

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

1. Person Reporting (last name, first, middle initial) Gardner, Leslie A.	2. Court or Organization United States District Court, Middle District of Georgia	3. Date of Report 05/01/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge - Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address C. B. King Federal Courthouse 201 W. Broad Ave Albany, GA 31701		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Albany Museum of Art
2. Board Member	Leadership Albany
3. Board Member	Boys & Girls Clubs of Albany, GA
4. Board Member	Foundation of the Methodist Home of the South Georgia Conference, Inc.
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed (motivational speaker)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Discover	Personal Loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Individual Assets (H)									
2. BANK OF AMERICA (cash)	A	Interest	J	T					
3. NAVY FEDERAL CREDIT UNION (cash)	A	Interest	M	T					
4. BROKERAGE #1 (H)									
5. BANK OF AMER/ML BANK DEPOSIT PROG (cash)	A	Interest	K	T					
6. WELLPOINT INC GLB 03.300% JAN 15 2023 (94973VBA4)	A	Interest	K	T					
7. AMERIPRISE FINANCIAL INC GLB 04.000% OCT 15 2023 (03076CAF3)	A	Interest	K	T					
8. CATERPILLAR FINANCIAL SE SER MTN 03.750% NOV 24 2023 (14912L5X5)	A	Interest	K	T					
9. ADOBE INC (ADBE)		None	J	T					
10. ALIBABA GROUP HOLDING LT (BABA)		None	J	T	Buy	02/12/19	J		
11. ALPHABET INC SHS CL A (GOOGL)		None	J	T	Buy	10/24/19	J		
12. AMAZON COM INC (AMZN)		None	J	T					
13. AMGEN INC (AMGN)	A	Dividend			Sold	05/31/19	J		
14. APPLE INC (AAPL)	A	Dividend	J	T					
15. AUTODESK INC DEL PV (ADSK)		None	J	T	Buy	02/13/19	J		
16. BOEING COMPANY (BA)	A	Dividend	J	T	Buy	02/12/19	J		
17. BROADCOM LTD (AVGO)	A	Dividend	J	T	Buy	01/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. CISCO SYSTEMS INC (CSCO)	A	Dividend			Sold	10/24/19	J		
19. HOME DEPOT (HD)	A	Dividend	J	T					
20. INTUITIVE SURGICAL (ISRG)		None	J	T					
21. JPMORGAN CHASE & CO (JPM)	A	Dividend	J	T					
22. LAUDER ESTEE COS (EL)	A	Dividend			Sold	01/15/19	J		
23. MASTERCARD INC (MA)	A	Dividend	J	T					
24. MCDONALDS CORP (MCD)	A	Dividend	J	T					
25. MERCK AND CO INC SHS (MRK)	A	Dividend	J	T	Buy	02/13/19	J		
26. MICROSOFT CORP (MSFT)	A	Dividend	J	T					
27. O'REILLY AUTOMOTIVE (ORLY)		None	J	T					
28. PFIZER INC (PFE)	A	Dividend			Sold	10/24/19	J		
29. SALESFORCE.COM (CRM)		None	J	T					
30. THERMO FISHER SCIENTIFIC INC (UNH)	A	Dividend	J	T	Buy	10/24/19	J		
31. SANOFI ADR (SNY)		None			Sold	02/13/19	J		
32. UNITEDHEALTH GROUP INC (UNH)	A	Dividend	J	T					
33. VERIZON COMMUNICATNS COM (VZ)	A	Dividend	J	T					
34. XILINX INC (XLNX)	A	Dividend			Buy	03/21/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

35.					Sold	09/20/19	J		
36.	INVESCO QQQ TR SER 1 (QQQ)	A	Dividend	J	T				
37.	ISHARES RUSSELL 1000 GROWTH (IWF)	A	Dividend	J	T				
38.	ISHARES RUSSELL 1000 VALUE (IWD)	A	Dividend	J	T				
39.	ISHARES RUSSELL MIDCAP GROWTH (IWP)	A	Dividend	J	T				
40.	ISHARES 20+ YEAR TREASURY BOND ETF (TLT)	A	Dividend	J	T	Buy	05/31/19	J	
41.	SPDR DOW JONES INDUST AV ETF TRUST (DIA)	A	Dividend	J	T				
42.	SPDR S&P 500 ETF TRUST (SPY)	A	Dividend	J	T				
43.	BROKERAGE #2 (H)								
44.	BANK OF AMER/ML BANK DEPOSIT PROG (cash)	B	Interest	O	T				
45.	ISA SANTANDER BK, NA (cash)	A	Interest	M	T				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Leslie A. Gardner**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544