

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

1. Person Reporting (last name, first, middle initial) Alito, Samuel A.	2. Court or Organization Supreme Court of the United States	3. Date of Report 07/23/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice of the Supreme Court of the United States	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. <input checked="" type="checkbox"/> Amended Report	
7. Chambers or Office Address Supreme Court of the United States 1 First Street, NE Washington, DC 20544		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Instructor for Course	Duke University Law School
2. Instructor for Course	Regent University Law School
3. Honorary Advisory Board Member	Bolch Judicial Institute, Duke Law School
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 1/13/2020	Regent University School of Law, Teaching	\$9,000.00
2. 3/6/2020	Duke Law School, Teaching	\$15,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Edward Jones	Loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Vang Inter Term Tax Ex Fund	D	Dividend	M	T					
2. Van LT Tax Ex Fund	A	Dividend	J	T					
3. Vanguard TE Mny Mkt	A	Dividend	L	T					
4. Vang Small Cap Stock Fund	C	Dividend	N	T					
5. Vang Total Stock Mkt Index F	D	Dividend	N	T					
6. Windsor II	C	Dividend	K	T					
7. Vang Star Mutual Fund	D	Dividend	L	T					
8. Vang Wellington Mut Fund	E	Dividend	N	T					
9. Roth IRA (H)									
10. -Edward Jones Investment (Cash Account)		None	J	T					
11. -AES Corp	A	Dividend	J	T					
12. -Bhp Group PLC ADR	A	Dividend	K	T					
13. -L'occitane Luxembourg	A	Dividend	J	T					
14. -Sealed Air Corp	A	Dividend	J	T					
15. -TJX Cos Inc	A	Dividend	J	T					
16. Vanguard Target Retirement Acct 2015	A	Dividend	K	T	Buy (add'l)	03/25/20	J		
17. PNC Bank Accounts		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Traditional IRA (H)									
19. -Capital World Growth & Income Fund CLA	A	Dividend	J	T					
20. -Eaton Vance Mutual Funds Trust Gov't Oblig LC A	A	Dividend	J	T					
21. -Goldman Sachs Growth & Incom Strategy Fund CL A	A	Dividend	J	T					
22. -Income Fund of America CL A	A	Dividend	J	T					
23. -Putnam Dynamic Asset- Allocation Growth Fund CL A	A	Dividend	J	T					
24. -Putnam Dynamic Asset Allocation Balanced Fund CL A	A	Dividend	J	T					
25. Investment Account #1 (H)									
26. -Stafford Cnty & Staunton VA	A	Interest	J	T	Redeemed (part)	08/28/20	J		
27. -Virginia Comwith Trans Brd	A	Interest	J	T					
28. -VA St Res Auth Wtr & Swr Rev	A	Interest	J	T					
29. -VA St Res Auth Wtr & Swr Sys	A	Interest	J	T					
30. -Virginia Beach VA GO Pub Impt	A	Interest	K	T					
31. -3M Co	A	Dividend	K	T					
32. -Abbott Laboratories	A	Dividend	K	T					
33. -Abbvie Inc	A	Dividend	K	T					
34. -Becton Dickinson & Co	A	Dividend	J	T					

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35. -Black Hills Corp	B	Dividend	K	T					
36. -Boeing Co	A	Dividend	K	T	Sold (part)	01/10/20	K	D	
37. -Carrier Global Corp		None			Spinoff (from line 57)	04/03/20	J		
38.					Sold	04/24/20	J	A	
39. -Caterpillar Inc	A	Dividend	J	T					
40. -Cdk Global Inc	A	Dividend	J	T					
41. -ConocoPhillips	A	Dividend	J	T	Sold (part)	12/28/20	J		
42. -Corteva Inc	A	Dividend	J	T					
43. -Dow Inc	A	Dividend	J	T					
44. -DuPont De Nemours Inc	A	Dividend	J	T					
45. -Fortis Inc	A	Dividend	K	T					
46. -Jacobs Engineering Group Inc	A	Dividend	K	T					
47. -Johnson & Johnson	A	Dividend	K	T					
48. -Molson Coors Brewing Co	A	Dividend	J	T					
49. -Mondelez International Inc	A	Dividend	K	T					
50. -OGE Energy Corp	A	Dividend	K	T					
51. -Otis Worldwide Corp		None			Spinoff (from line 57)	04/03/20	J		

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52.					Sold	04/24/20	J	A	
53. -Parker Hannifin Corp	A	Dividend	K	T					
54. -Phillips 66	A	Dividend	K	T					
55. -PNC Bank Corp	A	Dividend	J	T	Sold (part)	01/10/20	K	D	
56. -Procter & Gamble Co	A	Dividend	K	T					
57. -Raytheon Technologies Corp (formerly United Technologies Corp)	A	Dividend	J	T					
58. -Sealed Air Corp	A	Dividend	J	T					
59. -Tjx Cos Inc	A	Dividend	K	T					
60. -Vanguard FTSE All-Wrld Exus ETF	A	Dividend	J	T					
61. Investment Account #2 (H)									
62. -Ishares Russ 1000 Growth ETF	A	Dividend	K	T	Sold (part)	03/26/20	J	A	
63.					Sold (part)	07/02/20	J	C	
64. -Ishares Russ 1000 Value ETF	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
65. -Ishares Russ MC Value ETF	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
66.					Sold (part)	07/02/20	J	A	
67. -Ishares S&P 500 Growth ETF	A	Dividend	K	T	Sold (part)	07/02/20	J	C	
68. -SPDR S&P Dividend ETF	A	Dividend			Buy (add'l)	03/26/20	J		

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69.					Sold	06/12/20	K	D	
70.	-Vanguard High Div Yield ETF	C	Dividend	L	T	Buy (add'l)	06/12/20	K	
71.					Buy (add'l)	07/02/20	J		
72.	-Vanguard Mid Cap Growth ETF	A	Dividend	K	T	Buy (add'l)	03/26/20	J	
73.					Sold (part)	07/02/20	J	C	
74.	-American Europacific Growth F3	A	Dividend	L	T	Buy	06/15/20	J	
75.					Buy (add'l)	07/02/20	K		
76.	-American New World CI F3	A	Dividend	K	T	Buy (add'l)	03/26/20	J	
77.					Sold (part)	07/02/20	K	C	
78.	-Dimensional DFA Int'l Value Fund	A	Dividend	K	T	Buy (add'l)	03/26/20	J	
79.	-Fidelity: Advisor Inter Mun Incm Fd Z	B	Dividend	L	T	Sold (part)	03/26/20	J	A
80.					Buy (add'l)	07/06/20	J		
81.	-JP Morgan Fed Money Market	A	Dividend	J	T				
82.	-MFS International Equity Fund	A	Dividend	K	T	Sold (part)	07/02/20	J	A
83.	-MFS Municipal High Income R6	B	Dividend	L	T	Buy (add'l)	03/26/20	J	
84.					Buy (add'l)	07/02/20	J		
85.	-T. Rowe Price Tax Free Short Inter	B	Dividend	L	T	Sold (part)	03/26/20	J	A

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86.					Buy (add'l)	07/02/20	J		
87. -Tiaa-Cref Instl Fd Intl Eqty		None			Buy (add'l)	03/26/20	J		
88.					Sold	06/12/20	K	A	
89. -USAA Tax Exempt Interm Term	C	Dividend	M	T	Sold (part)	03/25/20	J	A	
90.					Sold (part)	03/26/20	K	A	
91.					Buy (add'l)	06/29/20	J		
92.					Buy (add'l)	07/02/20	J		
93.					Buy (add'l)	12/28/20	J		
94. Investment Account #3 (H)									
95. -Cash & Money Market (Fidelity Gov't MM Inst. Cl)	A	Dividend	J	T					
96. -Ishares National Muni Bond ETF	B	Dividend	L	T	Buy	01/02/20	J		
97.					Buy (add'l)	01/15/20	J		
98.					Buy (add'l)	06/05/20	K		
99.					Buy (add'l)	06/30/20	J		
100.					Buy (add'l)	07/20/20	J		
101.					Buy (add'l)	11/16/20	J		
102. -Ishares 1-3 Year Treasury Bd ETF	A	Dividend			Sold	06/05/20	L	B	

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103. -Dodge & Cox Income Fund	B	Dividend	L	T	Buy	06/11/20	J		
104.					Buy (add'l)	06/30/20	J		
105.					Buy (add'l)	07/07/20	J		
106.					Buy (add'l)	07/09/20	J		
107.					Buy (add'l)	10/06/20	J		
108.					Buy (add'l)	11/16/20	J		
109.					Buy (add'l)	12/14/20	J		
110. -Metropolitan West Unconstrained	C	Dividend	M	T	Buy (add'l)	06/08/20	J		
111. -T. Rowe Price VA T/F Bond Fd	D	Dividend	N	T	Buy (add'l)	06/08/20	K		
112. -Vanguard Funds Inter-Term TE Fd 542	C	Dividend	M	T	Buy (add'l)	06/08/20	J		
113.					Buy (add'l)	10/12/20	K		
114. -Vanguard Funds Limited Term Tax Exempt Fund 531 Admiral	C	Dividend	M	T	Sold (part)	10/12/20	K	A	
115. -Ishares S&P 100 ETF	A	Dividend	L	T	Sold (part)	10/19/20	K	D	
116. -Ishares MSCI USA Quality Factor ETF	A	Dividend	K	T	Buy	06/15/20	J		
117.					Buy (add'l)	06/30/20	J		
118. -Ishares Core Dividend Growth ETF	A	Dividend	K	T	Buy (add'l)	04/16/20	J		
119. -Vanguard FTSE Developed Markets ETF	A	Dividend	J	T	Sold (part)	06/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

Alito, Samuel A.

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07/23/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Vanguard FTSE All World Ex-US Index Fund	A	Dividend			Sold (part)	04/14/20	J		
121.					Sold	06/05/20	K	A	
122. -Vanguard Mid Cap ETF	A	Dividend	K	T					
123. -Vanguard Large Cap ETF	B	Dividend	M	T					
124. -Vanguard Growth ETF	A	Dividend	M	T	Sold (part)	10/19/20	J	C	
125. -Vanguard Value ETF	B	Dividend	L	T	Sold (part)	10/19/20	J	B	
126. -Wisdomtree Large Cap Dividend Fd ETF	A	Dividend			Sold	06/30/20	J	C	
127. -WCM Focused Int'l Growth	A	Dividend	K	T	Buy (add'l)	04/15/20	J		
128. -Lazard Global Listed Infrastructure Portfolio Fund	A	Dividend	J	T	Buy	01/02/20	J		
129.					Buy (add'l)	04/14/20	J		
130. Mineral Interest, Grady Cnty, OK		None	M	W					
131. Kansas City Life Insurance (Whole Life Insurance Policy)	A	Interest	J	T					
132.									
133.									
134.									
135.									
136.									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

Alito, Samuel A.

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07/23/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

137.									
138.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting Alito, Samuel A.	Date of Report 07/23/2021
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Alito, Samuel A.

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07/23/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Samuel A. Alito**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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