AO 10
Rev. 1/2018

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chin, Denny	**2. Court or Organization** Second Circuit	**3. Date of Report** 06/05/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	**6. Reporting Period** 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 40 Foley Square, Room 2003 New York, New York 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Professor	Fordham Law School
2.	Board Member	Fordham Law Review Alumni Association
3.	Board Member, Vice President	Fordham Law Alumni Association
4.	Executive Committee Member	Federal Bar Council Inn of Court
5.	Trustee	Princeton University
6.	Board Member	Sonia and Celina Sotomayor Judical Intership Program

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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Name of Person Reporting	Date of Report
Chin, Denny	06/05/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Fordham Law School, wages for teaching	$25,625.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Law firm income
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Princeton University	January 26-28, 2017	Princeton, NJ	Attend Board Meeting	hotel
2.	Harvard University	February 3-5, 2017	Boston, MA	Speaker	travel expenses/hotel
3.	Princeton University	April 6-8, 2017	Princeton, NJ	Attend Board Meeting	hotel
4.	Princeton University	June 4-5, 2017	Princeton, NJ	Attend Board Meeting	travel expenses/hotel
5.	University of Buffalo	November 29-30, 2017	Buffalo, NY	Speaker	hotel

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Cadwalader 401K	Loan from retirement plan [redacted]	J
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Chin, Denny	06/05/2018

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Eastbank, N.A. bank accounts	A	Interest	M	T					
2. Chase Bank bank accounts	A	Interest	L	T					
3. Citibank bank accounts	B	Interest	M	T					
4. Fidelity Investments Puritan Fund IRA	A	Dividend	J	T					
5. Northwestern Mutual whole life insurance	A	Dividend	M	T					
6. NYS 529 college savings plans;moderate age-based option	A	Dividend			Closed	01/15/17	J	A	Self
7. Dodge & Cox Stock Fund	D	Dividend	M	T					
8. Artisan International Fund	D	Dividend	N	T					
9. Vanguard Wellington Fund Investor Shares	E	Dividend	N	T					
10. Vanguard Primecap Fund Admiral	F	Dividend	P1	T					
11. Vanguard Inst. Index Fund	C	Dividend	O	T					
12. Vanguard Windsor II Fund Investor Shares	E	Dividend	N	T					
13. Merrill Lynch Cash/Money Account	A	Interest	K	T					
14. Blackrock Hi Yld Bd	C	Dividend	L	T					
15. MSCI Emerging	A	Dividend	K	T					
16. Oppenheimer Developing	A	Dividend	M	T					
17. Nuveen Hi Yield Muni	B	Dividend	K	T					

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Chin, Denny	06/05/2018

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. SPDR DJ Wilshire REIT	C	Dividend	L	T					
19. Skybridge G II Fund	A	Dividend	N	T					
20. Vanguard Int'l Value Fund	D	Dividend	N	T					
21. Vanguard Total Bond Market Index Fund	C	Dividend	O	T					
22. Vanguard Small-Cap Index Fund	B	Dividend	M	T					
23. Vanguard Intermediate Term Investment Grade Fund	B	Dividend	M	T					
24. IShares Currency Hedged	A	Dividend	K	T					
25. IShares Edge MSCI Min	C	Dividend	K	T					
26. Eastbank, N.A. bank accounts	A	Interest	M	T					
27. Chase Bank bank accounts	A	Interest	L	T					
28. Citibank bank accounts	B	Interest	M	T					
29. Fidelity Investments Puritan Fund IRA	A	Dividend	J	T					
30. Northwestern Mutual whole life insurance	A	Dividend	M	T					
31. Dodge & Cox Stock Fund	D	Dividend	M	T					
32. Artisan International Fund	D	Dividend	N	T					
33. Vanguard Wellington Fund Investor Shares	E	Dividend	N	T					
34. Vanguard Primecap Fund Admiral	F	Dividend	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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35. Vanguard Inst. Index Fund	C	Dividend	O	T					
36. Vanguard Windsor II Fund Investor Shares	E	Dividend	N	T					
37. Merrill Lynch Cash/Money Account	A	Interest	K	T					
38. Blackrock Hi Yld Bd	C	Dividend	L	T					
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42. SPDR DJ Wilshire REIT	C	Dividend	L	T					
43. Skybridge G II Fund	A	Dividend	N	T					
44. Vanguard Int'l Value Fund	D	Dividend	N	T					
45. Vanguard Total Bond Market Index Fund	C	Dividend	O	T					
46. Vanguard Small-Cap Index Fund	B	Dividend	M	T					
47. Vanguard Intermediate Term Investment Grade Fund	B	Dividend	M	T					
48. IShares Currency Hedged	A	Dividend	K	T					
49. IShares Edge MSCI Min	C	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

For QuestionVI, I deleted the loan from Citibank for the Cadwalader Capital Account [redacted] as it was paid off in full on January 8, 2017.
For Question VII, we closed the NYS 529 plans, as the balance was withdrawn to pay tuition.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Denny Chin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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