AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Cabranes, Jose A. | U.S. Court of Appeals for the Second Circuit | 05/14/2025 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Circuit Judge - Senior Status | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2024 **to** 12/31/2024 |
| **7. Chambers or Office Address** | | |
| U.S. Courthouse<br>141 Church Street<br>New Haven, CT 06510 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Trustee | William Nelson Cromwell Foundation |
| 2. | Member | Academic Advisory Committee of the Institute for Constitutional Studies, George Washington University |
| 3. | Honorary Fellow | Academia Puertorriquena de Jurisprudencia y Legislacion |
| 4. | Member | External Advisory Board, Colgate University's Center for Freedom and Western Civilization |
| 5. | Adviser | Restatement of the Law Fourth-Property, American Law Institute |
| 6. | Member | Board of Editors, Journal of Free Speech |
| 7. | Adviser | Restatement of the Law Fourth - Foreign Relations Law of the United States, American Law Institute |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Cabranes, Jose A.** | 05/14/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | Individual Assets (H) | | | | | | | | | |
| 2. | Citizens Bank (cash) | A | Interest | M | T | | | | | |
| 3. | Account #1 (H) | | | | | | | | | |
| 4. | TIAA Bank (cash) | A | Interest | J | T | | | | | |
| 5. | Bernstein Diversified Municipal Portfolio (SNDPX) | A | Dividend | J | T | | | | | |
| 6. | Nuveen Large Cap Growth Index Fund (TILIX) (was TIAA-CREF) | B | Dividend | K | T | | | | | |
| 7. | Allspring Ultra Short-Term Municipal Income Fund Inst Class (SMAIX) | A | Dividend | J | T | | | | | |
| 8. | Allspring Municipal Bond Fund Inst Class (WMBIX) | C | Dividend | M | T | | | | | |
| 9. | iShares Russell Mid-Cap Value ETF (IWS) | B | Dividend | L | T | | | | | |
| 10. | iShares Russell Mid-Cap Growth ETF (IWP) | A | Dividend | L | T | | | | | |
| 11. | iShares Russell 2000 Value ETF (IWN) | A | Dividend | J | T | | | | | |
| 12. | iShares Russell 2000 Growth ETF (IWO) | A | Dividend | K | T | | | | | |
| 13. | iShares MSCI EAFE Small Cap ETF (SCZ) | A | Dividend | K | T | | | | | |
| 14. | Account #2 (H) | | | | | | | | | |
| 15. | Vanguard Federal Money Market Fund (VMFXX) (cash eq.) | D | Dividend | N | T | Buy | 05/08/24 | N | | |
| 16. | | | | | | Buy (add'l) | 05/09/24 | J | | |
| 17. | | | | | | Sold (part) | 09/10/24 | L | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Cabranes, Jose A.** | 05/14/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 18. | | | | | | Buy (add'l) | 10/29/24 | L | | |
| 19. | Vanguard Lifestrategy Conservative Growth Investor Cl (VSCGX) | D | Dividend | M | T | Buy | 02/13/24 | M | | |
| 20. | | | | | | Sold (part) | 02/20/24 | L | | |
| 21. | JPMorgan Chase Bank (cash) | D | Interest | | | Closed | 05/08/24 | N | | |
| 22. | Account #3 (H) | | | | | | | | | |
| 23. | TIAA Bank (cash) | B | Interest | L | T | | | | | |
| 24. | Bernstein Diversified Municipal Portfolio (SNDPX) | A | Dividend | J | T | | | | | |
| 25. | Nuveen Large Cap Growth Index Fund (TILIX) (was TIAA-CREF) | B | Dividend | L | T | | | | | |
| 26. | Allspring Ultra Short-Term Municipal Income Fund Inst Class (SMAIX) | A | Dividend | J | T | | | | | |
| 27. | iShares Russell Mid-Cap Value ETF (IWS) | B | Dividend | M | T | | | | | |
| 28. | iShares Russell Mid-Cap Growth ETF (IWP) | A | Dividend | L | T | | | | | |
| 29. | iShares Russell 1000 Value ETF (IWD) | C | Dividend | M | T | | | | | |
| 30. | iShares Russell 1000 Growth ETF (IWF) | B | Dividend | N | T | | | | | |
| 31. | iShares Russell 2000 Value ETF (IWN) | A | Dividend | K | T | | | | | |
| 32. | iShares Russell 2000 Growth ETF (IWO) | A | Dividend | K | T | | | | | |
| 33. | iShares MSCI EAFE Small Cap ETF (SCZ) | B | Dividend | K | T | | | | | |
| 34. | iShares TR Core MSCI EAFE ETF (IEFA) | D | Dividend | M | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Cabranes, Jose A.** | 05/14/2025 |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 35. iShares Core MSCI Emerging Markets ETF (IEMG) | B | Dividend | L | T | | | | | |
| 36. Account #4 (H) | | | | | | | | | |
| 37. TIAA Traditional | G | Interest | P1 | T | Buy (add'l) | 01/31/24 | J | | |
| 38. | | | | | Buy (add'l) | 02/29/24 | J | | |
| 39. | | | | | Buy (add'l) | 03/28/24 | J | | |
| 40. | | | | | Buy (add'l) | 04/30/24 | J | | |
| 41. | | | | | Buy (add'l) | 05/31/24 | J | | |
| 42. | | | | | Buy (add'l) | 06/28/24 | J | | |
| 43. | | | | | Buy (add'l) | 07/31/24 | J | | |
| 44. | | | | | Buy (add'l) | 08/29/24 | J | | |
| 45. | | | | | Buy (add'l) | 09/30/24 | J | | |
| 46. | | | | | Buy (add'l) | 10/31/24 | J | | |
| 47. | | | | | Buy (add'l) | 11/27/24 | J | | |
| 48. | | | | | Buy (add'l) | 12/23/24 | J | | |
| 49. Vanguard Total Stock Market Index Fund Inst. Plus (VSMPX) | B | Dividend | M | T | | | | | |
| 50. TIAA Real Estate (QREARX) | | None | M | T | | | | | |
| 51. Account #5 (H) | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Cabranes, Jose A.** | 05/14/2025 |

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|---|---|---|---|---|---|---|---|---|---|
| 52. TIAA Traditional | D | Interest | M | T | Sold (part) | 12/20/24 | M | | |
| 53. Account #6 (H) | | | | | | | | | |
| 54. TIAA Traditional | E | Interest | N | T | Buy (add'l) | 01/31/24 | J | | |
| 55. | | | | | Buy (add'l) | 03/01/24 | J | | |
| 56. | | | | | Buy (add'l) | 03/28/24 | J | | |
| 57. | | | | | Buy (add'l) | 04/30/24 | J | | |
| 58. | | | | | Buy (add'l) | 05/31/24 | J | | |
| 59. | | | | | Buy (add'l) | 06/28/24 | J | | |
| 60. | | | | | Buy (add'l) | 07/31/24 | J | | |
| 61. | | | | | Buy (add'l) | 08/29/24 | J | | |
| 62. | | | | | Buy (add'l) | 09/30/24 | J | | |
| 63. | | | | | Buy (add'l) | 10/31/24 | J | | |
| 64. | | | | | Buy (add'l) | 11/27/24 | J | | |
| 65. | | | | | Buy (add'l) | 12/23/24 | J | | |
| 66. Vanguard Total Stock Market Index Fund Inst. Plus (VSMPX) | A | Dividend | K | T | | | | | |
| 67. Account #7 (H) | | | | | | | | | |
| 68. TIAA Traditional | D | Interest | M | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
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H1 =$1,000,001 - $5,000,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal
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| Name of Person Reporting | Date of Report |
|---|---|
| **Cabranes, Jose A.** | 05/14/2025 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 69. TIAA Real Estate (X) | | None | M | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Cabranes, Jose A.** | 05/14/2025 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

| Name of Person Reporting | Date of Report |
|---|---|
| **Cabranes, Jose A.** | 05/14/2025 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Jose A. Cabranes**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544