AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Chin, Denny	**2. Court or Organization** Second Circuit	**3. Date of Report** 05/13/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2018 to 12/31/2018

7. Chambers or Office Address

U.S. Courthouse
40 Foley Square, Room 2003
New York, New York 10007

IMPORTANT NOTES:* *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Professor	Fordham Law School
2.	Board Member	Fordham Law Review Alumni Association
3.	Board Member, Vice President	Fordham Law Alumni Association
4.	Executive Committee Member	Federal Bar Council Inn of Court
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Fordham Law School, wages for teaching	$10,000.00
2. 2018	Harvard Law School, wages for teaching	$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2018	Law firm income
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Harvard University	February 1-4, 2018	Cambridge, MA	Teach and Speak at Conference	travel expenses/hotel
2.	Harvard University	February 15-16, 2018	Cambridge, MA	Teach	travel expenses/hotel
3.	USC Gould Law School	March 18-20, 2018	Santa Monica, CA	Speak at Conference	travel expenses/hotel
4.	Harvard University	March 22-23, 2018	Cambridge, CA	Teach	travel expenses/hotel
5.	Harvard University	April 2, 2018	Cambridge, MA	Teach	travel expenses
6.	Tulane University	September 26-29, 2019	New Orleans, LA	Speak at Conference	travel expenses/hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Cadwalader 401K	Loan from retirement plan (spouse)	J
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Eastbank, N.A. bank accounts	A	Interest	M	T					
2.	Chase Bank bank accounts	A	Interest	L	T					
3.	Citibank bank accounts	B	Interest	M	T					
4.	Fidelity Investments Puritan Fund IRA	A	Dividend	K	T					
5.	Northwestern Mutual whole life insurance	A	Dividend	M	T					
6.	Dodge & Cox Stock Fund	D	Dividend	M	T					
7.	Vanguard Wellington Fund Investor Shares	E	Dividend	N	T					
8.	Vanguard Primecap Fund Admiral	G	Dividend	P1	T					
9.	Vanguard Inst. Index Fund	D	Dividend	O	T					
10.	Vanguard Windsor II Fund Investor Shares	E	Dividend	N	T					
11.	Merrill Lynch Cash/Money Account	A	Interest	K	T					
12.	Blackrock Hi Yld Bd	C	Dividend	K	T					
13.	MSCI Emerging	A	Dividend	K	T					
14.	Oppenheimer Developing	A	Dividend	M	T					
15.	Nuveen Hi Yield Muni	B	Dividend	K	T					
16.	SPDR DJ Wilshire REIT	C	Dividend	L	T					
17.	Skybridge G II Fund	A	Dividend	N	T					

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting	Date of Report
Chin, Denny	05/13/2019

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard Int'l Value Fund	E	Dividend	N	T					
19. Vanguard Total Bond Market Index Fund	C	Dividend	O	T					
20. Vanguard Small-Cap Index Fund	B	Dividend	M	T					
21. Vanguard Intermediate Term Investment Grade Fund	B	Dividend	M	T					
22. IShares Currency Hedged	A	Dividend	K	T					
23. IShares Edge MSCI Min	C	Dividend	K	T					
24. Vanguard Int'l Growth Adm	E	Dividend	N	T	Open	09/11/17	N		
25. Artisan Int'l Fund		None			Closed	09/11/17	N		
26.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

For Question I, I deleted positions for Princeton University and the Sonia and Celina Sotomayor Judicial Internship Program as I completed my terms for both in 2017.

For Question VII, I deleted the NYS529 accounts as these were closed in 2017.

The Artisan International Fund was closed on September 11, 2017, and the funds were transferred in their entirety to the Vanguard International Growth Fund Admiral Shares the same day. I indavertently neglected to note this in last year's report.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ Denny Chin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544