AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Cabranes, Jose A.	U.S. Court of Appeals	05/08/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022

7. Chambers or Office Address

U.S. Courthouse
141 Church Street
New Haven, CT 06510

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	William Nelson Cromwell Foundation, New York, NY
2.	Member	Academic Advisory Committee of the Institute for Constitutional Studies, The Geroge Washington University, Washington, DC
3.	Honorary Fellow	Academia Puertorriquena de Jurisprudencia y Legislacion, San Juan, PR
4.	Member	External Advisory Board, Colgate University's Center for Freedom and Western Civilization, Hamilton, NY
5.	Advisor	Restatement of the Law Fourth-Property, Amercian Law Institute, Philadelphia, PA
6.	Member	Advisoty Panel on the Chicago Principles on Freedom of Expression, American Council of Trustees and Alumni, Washington, DC
7.	Member	Board of Editors, Journal of Free Speech (Prof. Eugene Volokh, Editor-in-Chief, c/o UCLA School of Law, Los Angeles, CA)
8.	NOTE:	No income of any kind was derived from any of the positions or services or activities noted above.
9.		
10.		
11.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Cabranes, Jose A.	05/08/2023

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	University of Chicago Press (Royalties)
2.	2022	Thomson-West (Royalties)
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Cabranes, Jose A.	05/08/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Cabranes, Jose A.	05/08/2023

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. CITIZENS BANK ACCOUNTS	A	Interest	K	T					
2. TIAA BANK BROKERAGE SWEEP ACCOUNT	A	Interest	M	T					
3. BERNSTEIN DIVERSIFIED (SNDPX)	A	Dividend	K	T					
4. ALLSPRING ULTRA SHORT TERM MUNI INCOME FD CL I (SMAIX)	A	Dividend	K	T					
5. ISHARES TR RUSSELL MID-CAP VALUE ETF (IWS)	C	Dividend	M	T					
6. ISHARES TR RUSSELL MID-CAP GROWTH ETF (IWP)	A	Dividend	L	T					
7. ISHARES TR RUSSELL 1000 VALUE ETF (IWD)	D	Dividend	N	T					
8. ISHARES TR RUSSELL 2000 VALUE ETF (IWN)	A	Dividend	K	T					
9. ISHARES TR RUSSELL 2000 GROWTH ETF (IWO)	A	Dividend	K	T					
10. ISHARES TR MSCI EAFE SMALL CAP ETF (SCZ)	B	Dividend	K	T					
11. ISHARES INC COARE MSCI EMERGING MKTS ETF (IEMG)	B	Dividend	L	T					
12. MAINSTAY MAKAY TAX FREE BOND FUND CLASS I (MTBIX)	D	Dividend	N	T					
13. ALLSPRING MUNICIPAL BOND FUND INSTITUTATIONAL CLASS (WMBIX)	D	Dividend	N	T					
14. ISHARES TR CORE MISCIEAFE ETF (IEFA)	D	Dividend	M	T					
15. TIAA-CREF LARGE CAP INDEX FUND INSTUTIONAL CLASS (TILIX)	A	Dividend	L	T					
16. ISHARES TR RUSSELL 1000 GROWTH ETF (IWF)	B	Dividend	N	T					
17. TIAA-CREF PENSION (YURAP)	E	Int./Div.	P1	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Cabranes, Jose A.	05/08/2023

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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. - TIAA TRADITIONAL	F	Int./Div.	P1	T					
19. - TIAA TRADITIONAL	C	Int./Div.	N	T					
20. - TIAA TRADITIONAL	C	Int./Div.	N	T					
21. - VANGUARD TOTAL STOCK MARKET INDEX FUND INST. PLUS (VSMPX)	C	Int./Div.	L	T					
22. - TIAA REAL ESTATE (QREARX)		None	M	T					See comment in Part VIII
23. VANGUARD LIFE STRATEGY CONSERVATIVE PORTFOLIO (VSCGX)	C	Dividend	N	T					
24.					Sold (part)	03/01/22	J		
25.					Sold (part)	03/14/22	J		
26.					Buy	08/01/22	L		
27.					Buy	08/30/22	K		
28.					Sold (part)	09/02/22	K		
29. VANGUARD BROKERAGE ACCOUNT		None	J	T					
30. - VTIAX	A	Dividend	J	T					
31. - VTIAX					Sold (part)	01/28/22	J		
32. - VTIAX					Sold (part)	06/28/22	J		
33.									
34.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Cabranes, Jose A.	05/08/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investements and Trusts - Line 29 - This holding does not derive income or interest/dividends; they generate "market gains".

Name of Person Reporting	Date of Report
Cabranes, Jose A.	05/08/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jose A. Cabranes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544