

## FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

<b>1. Person Reporting (last name, first, middle initial)</b> Pryor, Jr., William H.	<b>2. Court or Organization</b> United States Court of Appeals	<b>3. Date of Report</b> 12/12/2016
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> Circuit Judge - Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	<b>6. Reporting Period</b> 01/01/2015 to 12/31/2015
<b>5b.</b> <input checked="" type="checkbox"/> Amended Report		
<b>7. Chambers or Office Address</b> 1729 Fifth Avenue North Suite 900 Birmingham, AL 35203		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Visiting Professor	University of Alabama School of Law
2.	Adjunct Professor	Cumberland School of Law, Samford University
3.		
4.		
5.		

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	University of Alabama School of Law	\$12,000.00
2. 2015	Cumberland School of Law, Samford University	\$7,500.00
3. 2015	West Publishing Company	\$5,000.00
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	SELF-EMPLOYED ACCOUNTANT
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association	September 10-11, 2015	Brasselton, GA	Panel Moderator, Sentencing Considerations In White Collar Crime Cases	Lodging and Meals
2.	Federalist Society	October 28-29, 2015	New Haven, CT	Deliver Presentations at Yale Law School	Transportation, Lodging, and Meals
3.	Federalist Society	November 12-15, 2015	Washington, DC	Panel Moderator, National Lawyers Convention	Transportation, Lodging, and Meals
4.	University of Alabama	August 17- November 23, 2015	Tuscaloosa, AL	Teaching, Federal Jurisdiction	Transportation
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. - FIDELITY MID CAP VALUE	A	Dividend			Sold	12/02/15	K		
3. - FIDELITY SELECT RETAILING	A	Dividend	K	T	Buy	12/02/15	K		
4. IRA #2 (H)									
5. - FIDELITY SELECT MEDICAL DELIVERY	A	Dividend	K	T					
6. - FIDELITY SMALL CAP DISCOVERY FUND		None			Sold	04/15/15	L	E	
7. - FIDELITY SELECT BIOTECHNOLOGY	D	Dividend	L	T					
8. - FIDELITY BLUE CHIP GROWTH	C	Dividend	L	T					
9. -FIDELITY CHINA REGION		None			Buy	04/15/15	L		
10.					Sold	07/09/15	K		
11. - FIDELITY IT SERVICES PORTFOLIO	A	Dividend	K	T	Buy	07/14/15	K		
12. IRA #3 (H)									
13. - FIDELITY REAL ESTATE INVESTMENT	A	Dividend			Sold	06/29/15	L	B	
14. -FIDELITY SELECT HEALTHCARE	C	Dividend	L	T	Buy	06/29/15	L		
15. IRA #4 (H)									
16. - FIDELITY NASDAQ COMPOSITE INDEX	A	Dividend	L	T					
17. - FIDELITY SELECT HEALTHCARE	D	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period			D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div, rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
18. - FIDELITY SELECT BIOTECHNOLOGY	C	Dividend	K	T						
19. - FIDELITY SELECT PHARMACEUTICAL	D	Dividend	L	T						
20. - FIDELITY REAL ESTATE	C	Dividend	K	T						
21. IRA #7 (H)										
22. - FIDELITY SELECT BIOTECHNOLOGY	B	Dividend	K	T						
23. -FIDELITY OTC PORTFOLIO	B	Dividend	K	T						
24. BROKERAGE ACCT #1 (H)										
25. - FIDELITY CASH	A	Interest	K	T	Open	06/02/15	J			
26.					Buy (add'l)	06/22/15	L			
27. - FIDELITY SELECT BIOTECHNOLOGY	B	Dividend			Sold	06/22/15	L	D		
28.					Buy	07/21/15	K			
29.					Sold	12/30/15	K			
30. - FIDELITY SELECT PHARMACEUTICAL	B	Dividend			Sold	06/22/15	K	D		
31. - FIDELITY SELECT TRANSPORT	A	Dividend			Sold	06/02/15	J			
32. - FIDELITY OHIO MUNI INCOME	A	Dividend	L	T	Buy	11/20/15	K			
33. WARREN AVERETT, LLC PROFIT SHARING PLAN (H)										
34. -AQR MANAGED FUTURES STRATEGY FUND (X)	A	Dividend	J	T	Buy (add'l)	12/30/15	J			

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period			D Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
35.	-BOSTON PARTNERS ROBECO LONG/ SHORT RESEARCH (X)	A	Dividend	J	T	Sold (part)	10/30/15	J			
36.	-CALAMOS MARKET NEUTRAL INCOME A (X)	A	Dividend			Sold (part)	10/30/15	J			
37.						Sold	12/30/15	J			
38.	-LEGG MASON CLEARBRIDGE SMALL CAP GROWTH I (X)	A	Dividend	J	T	Buy (add'l)	10/30/15	J			
39.						Sold (part)	12/30/15	J			
40.	-DFA EMERGING MARKETS CORE EQUITY I (X)	A	Dividend	J	T						
41.	-DFA INTERNATIONAL SMALL COMPANY I (X)	A	Dividend	K	T						
42.	-DFA INTERNATIONAL VALUE I		None	K	T	Buy	12/30/15	K			
43.	-DFA US MICRO CAP I (X)	A	Dividend	J	T	Buy (add'l)	12/30/15	J			
44.	-DODGE & COX INTERNATIONAL STOCK (X)	B	Dividend	J	T	Sold (part)	12/30/15	K			
45.	-DOUBLELINE TOTAL RETURN BOND I (X)	A	Dividend			Sold	12/30/15	J			
46.	-JPMORGAN STRATEGIC INCOME OPPORTUNITIES FUND (X)	A	Dividend	J	T	Sold (part)	12/30/15	J			
47.	-LOOMIS SAYLES BOND INSTL (X)	A	Dividend			Sold	12/30/15	J			
48.	-MELLON STABLE VALUE FUND (X)	A	Dividend	J	T	Buy (add'l)	12/23/15	J			
49.	-MERGER INVESTOR (X)	A	Dividend	J	T						
50.	-OPPENHEIMER STEELPATH MLP ALPHA (X)	A	Dividend	J	T	Buy (add'l)	12/30/15	J			
51.	-PIMCO COMMODITIESPLUS STRATEGY INSTL (X)	A	Dividend	J	T	Buy (add'l)	05/08/15	J			

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	12/30/15	J		
53. -PIMCO TOTAL RETURN INSTL (X)	A	Dividend			Sold	12/30/15	J		
54. -PIONEER STRATEGIC INCOME A (X)	A	Dividend			Sold	12/30/15	J		
55. -T. ROWE PRICE REAL ESTATE (X)	A	Dividend	J	T	Sold (part)	12/30/15	J		
56. -VAN ECK UNCONSTRAINED EMERG MKTS BOND (X)	A	Dividend			Sold	12/30/15	J		
57. -VANGUARD EMERGING MKTS STOCK IDX.		None	K	T	Buy	12/30/15	K		
58. -VANGUARD EQUITY-INCOME ADM (X)	B	Dividend	J	T	Sold (part)	12/30/15	K		
59. -VANGUARD GROWTH INDEX ADM (X)	A	Dividend	K	T	Sold (part)	05/08/15	J		
60. -VANGUARD INTERM-TERM CORPORATE BOND		None	K	T	Buy	12/30/15	K		
61. -VANGUARD MID-CAP GROWTH INDEX ADM (X)	A	Dividend	K	T	Sold (part)	05/08/15	J		
62. -VANGUARD MID-CAP VALUE INDEX ADM (X)	A	Dividend	K	T	Sold (part)	05/08/15	J		
63. -VANGUARD SMALL CAP GROWTH INDEX ADM		None	J	T	Buy	12/30/15	J		
64. -VANGUARD SMALL CAP VALUE INDEX ADM		None	J	T	Buy	12/30/15	J		
65. -VANGUARD TOTAL BOND MARKET INDEX ADM		None	K	T	Buy	12/30/15	K		
66. -VANGUARD VALUE INDEX ADM		None	K	T	Buy	12/30/15	K		
67. -VULCAN VALUE PARTNERS SMALL CAP (X)	B	Dividend	J	T	Buy (add'l)	10/30/15	J		
68.					Sold (part)	12/30/15	J		

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. BBVA COMPASS BANK - A/C #1	B	Interest	M	T					
70.									
71.									
72.									

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

For all of the assests listed under the Warren Averett, LLC Profit Sharing Plan, the information in column D(4) is omitted because that information is unavailable. The statements that we receive from the profit sharing plan do not disclose this information. And the plan does not record this information.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William H. Pryor, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544