AO 10 Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report					
Barrett, Amy C.	Supreme Court of the United States	05/08/2023					
Title (Article III judges indicate active or senior status;     magistrate judges indicate full- or part-time)  Associate Justice of the Supreme Court of the United States	5a. Report Type (check appropriate type)  Nomination Date Initial Annual Final  5b. Amended Report	6. Reporting Period  1/1/2022  to  12/31/2022					
	uctions accompanying this form must be followed. Comple for each part where you have no reportable information.	te all parts,					
I. POSITIONS. (Reporting individual only; see Guide to Judicial Attorney; § 355 Outside Positions.)  NONE (No reportable positions.)  POSITION							
Adjunct Professor	University of Notre Dame Law School	NAME OF ORGANIZATION/ENTITY  University of Notre Dame Law School					
2.       3.							
<u>4.</u> <u>5.</u>							
II. AGREEMENTS. (Reporting individual only; see Guide to  NONE (No reportable agreements.)	o Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrai	ngements)					
<u>DATE</u> 1.  2.	PARTIES AND TERMS						

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University of Notre Dame

Law School

August 21-27, 2022

Notre Dame, IN

Law School Seminar

(Teaching)

Food

Name of Person Reporting

Barrett, Amy C.

Date of Report

05/08/2023

				•
III. NON-INVESTME Dependent Children.)	ENT INCOME. (R	eporting individual and spouse	; see Guide to Judiciary Policy, Volun	ne 2D, Ch. 3, § 320 Income; § 360 Spouses and
A. Filer's Non-Investment	Income			
NONE (No reportable	le non-investment inc	ome.)		
<u>DATE</u>		SOURCE AN	<u>D TYPE</u>	INCOME (yours, not spouse's)
1. 2022	University of	f Notre Dame Law School, t	eaching income	\$29,447.50
2.				
3.				
4.				
B. Spouse's Non-Investment (Dollar amount not required except for hand)  NONE (No reportable)	honoraria.)	ome.)		on.
<u>DATE</u>		SOURC	<u>CE</u>	
1. 2022	SouthBank L	egal, salary		
2.				
3.				
4.				
IV. REIMBURSEMEN (Includes those to spouse and dependent NONE (No reportable)	children; see Guide to Judicia		330 Gifts and Reimbursements; § 360	Spouses and Dependent Children.)
SOURCE	<u>DATES</u>	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
University of Notre Dame     Law School	February 13-15, 2022	Notre Dame, IN	Law Review Symposium (Lecture)	Transportation, food, lodging
Ronald Reagan Presidential Foundation & Institute	April 2-5, 2022	Simi Valley, CA	Reagan Library (Address)	Transportation, food, lodging
3. Big Sky Labor and Employment Institute	August 3-6, 2022	Big Sky, MT	Big Sky Labor and Employment Conference (Address)	Transportation, food, lodging

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Barrett, Amy C. 05/08/2023

5.	American College of Trial Lawyers	September 13-18, 2022	Rome, Italy	Annual Meeting (Address)	Transportation, food, lodging		
6.	Saint Thomas More Society	October 8-9, 2022	Richmond, VA	Diocesan Red Mass & Dinner (Address)	Food, lodging		

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FINANCIAL DISCLOSURE REPORT	Name of Person Reporting		Date of Report
Page 4 of 18	Barrett, Amy C.		05/08/2023
AT CATANG			
V. GIFTS. (Includes those to spouse and dependent children; see Gui	de to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Rein	ıbursements; § 36	60 Spouses and Dependent
Children.)			
✓ NONE (No reportable gifts.)			
SOURCE	DESCRIPTION		<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			
VI. LIABILITIES. (Includes those of spouse and dependent ch	ildren; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335	Liabilities; § 360	Spouses and Dependent
Children.)			
NONE (No reportable liabilities.)			

**DESCRIPTION** 

Tuition Agreement

College Tuition

College Tuition

VALUE CODE

J

K

K

**CREDITOR** 

4.

5.

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/08/2023

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

NONE (No reportable income, assets, or transactions.)

Ш	NONE (No reportable income, as	3013, 01 1	ransaction								
	A B C						D				
	Description of Assets		me during		lue at end		Transactio	ons during	reporting	reporting period	
	(including trust assets)	repor	ting period	of report	ing period						
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)		
	Place "(X)" after each asset	Amount	Type (e g ,	Value	Value	Type (e g,	Date	Value	Gain		
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1		
		(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)		
					(Q-W)	• ,					
1	FI C . 1 . 4						T				
1.	Flagstar Cash Accounts		None	L	T						
2.	Wells Fargo Cash Account		None	J	T						
3.	Brokerage Account #1 (H)										
٥.	Blokelage Account #1 (11)										
4.	-Fidelity Dividend Growth Fund	D	Dividend	L	T						
5.	-Fidelity Trend Fund	D	Dividend	М	Т	Buy	01/05/22	J			
			Dividend	141	_	(add'l)	01/03/22	,			
_						, ,					
6.						Buy	02/07/22	J			
						(add'l)					
7.						Buy	03/07/22	J			
						(add'l)					
8.							04/05/00	-			
٥.						Buy	04/05/22	J			
						(add'l)					
9.						Buy	05/05/22	J			
						(add'l)					
10.						Buy	06/07/22	J			
10.						(add'l)	00/07/22	J			
11.						Buy	07/06/22	J			
						(add'l)					
12.						Buy	08/05/22	J			
						(add'l)	00.00.	_			
12							00100100	_			
13.						Buy	09/07/22	J			
	,					(add'l)					
14.						Buy	10/05/22	J			
						(add'l)					
15.						Buy	11/07/22	J			
13.						(add'l)	11/01/22	J			
16.						Buy	12/06/22	J			
						(add'l)					
17.	-Fidelity Money Market Fund (SPRXX)	A	Int./Div.	K	Т						
		'	D11.	1							
				L	L	l .	1	ļ		ļ	

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

 $Q = \!\! Appraisal$ U =Book Value B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000  $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Barrett, Amy C.	05/08/2023

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

NONE (No reportable income, assets, or transactions.)

	NONE (No reportable income, as	5015, 01 1	В							
	A	C Gross value at end Tran				D				
	Description of Assets (including trust assets)		me during ting period		ng period		ions during reporting period			
	(including trust assets)					(1)	(2)	(2)	(4)	
	Place "(X)" after each asset	(1) Amount	(2) Type (e g ,	(1) Value	(2) Value	(1) Type (e g,	(2) Date	(3) Value	(4) Gain	
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	
	exempt from prior disclosure	(A-H)	or int )	(J-P)	Code 3	redemption)	IIIII/dd/yy	(J-P)	(A-H)	
			or int )	(3.1)	(Q-W)	reacmption)		(3 1)	(71 11)	
18.	-Fidelity 500 Index Fund	A	Dividend	L	Т	Buy (add'l)	01/05/22	J		
10						` ′	02/05/22	-		
19.						Buy (add'l)	02/07/22	J		
20.						Buy (add'l)	03/07/22	J		
21.						Buy (add'l)	04/05/22	J		
22.						Buy (add'l)	05/05/22	J		
23.						Buy (add'l)	06/07/22	J		
24.						Buy (add'l)	07/06/22	J		
25.						Buy (add'l)	08/05/22	J		
26.						Buy (add'l)	09/07/22	J		
27.						Buy (add'l)	10/05/22	J		
28.						Buy (add'l)	11/07/22	J		
29.						Buy (add'l)	12/06/22	J		
30.	-Fidelity Mid Cap Enhanced Index Fund	С	Dividend	L	Т	Buy (add'l)	01/04/22	J		
31.						Buy (add'l)	02/02/22	J		
32.						Buy (add'l)	03/02/22	J		
33.						Buy (add'l)	04/04/22	J		
34.						Buy (add'l)	05/03/22	J		

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

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B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

D =\$5,001 - \$15,000

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Barrett, Amy C.	05/08/2023

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NONE (No reportable income, assets, or transactions.)

	NONE (No reportable income,				~			_			
	A Description of Assets	Incor	B me during	C Gross value at end			Transactio	D Transactions during reporting period			
	(including trust assets)	reporting period			ng period	Transactions daring reporting period					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)		
35.						Buy (add'l)	06/02/22	J			
36.						Buy (add'l)	07/05/22	J			
37.						Buy (add'l)	08/02/22	J			
38.						Buy (add'l)	09/02/22	J			
39.						Buy (add'l)	10/04/22	J			
40.						Buy (add'l)	11/02/22	J			
41.						Buy (add'l)	12/02/22	J			
42.	-Fidelity Puritan Fund	D	Dividend	L	Т	Buy (add'l)	01/04/22	J			
43.						Buy (add'l)	02/02/22	J			
44.						Buy (add'l)	03/02/22	J			
45.						Buy (add'l)	04/04/22	J			
46.						Buy (add'l)	05/03/22	J			
47.						Buy (add'l)	06/02/22	J			
48.						Buy (add'l)	07/05/22	J			
49.						Buy (add'l)	08/02/22	J			
50.						Buy (add'l)	09/02/22	J			
51.						Buy (add'l)	10/04/22	J			

1 Income Gain Codes: (See Columns B1 and D4)

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O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other

B =\$1,001 - \$2,500

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P4 =More than \$50,000,000

W =Estimated

P1 =\$1,000,001 - \$5,000,000  $S = \!\! Assessment$ 

H2 =More than \$5,000,000 M =\$100,001 - \$250,000

D =\$5,001 - \$15,000

T =Cash Market

P2 =\$5,000,001 - \$25,000,000

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/08/2023

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

NONE (No reportable income, assets, or transactions.)

	NONE (No reportable income, ass	C15, 01 1		,						
	A B C					D				
	Description of Assets		me during		lue at end		Transactio	ons during	reporting	period
	(including trust assets)	repor	ting period	of reporti	ng period					
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	
	Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g ,	Date	Value	Gain	
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	
		(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)	
					(Q-W)					
52.						Buy	11/02/22	J		
						(add'l)	11/02/22	3		
53.						Buy	12/02/22	J		
						(add'l)				
54.	-Fidelity Cash Holding Account	В	Interest	N	Т					
55.	Brokerage Account #2 (H)									
00.	Dionerage Freedam #2 (F1)									
5.0	A ' E I AMCADE2	_		_	_			_		
56.	-American Funds AMCAP F2	В	Dividend	J	T	Sold	11/23/22	J	A	
						(part)				
57.	-American Funds American Mutual Fund F2	A	Dividend	J	T	Donated				
						(part)				
58.	-American Funds Tax-Exempt Bond F2	A	Dividend	K	Т	Buy	11/23/22	K		
	•		Dividend		-	24,	11,20,22			
50	-Blackrock Strategic Municipal		51.11.1	-			11/22/22	-		
59.	Opportunities Fund Institutional	A	Dividend	J	T	Buy	11/23/22	J		
60.	-Securian Brokerage Money Market		None	J	T					
	Account									
61.	-Capital Group Dividend Value ETF	A	Dividend	J	Т	Buy	11/23/22	J		
	1		Dividend		-	2,	11,20,22			
62.	Comital Casum Clabal Cassyth Equity ETE		D: :1 1	***			11/02/02	***		
02.	-Capital Group Global Growth Equity ETF	A	Dividend	K	T	Buy	11/23/22	K		
63.	-Davis Select Worldwide ETF	A	Dividend	K	T	Buy	11/23/22	K		
64.	-Dodge & Cox Income	A	Dividend			Sold	11/23/22	J		
	-	-								
65	-First Trust Capital Strength ETF		Di! 1 1	т	T.	D.	11/22/22	7		
65.	-First Trust Capital Strength ETF	A	Dividend	J	T	Buy	11/23/22	J		
66.	-First Trust Small Cap Growth AlphaDEX		None	J	T	Buy	11/23/22	J		
	Fund									
67.	-Goldman Sachs High Yield Municipal Fund	A	Dividend	J	Т	Buy	11/23/22	J		
	Institutional	-								
68.	-Goldman Sachs Dynamic Municipal	_	Di! d 1	I/	т	D	11/22/22	IZ.		
08.	Income Fund Institutional	A	Dividend	K	T	Buy	11/23/22	K		
	meome i dud mondional									

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

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C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000  $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

E=\$15,001 - \$50,000

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/08/2023

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

NONE (No reportable income, assets, or transactions.)

	NONE (No reportable income, ass	icis, or i	ransaction	· · · /						
	A		В	(	2			D		
	Description of Assets	Inco	me during	Gross va	lue at end	Transactions during reporting pe			period	
	(including trust assets)	report	ting period	of reporti	ng period					
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	
	Place "(X)" after each asset	Amount	Type (e g ,	Value	Value	Type (e g ,	Date	Value	Gain	
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	
	enempt from prior discussate	(A-H)	or int )	(J-P)	Code 3	redemption)	iiiii/dd/yy	(J-P)	(A-H)	
			or int )	(3-1)		reacmption)		(3-1)	(A-11)	
					(Q-W)					
69.	-iShares Core U.S. Aggregate Bond ETF	A	Dividend			Sold	11/23/22	K		
70	'CI EI (' D ( D I EEE							_		
70.	-iShares Floating Rate Bonds ETF	A	Dividend			Sold	11/23/22	J	A	
71.	-iShares MSCI EAFE ETF	A	Dividend			Sold	11/23/22	K	В	
									_	
72.	-iShares Russell 2000 Value ETF	A	Dividend	J	T					
73.	-PIMCO Enhanced Short Maturity Active	A	Dividend			Sold	11/23/22	J		
	ETF		Dividend			5014	11,20,22			
74.	-SPDR Bloomberg Barclays High Yield	A	Dividend			Sold	11/23/22	J		
	Bond ETF									
75.	-SPDR S&P International Small Cap ETF	A	Dividend	J	Т					
	(X)	11	Dividend							
76.	-T. Rowe Price Blue Chip Growth ETF		None	J	T	Buy	11/23/22	J		
77.						Buy	11/30/22	J		
						(add'l)	11/00/22			
78.	-T. Rowe Price Tax-Free Income Fund	A	Dividend	J	T	Buy	11/23/22	J		
79.	-Vanguard Emerging Markets Stock Index	A	Dividend	J	Т	Buy	11/23/22	J		
	Fund ETF	••	Dividend		-	] 24,	11,20,22			
80.	-Vanguard Short-Term Bond Index Fund	A	Dividend			Sold	11/23/22	K		
	ETF									
81.	-Vanguard REIT Index Fund ADM	A	Dividend	J	Т	Sold	11/23/22	J	A	
	(Specialized Portfolio)	••	Dividend		-	(part)	11,20,22		••	
0.7						*	+			
82.	-Vanguard Total International Bond Index	A	Dividend			Sold	11/23/22	K		
	Fund ETF									
83.	-Vanguard Ultra Short-Term Tax-Exempt	A	Dividend	J	Т	Buy	11/23/22	J		
	Fund Admiral	'1	Dividend	,		Luy	11,20,22	,		
							+			
84.	-Vanguard Value Index Fund ETF		None			Donated				
85.	-American Funds New Perspective Fund-F3	A	Dividend	J	Т					
	- I and I are a second and I are	11	Dividella	,	1					

1 Income Gain Codes: (See Columns B1 and D4)

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P4 =More than \$50,000,000  $S = \!\! Assessment$ 

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NONE (No reportable income, assets, or transactions.)

Ш	NONE (No reportable income, ass	ieis, or i	ransaction	.s.)						
	A		В	(	2			D		
	Description of Assets		me during	Gross va	lue at end		Transactio	ons during	reporting	period
	(including trust assets)	repor	ting period	of report	ing period					
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	
	Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g,	Date	Value	Gain	
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	
		(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)	
					(Q-W)					
86.	-Invesco Oppenheimer Developing Markets Fund Y	A	Dividend	J	Т					
87.	-Invesco Oppenheimer International Small Company	A	Dividend	J	Т					
88.	-Primecap Odyssey Funds Growth Fund	В	Dividend	J	Т					
89.	-Blackrock Russell 2000 Small Cap Index - Institutional	A	Dividend	K	Т					
90.	IRA #1 (H)									
91.	-American Funds New Perspective F2	В	Dividend	K	Т					
92.	-AMG Yacktman Fund Service Class	В	Dividend	K	Т	Sold (part)	06/17/22	J		
93.	-Securian Brokerage Money Market Account		None	J	Т					
94.	-Davis Global Fund Class Y	A	Dividend	K	Т	Buy (add'l)	06/17/22	J		
95.	-Dodge & Cox Income	A	Dividend	J	Т	Sold (part)	06/17/22	J		
96.	-Eventide Gilead Fund Class 1	В	Dividend	K	Т	Buy (add'l)	06/17/22	J		
97.	-Invesco Oppenheimer Developing Markets Fund Class Y	A	Dividend	J	Т	Buy (add'l)	06/17/22	J		
98.	-Invesco Oppenheimer International Small Mid Company Fund Class Y	A	Dividend	J	Т					
99.	-Neuberger Berman Real Estate Fund Institutional Class	В	Dividend	J	Т	Sold (part)	06/17/22	J		
100.	-PIMCO Funds Income FD P	A	Dividend	J	Т	Sold (part)	06/17/22	J		
101.	-Primecap Odyssey Funds Growth Fund	В	Dividend	J	Т					
102.	-T. Rowe Price Blue Chip Growth	В	Dividend	K	Т	Buy (add'l)	06/17/22	J		

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2) A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

J =\$15,000 or less N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

P1 = \$1,000,001 - \$3,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/08/2023

 $\textbf{VII. INVESTMENTS and TRUSTS} \textbf{--} income, \textit{value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume to State of Stat$ 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

NONE (No reportable income, assets, or transactions.)

	A		В	(	7			D		
	Description of Assets	Inco	me during		lue at end		Transactio		reporting	period
	(including trust assets)		ting period		ng period					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
103.	-T. Rowe Price Small-Cap Value	В	Dividend	K	Т	Sold (part)	06/17/22	J		
104.	IRA #2 (H)									
105.	-American Funds Capital Income Builder Class C	A	Dividend	J	Т					
106.	-Securian Brokerage Money Market Account		None	J	Т					
107.	IRA #3 (H)									
108.	-AMG River Road Small Cap Value Fund Class 1	A	Dividend	J	Т	Sold (part)	03/29/22	J	A	
109.	-Blackrock Strategic Income Opportunities	A	Dividend	J	Т					
110.	-Securian Brokerage Money Market Account		None	J	Т					
111.	-Diamond Hill Long-Short Fund Class I	A	Dividend	J	Т					
112.	-Dodge & Cox International Stock Fund	A	Dividend	J	Т					
113.	-DWS RREEF Real Assets Fund Class S	A	Dividend	J	Т					
114.	-Fidelity Adv International Growth	A	Dividend	J	Т					
115.	-FPA New Income Inc.	A	Dividend	J	Т					
116.	-Hotchkis and Wiley Large-Cap Value Fund Class I	A	Dividend	J	Т	Sold (part)	03/29/22	J	A	
117.	-Invesco Growth Ser. Inv. Conv. Sec. Fund Y	A	Dividend	J	Т					
118.	-Invesco Oppenheimer Developing Markets Fund Y	A	Dividend	J	Т					
119.	-JP Morgan Hedged Equity Fund Class I	A	Dividend	J	Т					

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000  $Q = \!\! Appraisal$ U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/08/2023

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

NONE (No reportable income, assets, or transactions.)

	NONE (No reportable income, ass	cis, or i	ransaction	,						
	A		В		2			D		
	Description of Assets	Inco	me during		lue at end	Transactions during rep			reporting	period
	(including trust assets)	repor	ting period	of reporti	ing period					
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	
	Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g,	Date	Value	Gain	
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1	
		(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)	
					(Q-W)					
					ĺ		1			
120.	-Prudential Global Real Estate Class Z	A	Dividend	J	T					
121.	-T. Rowe Price Blue Chip Growth	A	Dividend	J	Т					
	•				_					
100	TD1									
122.	-JP Morgan Small Cap Growth Fund-L	A	Dividend	J	T					
123.	-Templeton Global Bond Fund-Advisor	A	Dividend	J	T					
	Class	••	Dividend		_					
101										
124.	-Thrivent Mid Cap Stock Fund Class S	A	Dividend	J	T					
125.	-Victory Trivalent International Small-Cap	A	Dividend	J	Т					
	Fund Class Y				_					
100	402(1) PI (II)									
126.	403(b) Plan (H)									
127.	-Vanguard Institutional Tr. 2040	D	Dividend			Sold	02/11/22	P1	G	
120	Van award Target Dat 2040	-	D: :1 1	0			00/11/00	D1		
120.	-Vanguard Target Ret 2040	E	Dividend	О	T	Buy	02/11/22	P1		
129.	401(K) Plan (H)									
130	-John Hancock Multimanager Growth	Δ.	Dividend	J	Т					
130.	Lifestyle	A	Dividend	J	1					
131.	-John Hancock Multimanager Aggressive	A	Dividend	J	T					
	Lifestyle									
132	-John Hancock Variable Insurance Trust 500	A	Dividend	J	Т	Buy	04/07/22	J		
132.	Index Fund	A	Dividella	,	1	Buy	04/01/22	J		
133.	Indiana 529 Plan #1 (H)									
134.	-Vanguard U.S. Equity Index Portfolio		None	K	Т	Buy	01/07/22	J		
	1 7 22 22 22		1,0110			(add'l)	01,01,22			
107										
135.						Buy	02/09/22	J		
						(add'l)				
136.						Buy	03/09/22	J		
						(add'l)				
L				l	L	L ' '	1	L		

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000 R =Cost (Real Estate Only)  $Q = \!\! Appraisal$ V =Other U =Book Value

B =\$1,001 - \$2,500 C =\$2,501 - \$5,000 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/08/2023

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

NONE (No reportable income, assets, or transactions.)

	NONE (No reportable income, ass	<i>c.b, c.</i>			7			D.			
	A Description of Assets	Inco	B me during		C Gross value at end			D  Transactions during reporting period			
	(including trust assets)	reporting period			ng period		Transactio	nis during	; reporting	period	
	(	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)		
	Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g ,	Date	Value	Gain		
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1		
	r	(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)		
			,	, ,	(Q-W)	1		` ′	` ′		
137.						Buy	04/08/22	J			
137.						(add'l)	04/06/22	J			
138.							05/00/22	т.			
136.						Buy (add'l)	05/08/22	J			
139.						Buy	06/09/22	J			
						(add'l)					
140.						Buy	07/08/22	J			
						(add'l)					
141.						Distributed	07/26/22	J			
						(part)		_			
1/12	-Dodge & Cox International Equity Portfolio		None	J	Т	Distributed	07/26/22	J			
172.	Bodge & Cox International Equity Fortions		None	J	1	(part)	07/20/22	J			
1.10	W ID II I D (C)			_				_			
143.	-Vanguard Bond Index Portfolio		None	J	T	Distributed	07/26/22	J			
						(part)					
144.	Indiana 529 Plan #2 (H)										
145.	-Vanguard U.S. Equity Index Portfolio		None	J	T	Open	07/26/22	J			
146.						Distributed	08/01/22	J			
						(part)					
147.	-Vanguard Bond Index Portfolio		None	J	Т	Open	07/26/22	J			
	8		Tione	,		Open	07720722	3			
148.						Di-t-ilt-d	09/01/22	т			
140.						Distributed (part)	08/01/22	J			
						(part)					
149.	Indiana 529 Plan #3 (H)										
150.	-Vanguard U.S. Equity Index Portfolio		None	L	T	Buy	01/07/22	J			
						(add'l)					
151.						Buy	02/09/22	J			
						(add'l)		·			
152.						Buy	03/09/22	J			
102.						(add'l)	03/07/22	J			
152						` ′	0.4/00/25	-			
153.						Buy	04/08/22	J			
						(add'l)					

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

 $Q = \!\! Appraisal$ 

U =Book Value

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

W =Estimated

 $S = \!\! Assessment$ 

H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

D =\$5,001 - \$15,000

T =Cash Market

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/08/2023

 $\textbf{VII. INVESTMENTS and TRUSTS} \textbf{--} income, \textit{value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume to State of Stat$ 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

NONE (No reportable income, assets, or transactions.)

			D		,			Ъ			
	A Description of Assets	Inco	B me during		C Gross value at end			D  Transactions during reporting period			
	(including trust assets)		ting period	of reporti							
	(,,	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)		
	Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g ,	Date	Value	Gain		
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1		
		(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)		
					(Q-W)						
154.						Buy	05/09/22	J			
131.						(add'l)	03/03/22	J			
155								_			
155.						Buy	06/09/22	J			
						(add'l)					
156.						Buy	07/08/22	J			
						(add'l)					
157.	-Dodge & Cox International Equity Portfolio		None	J	Т						
158.	-Vanguard Bond Index Portfolio		None	J	Т						
	· ·										
159.	Virginia 529 Plan #4 (H)										
160	-Vanguard Aggressive Growth Portfolio		None	т	т	Down	01/04/22	т			
100.	- Valigualu Aggiessive Glowili I oltiolio		None	J	T	Buy (add'l)	01/04/22	J			
161.						Buy	02/02/22	J			
						(add'l)					
162.						Buy	03/02/22	J			
						(add'l)					
163.						Buy	04/04/22	J			
						(add'l)					
164.						Buy	05/03/22	J			
						(add'l)					
165.						Buy	06/02/22	J			
100.						(add'l)	00/02/22	,			
166.							07/05/22	т			
100.						Buy (add'l)	07/05/22	J			
1.67	V 15 10 12 1 2 1 2 1 2 1				_						
	-Vanguard Total Stock Market Index Fund Portfolio		None	J	T	Buy	01/04/22	J			
	TOTHORD					(add'l)					
168.						Buy	02/02/22	J			
						(add'l)					
169.						Buy	03/02/22	J			
						(add'l)					
170.						Buy	04/04/22	J			
						(add'l)					

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only)  $Q = \!\! Appraisal$ V =Other U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

 $S = \!\! Assessment$ W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/08/2023

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

NONE	(No report	able income	assets	or transactions.)
TIOLI	(110 lepoit	abic income	, $u$	or transactions.

	NONE (No reportable income, ass	icis, or i	ransaction	<i>)</i>							
	A B C				D						
	Description of Assets	Incom	me during	Gross va	lue at end	Transactions dur			ing reporting period		
	(including trust assets)	repor	ting period	of reporti	ing period						
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)		
	Place "(X)" after each asset	Amount	Type (e g,	Value	Value	Type (e g,	Date	Value	Gain		
	exempt from prior disclosure	Code 1	div, rent,	Code 2	Method	buy, sell,	mm/dd/yy	Code 2	Code 1		
		(A-H)	or int )	(J-P)	Code 3	redemption)		(J-P)	(A-H)		
					(Q-W)						
171						_	1				
171.						Buy	05/03/22	J			
						(add'l)					
172.						Buy	06/02/22	J			
						(add'l)					
173.						D	07/05/00				
173.						Buy (add'l)	07/05/22	J			
						(auu i)					
174.	ABLE Account (H)										
175.	-Aggressive Option	A	Int./Div.	K	Т						
	66 · · · · · · · · · · · · · · · · · ·	11	III., DIV.		_						
17.6	Till COLL LICE										
1/6.	Knights of Columbus Life Insurance Policy	A	Int./Div.	J	T						
177.	Virginia 529 Plan #5 (H)										
178	-Vanguard Total Stock Market Index Fund		None	T	Т						
170.	Portfolio (X)		None	J	1						
179.	Virginia 529 Plan #6 (H)										
180.	-Vanguard Total Stock Market Index Fund		None	J	Т						
	Portfolio (X)		1,0110		_						
101											
181.	Virginia 529 Plan #7 (H)										
182.	-Vanguard Total Stock Market Index Fund		None	J	Т						
	Portfolio (X)										
183	Virginia 529 Plan #8 (H)										
133.											
46:											
184.	-Vanguard Moderate Growth Portfolio (X)		None	J	T						
185.	Virginia 529 Plan #9 (H)										
186	-Vanguard Aggressive Growth Portfolio (X)		No	т	т						
100.	- vanguaru Aggressive Growth Fortiollo (A)		None	J	T						
-											
187.	Virginia 529 Plan #10 (H)										
					*		•				

1 Income Gain Codes: (See Columns B1 and D4)

2 Value Codes (See Columns C1 and D3)

3 Value Method Codes (See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less

N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

 $Q = \!\! Appraisal$ U =Book Value

B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

V =Other

L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 R =Cost (Real Estate Only)  $S = \!\! Assessment$ 

C =\$2,501 - \$5,000

W =Estimated

H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000 H2 =More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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ľ	Name of Person Reporting	Date of Report
	Barrett, Amy C.	05/08/2023

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

A Description of Assets (including trust assets)		B C Income during Gross value at end reporting period of reporting period		D Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
88Vanguard Total Stock Market Index Fund Portfolio (X)		None	J	Т					

U =Book Value

V =Other

 $S = \!\! Assessment$ 

W =Estimated

D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000

T =Cash Market

P2 =\$5,000,001 - \$25,000,000

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/08/2023

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Lines 54, 60, 93, 106, and 110: The Fidelity Cash Holding Account and Securian Brokerage Money Market Accounts are holding accounts for cash in the accounts.

Lines 126, 133, 144, 149, 159, 174, 177, 179, 181, 183, 185, and 187: The 403(b) account, the Indiana and Virginia 529 accounts, and the ABLE account provide the market value of the investment vehicles in the accounts.

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ſ	Name of Person Reporting	Date of Report
	Barrett, Amy C.	05/08/2023

#### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Amy C. Barrett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite G-330 One Columbus Circle, N.E. Washington, D.C. 20544