

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

1. Person Reporting (last name, first, middle initial) Barrett, Amy C.	2. Court or Organization Supreme Court of the United States	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice of the Supreme Court of the United States	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address Supreme Court of the United States One First Street NE Washington, DC 20543		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	University of Notre Dame Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	University of Notre Dame Law School, teaching income	\$28,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	SouthBank Legal: Ladue Curran Kuehn, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	George Washington University	January 29-31, 2020	Washington, DC	Moot Court Competition	Transportation, lodging, and meals
2.	University of Notre Dame	February 19-23, 2020	London, UK	Notre Dame London Law Conference	Transportation, lodging, and meals
3.	Shakespeare Theatre Company	February 28-29, 2020	Washington, DC	Mock Trial program	Transportation, lodging, and meals
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	██████████	Tuition Agreement	K
2.	██████████	Tuition Agreement	J
3.	██████████	College tuition	K
4.			
5.			

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Flagstar Cash Accounts		None	K	T					
2. Brokerage Account #1 (H)									
3. -Fidelity Dividend Growth Fund	A	Dividend	L	T					
4. -Fidelity Trend Fund	C	Dividend	L	T					
5. -Fidelity Money Market Fund (SPRXX)	A	Int./Div.	K	T					
6. -Fidelity 500 Index Fund	A	Dividend	J	T	Buy	03/02/20	J		
7. -Fidelity Cash Holding Account (X)	A	Interest	K	T					
8. Brokerage Account #2 (H)									
9. -American Funds AMCAP F2	A	Dividend	K	T					
10. -American Funds American Mutual Fund F2	A	Dividend	J	T					
11. -Blackrock Global Long/Short Credit Institutional		None			Sold	06/03/20	J		
12. -Securian Brokerage Money Market Account		None	J	T					
13. -Dodge & Cox Income	A	Dividend	K	T					
14. -Franklin Mutual Global Discovery Fund-Class Z		None			Sold	06/03/20	J		
15. -Invesco Oppenheimer Senior Floating Rate Fund Class Y	A	Dividend			Sold	06/03/20	J		
16. -Invesco Oppenheimer Steelpath MLP Select 40 Fund Class Y	A	Dividend			Sold	06/03/20	J		
17. -iShares Core U.S. Aggregate Bond ETF	A	Dividend	K	T	Buy	06/04/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	

18. -iShares Floating Rate Bonds ETF	A	Dividend	J	T	Buy	06/04/20	J		
19. -iShares MSCI EAFE ETF	A	Dividend	K	T	Buy	06/04/20	K		
20. -iShares Russell 2000 Value ETF	A	Dividend	J	T	Buy	06/04/20	J		
21. -PIMCO Funds Income Fund	A	Dividend			Sold	06/03/20	K		
22. -PIMCO Enhanced Short Maturity Active ETF	A	Dividend	J	T	Buy	06/04/20	J		
23. -Principal Global Diversified Income Fund Institutional Class	A	Dividend			Sold	06/03/20	J		
24. -SPDR Bloomberg Barclays High Yield Bond ETF	A	Dividend	J	T	Buy	06/04/20	J		
25. -Templeton Global Bond Fund-Advisor Class	A	Dividend			Sold	06/03/20	K		
26. -Vanguard Short-Term Bond Index Fund ETF	A	Dividend	K	T	Buy	06/04/20	K		
27. -Vanguard REIT Index Fund ADM (Specialized Portfolio)	A	Dividend	K	T					
28. -Vanguard Total International Bond Index Fund ETF	A	Dividend	K	T	Buy	06/04/20	K		
29. -Vanguard Value Index Fund ETF	A	Dividend	K	T	Buy	06/04/20	K		
30. -PIMCO Short-term Fund Class 1-2	A	Dividend			Sold	06/04/20	J		
31. -AMG Yacktman Fund Service Class		None			Sold	06/03/20	K		
32. -Loomis Strategic Income Fund-Y	A	Dividend			Sold	06/03/20	J		
33. -American Funds New Perspective Fund-F3	A	Dividend	K	T					
34. -Invesco Oppenheimer Developing Markets Fund Y	A	Dividend	J	T					

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	35. -Invesco Oppenheimer International Small Company	A	Dividend	J	T				
36. -Primecap Odyssey Funds Growth Fund	B	Dividend	J	T					
37. -Lord Abbett Short Duration Income Fund Class F	A	Dividend			Sold	06/03/20	J		
38. -Blackrock Russell 2000 Small Cap Index - Institutional	A	Dividend	K	T					
39. IRA #1 (H)									
40. -American Funds New Perspective F2	A	Dividend	K	T	Sold (part)	10/22/20	J	A	
41. -AMG Yacktman Fund Service Class	B	Dividend	K	T	Buy (add'l)	10/22/20	J		
42. -Securian Brokerage Money Market Account		None	J	T					
43. -Davis Global Fund Class Y	A	Dividend	K	T	Buy	10/22/20	J		
44. -Dodge & Cox Income	A	Dividend	J	T	Buy (add'l)	10/23/20	J		
45. -Eventide Gilead Fund Class 1	A	Dividend	J	T	Sold (part)	10/22/20	J	A	
46. -Homestead Small-Company Stock Fund	A	Dividend			Sold	10/22/20	J		
47. -Franklin Mutual Global Discovery Fund-Class Z	A	Dividend			Sold	10/22/20	J		
48. -Invesco Oppenheimer Developing Markets Fund Class Y	A	Dividend	J	T					
49. -Invesco Oppenheimer International Small Mid Company Fund Class Y	A	Dividend	J	T					
50. -Neuberger Berman Real Estate Fund Institutional Class	A	Dividend	J	T	Buy	10/22/20	J		
51. -PIMCO Funds Income FD P	A	Dividend	J	T					

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52. -Primecap Odyssey Funds Growth Fund	A	Dividend	J	T					
53. -T. Rowe Price Blue Chip Growth	A	Dividend	J	T	Sold (part)	10/22/20	J	B	
54. -T. Rowe Price Small-Cap Value	A	Dividend	K	T	Buy	10/22/20	J		
55. -Templeton Global Bond Fund-Advisor Class	A	Dividend	J	T	Sold (part)	10/22/20	J		
56. -Vanguard REIT Index ADM (Specialized Portfolio)	A	Dividend			Sold	10/22/20	J		
57. IRA #2 (H)									
58. -American Funds Capital Income Builder Class C	A	Dividend	J	T					
59. -Securian Brokerage Money Market Account		None	J	T					
60. IRA #3 (H)									
61. -AMG River Road Small Cap Value Fund Class 1	A	Dividend	J	T	Sold (part)	11/12/20	J		
62. -AQR Funds Managed Future Strategies 1		None			Sold (part)	03/24/20	J		
63.					Sold	11/12/20	J		
64. -Blackrock Strategic Income Opportunities	A	Dividend	J	T					
65. -Securian Brokerage Money Market Account		None	J	T					
66. -Columbia Commodity Strategy Fund-R4		None			Sold	11/12/20	J		
67. -Diamond Hill Long-Short Fund Class I	A	Dividend	J	T					
68. -Dodge & Cox International Stock Fund	A	Dividend	J	T					

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69.	-DWS RREEF Real Assets Fund Class S	A	Dividend	J	T	Buy	11/12/20	J		
70.	-Fidelity Adv International Growth	A	Dividend	J	T					
71.	-FPA New Income Inc.	A	Dividend	J	T	Buy (add'l)	11/12/20	J		
72.	-Hotchkis and Wiley Large-Cap Value Fund Class I	A	Dividend	J	T	Buy (add'l)	03/23/20	J		
73.						Sold (part)	11/12/20	J	A	
74.	-Invesco Growth Ser. Inv. Conv. Sec. Fund Y	A	Dividend	J	T					
75.	-Invesco Oppenheimer Developing Markets Fund Y	A	Dividend	J	T					
76.	-JP Morgan Hedged Equity Fund Class I	A	Dividend	J	T	Buy	11/12/20	J		
77.	-Primecap Odyssey Funds Growth Fund		None			Sold	11/12/20	J	B	
78.	-Prudential Global Real Estate Class Z	A	Dividend	J	T					
79.	-T. Rowe Price Blue Chip Growth	A	Dividend	J	T					
80.	-JP Morgan Small Cap Growth Fund-L	A	Dividend	J	T	Sold (part)	11/12/20	J	A	
81.	-Templeton Global Bond Fund-Advisor Class	A	Dividend	J	T					
82.	-Thrivent Mid Cap Stock Fund Class S	A	Dividend	J	T	Buy	11/12/20	J		
83.	-Victory Trivalent International Small-Cap Fund Class Y	A	Dividend	J	T	Sold (part)	11/12/20	J	A	
84.	403(B) (H)									
85.	-Vanguard Institutional Tr. 2040	E	Dividend	O	T					

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86. 401(K) (H)										
87. -Fidelity Low Price Stock	D	Dividend	M	T						
88. -Vanguard Extended Market Index Fund Institutional Shares	A	Dividend	K	T						
89. -PIMCO Total Return Institutional Class	A	Dividend	J	T						
90. Indiana 529 Plan #1 (H)										
91. -Vanguard U.S. Equity Index Portfolio		None	L	T	Distributed (part)	07/27/20	K			
92.					Distributed (part)	12/16/20	K			
93. -Dodge & Cox International Portfolio		None	J	T	Distributed (part)	07/27/20	J			
94.					Distributed (part)	12/16/20	J			
95. -Vanguard Bond Index Portfolio		None	J	T	Distributed (part)	07/27/20	J			
96.					Distributed (part)	12/16/20	J			
97. Louisiana 529 Plan #2 (H)										
98. -Louisiana Principal Protection Fund	A	Interest	J	T						
99. -Vanguard Institutional Total Stock Market Index, Inst. Plus	A	Dividend	J	T						
100. Indiana 529 Plan #3 (H)										
101. -Vanguard U.S. Equity Index Portfolio		None	K	T						
102. -Dodge & Cox International Portfolio		None	J	T						

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103. -Vanguard Bond Index Portfolio		None	J	T					
104. ABLE Account (H)									
105. -Aggressive Option	A	Int./Div.	J	T					
106. Knights of Columbus Whole Life Insurance Policy	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting Barrett, Amy C.	Date of Report 05/10/2021
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 7, 12, 42, 59, and 65: The Fidelity Cash Holding Account and Securian Brokerage Money Market Accounts are holding accounts for cash in the accounts.

Lines 84, 90, 100 and 104: The 403(b) account, the Indiana 529 accounts, and the ABLE account provide the market value of the investment vehicles in the accounts.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Barrett, Amy C.	05/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/ Amy C. Barrett*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544