
111. FED HOME LN MTG CORP MED TERM NOTE DUE 6/8/26	B	Interest	L	T	Buy	11/16/23	M		
112. UNITED STATES TREASURY NOTE DUE 6/30/24	B	Interest	L	T	Buy	06/15/23	L		
113. WALGREENS BOOTS	B	Dividend	K	T	Buy	09/25/23	K		
114. WALGREENS BOOTS	A	Dividend	K	T	Buy	12/08/23	K		
115. US TREASURY BOND - DUE 08/15/53		None			Buy	10/26/23	M		
116. US TREASURY BOND - DUE 08/15/53					Sold	11/08/23	M	E	
117. LOAN TO [redacted], [redacted]	A	Interest	N	U	Buy	08/12/23	N		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000
F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000
N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market
U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. TOTAL INCOME FOR IRA ACCOUNTS IS TOTAL INCOME AS REPORTED BY CUSTODIAN OF ACCOUNT.

2. TRANSFERS FROM IRA MONEY MARKET TO PERSONAL ACCOUNTS (RMD) NOT SHOWN.

3. PART VII, LINE 84: PERIODIC EMPLOYER CONTRIBUTIONS MADE TO THIS ACCOUNT (NEW YORK UNIVERSITY 403(B) PLAN) ARE NOT REPORTED AS A SEPARATE BUY.

4. THE VANGUARD FEDERAL MONEY MARKET FUND IS AN SEC-MANDATED SETTLEMENT FUND FOR SECURITIES TRANSACTIONS. PURCHASES AND SALES OF SECURITIES DISCLOSED IN THIS REPORT AUTOMATICALLY RESULTED IN CORRESPONDING SALES AND PURCHASES IN THE FUND. THE SAME APPLIES FOR THE TD AMERITRADE INSURED DEPOSIT ACCOUNT. MONEY MARKET TRANSACTIONS INVOLVING DEPOSITS OF EMPLOYMENT COMPENSATION OR A PAYMENT OF PERSONAL EXPENSES AND EXCHANGES BETWEEN FUNDS NOT LISTED AS BEING IDENTICAL TO BANK ACCOUNT ACTIVITIES.

5. THE LIFE SCIENCES INNOVATION FUND AND LIFE SCIENCES INNOVATION FUND II INCLUDE CELMATIX, INC., OOVA INC., TMRW LIFE SCIENCES, AND MEDANSWERS. I DO NOT OWN THESE SECURITIES INDIVIDUALLY BUT AS PART OF THE LIFE SCIENCES INNOVATION FUND AND LIFE SCIENCES FUND II. GOING FORWARD I HAVE DECIDED TO REPORT MY ALLOCATED SHARE OF THE FUNDS EVEN THOUGH REPORTING MAY NOT BE NECESSARY. THESE FUNDS ARE NOT THE SAME AS ITEM #74: THE SPEC SITUATION LIFE SCIENCES FUND.

Name of Person Reporting	Date of Report
DYK, TIMOTHY B.	11/25/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ TIMOTHY B. DYK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
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