

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) BREYER, STEPHEN G.	2. Court or Organization SUPREME COURT OF THE UNITED STATES	3. Date of Report 04/26/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUSTICE OF THE SUPREME COURT OF THE UNITED STATES	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address SUPREME COURT OF THE UNITED STATES 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TRUSTEE (HONORARY)	DANA-FARBER CANCER INSTITUTE
2. JUROR	THE PRITZKER ARCHITECTURE PRIZE
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	PENGUIN RANDOM HOUSE LLC.; ROYALTY INCOME	\$1,132.61
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Pritzker Prize for Architecture Foundation	Jan 17-20, 2020	New York, NY	Pritzker Jury Meetings	Transportation, Lodging & Meals
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period			D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	

1. IRA ACCOUNT:									
2. DWS TOTAL RETURN BOND FUND-S	A	Dividend	K	T					
3. DWS CROCI US FUND-S	B	Dividend	L	T					
4. OTHER HOLDINGS:									
5. DWS TOTAL RETURN BOND FUND-S	A	Dividend	K	T					
6. BANK OF AMERICA - CHECKING	A	Interest	L	T					
7. TIAA/CREF:									
8. TIAA/CREF - TIAA TRADITIONAL (FIXED ANNUITY)	E	Int./Div.	O	T					
9. TIAA/CREF - TIAA REAL ESTATE	A	Int./Div.	M	T					
10. TIAA/CREF - CREF BOND MARKET R3	D	Int./Div.	M	T					
11. TIAA/CREF - CREF STOCK R3	G	Int./Div.	P1	T					
12. TIAA/CREF - CREF INF-LINKED BOND R3	E	Int./Div.	N	T					
13. TIAA/CREF - CREF STOCK R1	E	Int./Div.	M	T					
14. TRUST:									
15. TAI SHAN FUND	E	Int./Div.	M	T					
16. VANGUARD WINDSOR FUND	D	Dividend	L	T					
17. AUTOMATIC DATA PROCESSING INC COMMON STOCK	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. CINTAS CORP. COMMON STOCK	B	Dividend	M	T					
19. SYSCO CORP. COMMON STOCK	C	Dividend	M	T					
20. VANGUARD 500 INDEX FUND	D	Dividend	O	T					
21. VANGUARD DIVIDEND GROWTH FUND -IV	D	Dividend	N	T					
22. VANGUARD MID-CAP INDEX FUND	A	Dividend	L	T					
23. DODGE & COX INTERNATIONAL STOCK FUND	B	Dividend	L	T					
24. ROYCE MICRO-CAP FUND-SERVICE (FKA ROYCE LOW-PRICED STOCK SERVICE FUND)		None			Sold	03/10/20	K	A	
25. OAKMARK INTERNATIONAL INVESTOR (FKA OAKMARK INTERNATIONAL FUND - I)	A	Dividend	L	T					
26. FED HERM US TREA CASH RES(FKA FEDERATED US TREASURY CASH RSV FD-I)	A	Dividend	L	T					
27. VANGUARD SMALL CAP INDEX-ADM (FKA VANGUARD SMALL CAP INDEX- INV)	A	Dividend	K	T					
28. FIDELITY MA MUNICIPAL INCOME	A	Dividend	K	T					
29. US TREASURY NOTES 3.125% 05/15/2021	C	Interest	M	T					
30. FASTENAL CO.	C	Dividend	L	T					
31. US TREASURY NOTES 2.250% 07/31/2021	B	Interest	M	T					
32. US TREASURY NOTES 1.625% 3/15/2020	A	Interest			Redeemed	03/15/20	K	A	
33. US TREASURY NOTES 2.500% 02/15/2022	C	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g, div, rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g, buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
34. OTHER ASSETS:									
35. TIAA/CREF RETIREMENT ACCOUNTS:									
36. TIAA/CREF - TIAA TRADITIONAL (FIXED ANNUITY)	C	Int./Div.	L	T					
37. TIAA/CREF - CREF STOCK R2	D	Int./Div.	L	T					
38. SK-N-A NATIONAL BANK SAVINGS ACCT	A	Interest	J	T					
39. PEARSON PLC ORD. STOCK	D	Dividend	M	T	Sold (part)	06/24/20	K	E	
40.					Sold (part)	07/08/20	K	E	
41. RENTAL PROPERTY - NEVIS WEST INDIES	E	Rent	N	W					
42. LAND IN PLAINFIELD, NH		None	N	W					
43. LOWES COMPANIES INC - COMMON STOCK	B	Dividend	M	T					
44. PACCAR INC - COMMON STOCK	B	Dividend	K	T					
45. RAYTHEON TECHNOLOGIES CORP (FKA UNITED TECHNOLOGIES CORP)	B	Dividend	L	T					
46. CARRIER GLOBAL CORP		None			Spinoff (from line 45)	04/03/20	K		
47.					Sold	05/20/20	K	D	
48. OTIS GLOBAL CORP	A	Dividend			Spinoff (from line 45)	04/03/20	K		
49.					Sold	05/20/20	K	E	
50. SSGA INST TREAS MM INV LC FD (FKA SSGA MONEY MARKET FUND)	A	Dividend	L	T					

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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51. LEXINGTON MA GO 4% 2/15/21	B	Interest	M	T					
52. STANCROFT TRUST LIMITED	E	Dividend	O	W					
53. FIRST CARIBBEAN INTERNATIONAL BANK - CHECKING		None	J	T					
54. EATON VANCE LARGE CAP GROWTH FUND - I	E	Dividend	O	T					
55. EATON VANCE LARGE CAP VALUE FUND - I	D	Dividend	N	T					
56. EATON VANCE TAX M S/C	A	Dividend	L	T					
57. EATON VANCE ATLANTA SM CP-I	D	Dividend	M	T					
58. DFCI RETIREMENT PLAN (401A) (FIDELITY INVESTMENTS) - NO CONTROL	B	Int./Div.	K	T					
59. VANGUARD INT - TERM CORPORATE	B	Dividend	L	T					
60. TOPGOLF INTERNATIONAL, INC.		None	L	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE REPORT WAS PREPARED BY:

CITRIN COOPERMAN & COMPANY, LLP
529 FIFTH AVENUE
NEW YORK, NEW YORK 10017

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ STEPHEN G. BREYER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544