AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Chen, Raymond T.	U.S. Court of Appeals for the Federal Circuit	05/09/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022

7. Chambers or Office Address

U.S. Court of Appeals for the Federal Circuit
717 Madison Place, N.W
Washington, DC 20439

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	NYU School of Law
2.	Advisory Board Member	NYU School of Law Engelberg Center on Innovation Law & Policy
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	CLE International IP & Ski Conference	01/05/2022 - 01/08/2022	Vail, CO	Activity of professional association (Panelist)	Airfare, lodging, meals, ground transportation.
2.	Loyola Law School	02/16/2022 - 02/22/2022	Los Angeles, CA	Activity of professional association and law school (Keynote speaker)	Airfare, lodging, meals, ground transportation.
3.	Hon. William C. Conner Inn of Court	05/24/2022 - 05/25/2022	New York, NY	Activity of professional association (Panelist)	Lodging and meals
4.	Federal Circuit Bar Association Bench & Bar Conference	06/15/2022 - 06/18/2022	Sea Island, Georgia	Activity of professional association (Panelist)	Airfare, lodging, meals, ground transportation.

5.	NYU Law Edinburgh Conference	07/19/2022 - 07/22/2022	Edinburgh, UK	Activity of professional association (Panelist)	Airfare, lodging, meals, ground transportation.
6.	Stanford University Law School - IP Conference	09/29/2022 - 10/01/2022	Palo Alto, CA	Activity of professional association (Panelist)	Airfare, lodging, meals, ground transportation.
7.	Federal Circuit Bar Association (2022 Global Series)	10/14/22 - 1022/2022	Amsterdam	Activity of professional association (Panelist)	Airfare, lodging, meals, ground transportation.

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Chen, Raymond T.	05/09/2023

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CollegeBoundfund Age-Based Moderate Growth Portfolio		None	M	T					
2. Truist Bank Accounts (formerly Suntrust)		Interest	K	T					
3. Fidelity Cash Reserves		Interest	L	T					
4. JNL/Goldman Sachs 4 (former name JNL/ S&P4)		None	M	T					
5. JNL/Mellon Energy Sector (former name JNL/Mellon Capital Management Oil & Gas)	A	None	K	T					
6. JNL/JPMorgan Global Allocation (former name JNL/FPA + DoubleLine Flexible Allocation)		None	L	T					
7. JNL/MC US Stock Market Index (consolidated two prior funds listed: JNL/S&P Intrinsic Value and JNL/S&P Total Yield)		None	M	T					
8. JNL/Mellon Capital Healthcare Sector		None	K	T					
9. JNL/Mellon Capital Small Cap Index		None	L	T					
10. JNL/S&P Total Yield (see no 7 above, now part of JNL /MC U S Stock Market Index fund)									
11. JNL/RAFI Fundamental U S Small Cap (former name JNL/Mellon Capital S&P SMid60)		None	K	T					
12.									
13.									
14.									
15.									
16.									
17.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Raymond T. Chen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544