

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  KAGAN, ELENA	<b>2. Court or Organization</b>  SUPREME COURT OF THE UNITED STATES	<b>3. Date of Report</b>  05/14/2020
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  ASSOCIATE JUSTICE OF THE SUPREME COURT OF THE UNITED STATES	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2019 to 12/31/2019
<b>7. Chambers or Office Address</b>  1 FIRST STREET NE WASHINGTON, D.C. 20543		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions )*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. VISITING PROFESSOR (AUGUST 2019)	HARVARD LAW SCHOOL
2.	
3.	
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions )*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	PRESIDENT AND FELLOWS OF HARVARD COLLEGE - TEACHING	\$17,500.00
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	FORDHAM LAW SCHOOL	FEBRUARY 3-5, 2019	NEW YORK, NY	SPEECH	TRANSPORTATION, HOTEL, MEALS
2.	HARVARD LAW SCHOOL	AUGUST 24-30, 2019	CAMBRIDGE, MA	TEACHING, SPEECH	TRANSPORTATION, HOTEL, MEALS
3.	YALE LAW SCHOOL	SEPTEMBER 10-13, 2019	NEW HAVEN, CT	CONFERENCE, SPEECH	TRANSPORTATION, HOTEL, MEALS
4.	UNIVERSITY OF CALIFORNIA-BERKELEY SCHOOL OF LAW	SEPTEMBER 21-23, 2019	BERKELEY, CA	SPEECH	TRANSPORTATION, HOTEL, MEALS

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5.	UNIVERSITY OF MINNESOTA LAW SCHOOL	OCTOBER 20-21, 2019	MINNEAPOLIS, MN	SPEECH	TRANSPORTATION, HOTEL, MEALS
6.	UNIVERSITY OF COLORADO LAW SCHOOL	OCTOBER 22-23, 2019	BOULDER, CO	SPEECH	TRANSPORTATION, HOTEL, MEALS

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. JUSTICE FEDERAL CREDIT UNION CASH ACCOUNT	A	Interest	K	T					
2. FRANKLIN TEMPLETON MUTUAL BEACON FUND	D	Dividend	M	T					
3. VANGUARD PRIME MONEY MKT FUND	A	Dividend			Sold	01/11/19	K		
4. VANGUARD TOTAL STOCK MKT INDEX ADM	D	Dividend	N	T					
5. VANGUARD TOTAL INTL STOCK INDEX ADMIRAL	B	Dividend	K	T					
6. UNIV. OF CHICAGO RET - TIAA TRADITIONAL GUARANTEED FIXED ANNUITY	C	Interest	L	T					
7. UNIV. OF CHICAGO RET - CREF STOCK QCSTIX		None	L	T					
8. UNIV. OF CHICAGO RET - CREF BOND MARKET VIABILITY ANNUITY QCBMIX		None	K	T					
9. UNIV. OF CHICAGO RET - TIAA - VANGUARD TOTAL BOND MKT FUND INST PLUS	A	Dividend	K	T					
10. UNIV. OF CHICAGO RET - TIAA - VANGUARD INST INDEX FUND INST PLUS	B	Dividend	L	T					
11. UNIV. OF CHICAGO RET - TIAA - VANGUARD INST TARGET RETIREMENT 2025 INST	A	Dividend	J	T	Buy	09/26/19	J		
12. IRA #1 - FIDELITY MAGELLAN FUND	E	Dividend	M	T					
13. IRA #1 - FIDELITY PURITAN FUND	D	Dividend	M	T					
14. IRA #1 - FIDELITY INTERMED BOND FUND	B	Dividend	L	T					
15. IRA #2 - VANGUARD TOTAL BOND MKT INDEX ADMIRAL SHS (SEE PART VIII)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int )	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

16. IRA #2 - VANGUARD FED MONEY MKT FUND	A	Dividend	J	T					
17. IRA #2 - VANGUARD WELLESLEY INC FUND	C	Dividend	L	T					
18. IRA #2 - VANGUARD 500 INDEX FUND ADMIRAL SHS (SEE PART VIII)	A	Dividend	L	T					
19. IRA #2 - VANGUARD INTL EXPLORER FUND	B	Dividend	K	T					
20. BNY MELLON US MORTGAGE FUND INC - CLASS Z (SEE PART VIII)	A	Dividend	J	T					
21. VANGUARD - GNMA FUND INVESTOR SHARES	A	Dividend	J	T					
22. CHARLES SCHWAB MONEY MARKET FUND ACCOUNT	A	Dividend	K	T	Distributed (part)	06/11/19	J		
23. SCHWAB S&P 500 INDEX FUND	D	Dividend	N	T					
24. WASHINGTON, DC - RENTAL PROPERTY	B	Rent	K	W					
25. CITIBANK CASH ACCOUNT	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

PART VII, LINE 15. THE NAME OF THE ASSET LISTED ON PART VII, LINE 15 WAS CHANGED FROM VANGUARD TOTAL BOND MKT INDEX TO VANGUARD TOTAL BOND MKT INDEX ADMIRAL SHS.

PART VII, LINE 18. THE NAME OF THE ASSET LISTED ON PART VII, LINE 18 WAS CHANGED FROM VANGUARD 500 INDEX FUND TO VANGUARD 500 INDEX FUND ADMIRAL SHS.

PART VII, LINE 20. THE NAME OF THE ASSET LISTED ON PART VII, LINE 20 WAS CHANGED FROM DREYFUS US MORTGAGE FUND – CLASS Z TO BNY MELLON US MORTGAGE FUND INC - CLASS Z.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ ELENA KAGAN**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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