OGE 278
Rev. 1/2015

# FINANCIAL DISCLOSURE REPORT
## FOR CALENDAR YEAR 2018

Report Required by the Office of Government Ethics 5 U.S.C. app. § 101 et seq. 5 C.F.R. part § 2634.202

| 1 Person Reporting (last name, first, middle initial) | 2 Court or Organization | 3. Date of Report |
|---|---|---|
| ALIOTO, WILLIAM H. | UNITED STATES BANKRUPTCY COURT | 01/22/2019 |

| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) | 5a. Report Type (check appropriate type) | 5b. Reporting Period |
|---|---|---|
| ARTICLE III — ACTIVE | ☐ Nominee ☐ Initial ☑ Annual ☐ Final ☑ Amended Report | 01/01/2018 to 12/31/2018 |

| 6. Chambers or Office Address |
|---|
| 450 GOLDEN GATE AVE<br>SAN FRANCISCO, CALIFORNIA 94102 |

**I. POSITIONS.** (Reporting individual only; see pp. 9-10 of filing instructions.)

☑ NONE. (No reportable positions.)

| POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|
| 1. | |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

**II. AGREEMENTS.** (Reporting individual only; see pp. 9-10 of filing instructions.)

☑ NONE. (No reportable agreements.)

| DATE | PARTIES AND TERMS |
|---|---|
| 1. | |
| 2. | |
| 3. | |



**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 11-12 of filing instructions.)

**A. Filer's Non-Investment Income**

☐ NONE. (No reportable non-investment income.)

| DATE | SOURCE (Name and Address) | INCOME TYPE | INCOME (Amount not required) |
|---|---|---|---|
| 1. 2018 | Foundation Foundation (dba Vanderbilt Grand Ave.) | | $ 69.95 |
| 2. | | | |
| 3. | | | |
| 4. | | | |

**B. Spouse's Non-Investment Income** – If you are married during any portion of this reporting year, complete this section.

(Dollar amounts not required except on Amendment.)

☐ NONE. (No reportable non-investment income.)

| DATE | SOURCE (Name and Address) | TYPE |
|---|---|---|
| 1. 2018 | Retirement pension from Oakland Unified School District. | |
| 2. | | |
| 3. | | |
| 4. | | |

**IV. REIMBURSEMENTS** – transportation, lodging, food, entertainment.

Includes those to spouse and dependent children; see pp. 13-14 of filing instructions.)

☐ NONE. (No reportable reimbursements.)

| SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|
| 1. University of Chicago Booth School of Law | September 30-29, 2018 | Chicago, Illinois | Speak at law school. | Transportation, hotels, and meals. |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| 5. | | | | |



**V. GIFTS.** (Includes those of spouse and dependent children; see pp. 15-16 of filing instructions.)

☑ NONE. (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

**VI. LIABILITIES.** (Includes those of spouse and dependent children; see pp. 16-17 of filing instructions.)

☑ NONE. (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |



**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 17-20 of filing instructions.)

☐ NONE. (No reportable income, assets, or transactions.)

| A Description of Assets (including trust assets) | B Income during reporting period | C Gross value at end of reporting period | D Transactions during reporting period |
|---|---|---|---|
| | (1) Amount (Q1-Q4, or P1-P4, or None) | (2) Value Code (J-W, or None) | (3) Value Method Code (Q-W, or None) | (1) Type (e.g., buy, sell, redemption) | (2) Date (Month, Day, Year) | (3) Value Code (J-W) | (4) Gain Code (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 1 Charles Schwab Account | | | | | | | | | |
| 2 Schwab Money Market Fund | A | Interest | P1 | T | | | | | |
| 3 ANSR I | A | Interest | J | T | | | | | |
| 4 Bonneo Pty. Inc. Irish | C | Dividend | M | T | | | | | |
| 5 Tilray LLC Equity | B | Dividend | P1 | T | | | | | |
| 6 Schwab S&P 500 Index | A | Dividend | P1 | T | | | | | |
| 7 Wells Fargo Advisors | | | | | | | | | |
| 8 Cash Management Account | A | Interest | P1 | T | | | | | |
| 9 CA Muni Bond (Black Rock)*** | C | Interest | | | Sold | 04/18/18 | M | | |
| 10 CA Muni Bond (Black Rock)*** | C | Interest | | | Sold | 11/09/18 | M | | |
| 11 Calif. SAVEN Fund** | B | Interest | L | T | Buy | 03/18/18 | L | | |
| 12 Muni City CA Adv Muni** | A | Interest | K | T | Buy | 02/15/18 | J | | |
| 13 Muni Bond County of San Francisco, CA** | A | Interest | K | T | Buy | 02/15/18 | J | | |
| 14 Muni Bond County of San Francisco, CA** | A | Interest | K | T | Buy | 04/03/18 | J | | |
| 15 CA SAVEN Fund** | A | Interest | K | T | Buy | 11/09/18 | J | | |
| 16 Charles LLN Parlay Bond | A | Interest | K | T | Buy | 11/09/18 | J | | |
| 17 Citizens Bank CU | A | Interest | M | T | Buy | 12/22/18 | J | | |

1 Asset Class Codes: EF (Exempt fund), HE (Hedge fund), OL (Other), PE (Private equity), RE (Real estate), SA (Separately managed account), VA (Variable annuity)

2 Income Codes: A ($1,000 or less), B ($1,001-$2,500), C ($2,501-$5,000), D ($5,001-$15,000), E ($15,001-$50,000), F ($50,001-$100,000), G ($100,001-$1,000,000), H1 ($1,000,001-$5,000,000), H2 (Over $5,000,000)

3 Value Codes: J ($15,000 or less), K ($15,001-$50,000), L ($50,001-$100,000), M ($100,001-$250,000), N ($250,001-$500,000), O ($500,001-$1,000,000), P1 ($1,000,001-$5,000,000), P2 ($5,000,001-$25,000,000), P3 (Over $25,000,000), W (Value over threshold but not applicable)

4 Value Method Codes: Q (Appraisal), R (Cost basis), S (Assessment), T (Cash Market), U (Book value), V (Other), W (Estimated)

5 Gain Codes: A ($200 or less), B ($201-$500), C ($501-$1,000), D ($1,001-$2,500), E ($2,501-$5,000), F ($5,001-$15,000), G ($15,001-$50,000), H ($50,001-$100,000), I ($100,001-$1,000,000), J (Over $1,000,000)



**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 17-20 of filing instructions.)

☐ NONE. (No reportable income, assets, or transactions.)

| A Description of Assets (including trust assets) | B Income during reporting period | C Gross value at end of reporting period | D Transactions during reporting period |
|---|---|---|---|
| | (1) Amount (Q1-Q4, or P1-P4, or None) | (2) Value Code (J-W, or None) | (3) Value Method Code (Q-W, or None) | (1) Type (e.g., buy, sell, redemption) | (2) Date (Month, Day, Year) | (3) Value Code (J-W) | (4) Gain Code (A-H) | (5) Identity of buyer/seller (if private transaction) |
| 18 Vanday Fun Annuity (also Deutsche Trust Fun Annuity) | E | Interest | N | T | | | | | |
| 19 American Funds | | | | | | | | | |
| 20 American Bal. Fund | A | Dividend | P1 | T | | | | | |
| 21 Capital World Growth | A | Dividend | M | T | | | | | |
| 22 American Fund Tax Ex | A | Dividend | N | T | | | | | |
| 23 Bank of America Accounts | B | Interest | M | T | | | | | |
| 24 Federal Credit Divendend | B | Interest | M | T | | | | | |
| 25 Wisconsin Energy | A | Dividend | K | T | | | | | |
| 26 Kimberly Clark | B | Dividend | N | T | | | | | |
| 27 Roland Bank | A | Dividend | J | T | | | | | |

1 Asset Class Codes: EF (Exempt fund), HE (Hedge fund), OL (Other), PE (Private equity), RE (Real estate), SA (Separately managed account), VA (Variable annuity)

2 Income Codes: A ($1,000 or less), B ($1,001-$2,500), C ($2,501-$5,000), D ($5,001-$15,000), E ($15,001-$50,000), F ($50,001-$100,000), G ($100,001-$1,000,000), H1 ($1,000,001-$5,000,000), H2 (Over $5,000,000)

3 Value Codes: J ($15,000 or less), K ($15,001-$50,000), L ($50,001-$100,000), M ($100,001-$250,000), N ($250,001-$500,000), O ($500,001-$1,000,000), P1 ($1,000,001-$5,000,000), P2 ($5,000,001-$25,000,000), P3 (Over $25,000,000), W (Value over threshold but not applicable)

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** (Indicate part of report.)

This does not include my 7(b)(4) Savings Plan funded by my government salary, according to the Help Desk for Ellig Financial Disclosure Reports on the web.

* Income Tax Assessly John Blumenthal, Jr. 2018, is to submit reporting monthly payment to the monthly amount of $1029.67. There have been no overdraft, and no exchange related to the account.

** The first three funds listed Nos. 7, 11, 12 and 14 above should have been listed last year but through error on my part I failed to realize it.

*** I have listed last three (Land 16) and today a single line item with a valuation of "NT but now sold in two sheets during 2018. The form would not allow two lines with the same name. Therefore, I have added the word "Sold" to the name of the fund in the sheet of sale during 2018, and in the case of ownership, values and date entered "NT under Column 6A.



**IX. CERTIFICATION.**

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is true, complete, and correct to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 C.F.R. § 2635, and Judicial Conference regulations.**

Signature: /s/ WILLIAM H. ALIOTO

NOTE: ANY EMPLOYEE or, WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104).


Committee on Financial Disclosure
Administrative Office of the United States Courts
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