AO 10
Rev. 1/2018

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hardiman, Thomas M.	**2. Court or Organization** U. S. Court of Appeals	**3. Date of Report** 05/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	**6. Reporting Period** 01/01/2017 to 12/31/2017

7. Chambers or Office Address

2270 United States Courthouse
700 Grant Street
Pittsburgh, PA 15219

***IMPORTANT NOTES:* The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.**

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Big Brothers Big Sisters of Greater Pittsburgh, Inc.
2.	Adjunct Law Professor	Duquesne Law School
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	**Date of Report**
Hardiman, Thomas M.	05/09/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Duquesne Law School - teaching	$2,667.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	The First City Company
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	The Federalist Society	March 4, 2017	Washington, DC	Panel moderator	Transportation, hotel
2.	Seton Hall University Law School	April 3, 2017	Newark, NJ	Lecture	Transportation
3.	Harvard Law Review	April 11, 2017	Cambridge, MA	Panel participant	Transportation
4.	The Federalist Society	November 16-17, 2017	Washington, DC	Conference attendee	Hotel parking
5.	The Federalist Society	November 30, 2017	New Haven, CT	Lecture	Transportation, hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Academy of Trial Lawyers of Allegheny County	Honorary Membership	$750.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Checking Account	A	Interest	L	T					
2. PNC Money Market Acct.	A	Interest	N	T					
3. Vanguard Prmpcp Mut. Fund (MF)	D	Dividend	M	T					
4. Fulton Financial Stk (CS)	A	Dividend	K	T					
5. WELLS FARGO EQUITY ACCOUNT									
6. Wells Fargo MM	A	Interest	J	T					
7. Allergen PLC	A	Dividend			Sold	03/08/17	L	D	
8. Alphabet Inc. Class A -- Moved to Bond Account									
9. Alphabet Inc. Class C -- Moved to Bond Account									
10. Apple	A	Dividend			Sold	03/08/17	K	E	
11. Bank New York Mellon CS	B	Dividend	L	T					
12. Berkshire Hathaway		None	M	T					
13. Citigroup	B	Dividend	M	T					
14. Continental Building Products		None			Sold	05/31/17	L	E	
15. Cooper Standard		None			Sold	05/31/17	L	E	
16. Dish Network CS		None			Sold	02/28/17	L	F	
17. DSW Class A	A	Dividend			Sold	03/08/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Express Scripts		None			Sold	03/08/17	K	D	
19. General Motors	C	Dividend			Sold	03/08/17	L	D	
20. Goldman Sachs	A	Dividend			Sold	05/31/17	L	E	
21. JP Morgan Chase CS	C	Dividend	M	T	Sold (part)	02/16/17	J	C	
22. Kinross Gold Corp		None			Sold	03/08/17	J	A	
23. Vaneck Vector EFT	A	Dividend			Sold	05/31/17	K	B	
24. Natural Grocers by Vitamin Cottage		None			Sold	03/08/17	K	A	
25. Netscout		None			Sold (part)	05/31/17	L	C	
26.					Sold	08/30/17	J	C	
27. Packaging Co. of America	B	Dividend			Sold	03/08/17	K	E	
28. Range Resources	A	Dividend			Sold	03/08/17	K	A	
29. Robert Half Int'l	A	Dividend			Sold	03/08/17	K	D	
30. Spirit Airlines		None			Sold (part)	05/31/17	L	D	
31.					Sold	08/30/17	J	A	
32. T. Mobile -- Moved to Bond Account									
33. Tweny-First Century CLA	B	Dividend	M	T					
34. US Bancorp CS	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Linmar Corp	A	Dividend			Buy	02/06/17	K		
36.					Sold	05/31/17	K	A	
37. WELLS FARGO BOND ACCOUNT									
38. Wells Fargo MM	A	Interest	M	T					
39. Alphabet Inc. Class A		None	L	T					
40. Alphabet Inc. Class C		None	L	T					
41. Abington PA School Dist.	B	Interest			Redeemed	04/03/17	K	A	
42. Barclays Bank PLC Pfd. Stk (PF)	B	Dividend			Redeemed	03/15/17	K	A	
43. Duke Energy PF	A	Dividend	K	T					
44. T. Mobile -- Moved from Equity Account		None	J	T	Sold (part)	01/20/17	J	D	
45.					Sold (part)	01/26/17	J	C	
46.					Sold (part)	02/13/17	K	D	
47. Eaton Vance En. Equity MF	A	Dividend	J	T					
48. Eaton Vance Gr. India MF	A	Dividend	L	T					
49. Guggenheim Credit Allocation Fd	C	Dividend	K	T					
50. Investment Co. of America MF	B	Dividend	M	T	Buy (add'l)	03/30/17	L		
51. Monroeville PA Mun.	A	Interest	K	T					

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. Octorara PA Area	B	Interest	K	T					
53. Pennsylvania HSG	A	Interest	K	T					
54. Spectra Energy Corp PF -- Bought by Enbridge	A	Dividend	J	T					
55. University Area Jt.	A	Interest			Redeemed	11/01/17	K	A	
56. Garnet Valley PA School District Bond	A	Interest	K	T					
57. Carroll Twp PA Auth. Swr Bond	A	Interest	K	T					
58. Washington Mutual Invest. MF	C	Dividend	M	T					
59. Capital World Income MF	A	Dividend	K	T					
60. Europacific Growth MF	A	Dividend	K	T					
61. New Perspective FDA MF	A	Dividend	K	T					
62. Lord Abbett Inv. Trust C Class	A	Dividend	J	T					
63. Lord Abbett Inv. Trust Class A	D	Dividend	M	T	Buy (add'l)	07/20/17	M		
64. Lord Abbett Equity Trust Calibrated Mid Cap	A	Dividend	L	T					
65. Lord Abbett Secs Trust Growth Leaders Fund		None	L	T					
66. Flaherty & Crumrin	C	Dividend	L	T					
67. MFS SER Trust III Mun High Income Class A	A	Dividend	J	T					
68. MFS Global Total Rtn Fund Class A	B	Dividend	M	T					

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Hardiman, Thomas M.	05/09/2018

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69. MFS Growth Class A		None	N	T					
70. MFS Mid Cap Value	B	Dividend	N	T					
71. MFS Municipal Limited Maturity Fund	D	Dividend	N	T	Buy (add'l)	03/30/17	N		
72. MFS Utilities Fund	D	Dividend	M	T					
73. MFS Global Equity Fund Class A	B	Dividend	N	T					
74. MFS International Growth Fund	B	Dividend	M	T					
75. MFS International Value Fund	C	Dividend	M	T					
76. Putnam Capital Spectrum		None			Sold	12/14/17	J		
77. Putnam Equity Spectrum		None	M	T					
78. AMCAP Fund		None	L	T	Buy (add'l)	03/30/17	L		
79. Fundamental Investors	A	Dividend	L	T	Buy (add'l)	03/30/17	L		
80. Growth Fund of America	A	Dividend	J	T					
81. Short Term Bd Fund America Class A	D	Dividend	O	T	Buy	03/30/17	M		
82.					Buy (add'l)	07/20/17	M		
83. 401(k) ACCOUNT #1A									
84. Lg. Value Equity MF (Dodge & Cox)	A	Dividend	K	T					
85. Lg. Value Growth (T. Rowe Price)	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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86. Mid Cap Value (renamed Janus Henderson Mid Cap Value)	A	Dividend	K	T					
87. International Value II (Dodge & Cox)	A	Dividend	K	T					
88. Invesco International Growth	A	Dividend	K	T					
89. 401(k) ACCOUNT #2									
90. Merrill Lynch	A	Interest	J	T					
91. Central Europe & Russia MF	A	Dividend	J	T					
92. Century Link	C	Dividend	K	T	Buy (add'l)	08/18/17	J		
93.					Buy (add'l)	11/22/17	J		
94. Citigroup CS	A	Dividend	J	T					
95. General Electric CS	A	Dividend	J	T					
96. TRUST #1									
97. Wells Fargo Account	A	Interest	J	T					
98. AMCAP MF	A	Dividend	M	T	Buy (add'l)	03/30/17	J		
99.					Buy (add'l)	05/01/17	J		
100.					Buy (add'l)	06/01/17	J		
101.					Buy (add'l)	07/03/17	J		
102.					Buy (add'l)	08/01/17	J		

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103.					Buy (add'l)	09/01/17	J		
104.					Buy (add'l)	10/02/17	J		
105.					Buy (add'l)	11/01/17	J		
106.					Buy (add'l)	12/01/17	J		
107. Capital World Gr. & Income MF	B	Dividend	L	T					
108. Europacific Growth MF	A	Dividend	L	T					
109. Fundamental Inv. MF	B	Dividend	M	T	Buy (add'l)	03/30/17	J		
110.					Buy (add'l)	05/01/17	J		
111.					Buy (add'l)	06/01/17	J		
112.					Buy (add'l)	07/03/17	J		
113.					Buy (add'l)	08/01/17	J		
114.					Buy (add'l)	09/01/17	J		
115.					Buy (add'l)	10/02/17	J		
116.					Buy (add'l)	11/01/17	J		
117.					Buy (add'l)	12/01/17	J		
118. Growth Fund of America MF	A	Dividend	M	T					
119. Investment Co. of America MF	B	Dividend	M	T	Buy (add'l)	03/30/17	J		

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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	05/01/17	J		
121.					Buy (add'l)	06/01/17	J		
122.					Buy (add'l)	07/03/17	J		
123.					Buy (add'l)	08/01/17	J		
124.					Buy (add'l)	09/01/17	J		
125.					Buy (add'l)	10/02/17	J		
126.					Buy (add'l)	11/01/17	J		
127.					Buy (add'l)	12/01/17	J		
128. New Perspective MF	A	Dividend	M	T					
129. Washington Mutual Inv. MF	C	Dividend	M	T	Buy (add'l)	03/30/17	J		
130.					Buy (add'l)	05/01/17	J		
131.					Buy (add'l)	06/01/17	J		
132.					Buy (add'l)	07/03/17	J		
133.					Buy (add'l)	08/01/17	J		
134.					Buy (add'l)	09/01/17	J		
135.					Buy (add'l)	10/02/17	J		
136.					Buy (add'l)	11/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/09/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	12/01/17	J		
138. Short Term Bd Fund America Class A	A	Interest	J	T	Buy	03/30/17	K		
139.					Sold (part)	03/30/17	J	A	
140.					Sold (part)	05/01/17	J	A	
141.					Sold (part)	06/01/17	J	A	
142.					Sold (part)	07/03/17	J	A	
143.					Sold (part)	08/01/17	J	A	
144.					Sold (part)	09/01/17	J	A	
145.					Sold (part)	10/02/17	J	A	
146.					Sold (part)	11/01/17	J	A	
147.					Sold (part)	12/01/17	J	A	
148. TRUST #2									
149. Wells Fargo Account	A	Interest	J	T					
150. AMCAP MF	A	Dividend	M	T	Buy (add'l)	03/30/17	J		
151.					Buy (add'l)	05/01/17	J		
152.					Buy (add'l)	06/01/17	J		
153.					Buy (add'l)	07/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	08/01/17	J		
155.					Buy (add'l)	09/01/17	J		
156.					Buy (add'l)	10/02/17	J		
157.					Buy (add'l)	11/01/17	J		
158.					Buy (add'l)	12/01/17	J		
159. Capital World Gr. & Income MF	C	Dividend	M	T					
160. Europacific Growth MF	A	Dividend	L	T					
161. Fundamental Inv. MF	B	Dividend	M	T	Buy (add'l)	03/30/17	J		
162.					Buy (add'l)	05/01/17	J		
163.					Buy (add'l)	06/01/17	J		
164.					Buy (add'l)	07/03/17	J		
165.					Buy (add'l)	08/01/17	J		
166.					Buy (add'l)	09/01/17	J		
167.					Buy (add'l)	10/02/17	J		
168.					Buy (add'l)	11/01/17	J		
169.					Buy (add'l)	12/01/17	J		
170. Growth Fund of America MF	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/09/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Investment Co. of America MF	B	Dividend	M	T	Buy (add'l)	03/30/17	J		
172.					Buy (add'l)	05/01/17	J		
173.					Buy (add'l)	06/01/17	J		
174.					Buy (add'l)	07/03/17	J		
175.					Buy (add'l)	08/01/17	J		
176.					Buy (add'l)	09/01/17	J		
177.					Buy (add'l)	10/02/17	J		
178.					Buy (add'l)	11/01/17	J		
179.					Buy (add'l)	12/01/17	J		
180. New Perspective MF	A	Dividend	M	T					
181. Washington Mutual Inv. MF	B	Dividend	M	T	Buy (add'l)	03/30/17	J		
182.					Buy (add'l)	05/01/17	J		
183.					Buy (add'l)	06/01/17	J		
184.					Buy (add'l)	07/03/17	J		
185.					Buy (add'l)	08/01/17	J		
186.					Buy (add'l)	09/01/17	J		
187.					Buy (add'l)	10/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/09/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	11/01/17	J		
189.					Buy (add'l)	12/01/17	J		
190. Short Term Bd Fund America Class A	A	Interest	J	T	Buy	03/30/17	K		
191.					Sold (part)	03/30/17	J	A	
192.					Sold (part)	05/01/17	J	A	
193.					Sold (part)	06/01/17	J	A	
194.					Sold (part)	07/03/17	J	A	
195.					Sold (part)	08/01/17	J	A	
196.					Sold (part)	09/01/17	J	A	
197.					Sold (part)	10/02/17	J	A	
198.					Sold (part)	11/01/17	J	A	
199.					Sold (part)	12/01/17	J	A	
200. TRUST #3									
201. Wells Fargo Account	A	Interest	J	T					
202. AMCAP MF	A	Dividend	L	T	Buy (add'l)	03/30/17	J		
203.					Buy (add'l)	05/01/17	J		
204.					Buy (add'l)	06/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	07/03/17	J		
206.					Buy (add'l)	08/01/17	J		
207.					Buy (add'l)	09/01/17	J		
208.					Buy (add'l)	10/02/17	J		
209.					Buy (add'l)	11/01/17	J		
210.					Buy (add'l)	12/01/17	J		
211. Capital World Gr. & Income MF	B	Dividend	M	T					
212. Europacific Growth MF	A	Dividend	M	T					
213. Fundamental Inv. MF	B	Dividend	L	T	Buy (add'l)	03/30/17	J		
214.					Buy (add'l)	05/01/17	J		
215.					Buy (add'l)	06/01/17	J		
216.					Buy (add'l)	07/03/17	J		
217.					Buy (add'l)	08/01/17	J		
218.					Buy (add'l)	09/01/17	J		
219.					Buy (add'l)	10/02/17	J		
220.					Buy (add'l)	11/01/17	J		
221.					Buy (add'l)	12/01/17	J		

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Growth Fund of America MF	A	Dividend	L	T					
223. Investment Co. of America MF	B	Dividend	L	T	Buy (add'l)	03/30/17	J		
224.					Buy (add'l)	05/01/17	J		
225.					Buy (add'l)	06/01/17	J		
226.					Buy (add'l)	07/03/17	J		
227.					Buy (add'l)	08/01/17	J		
228.					Buy (add'l)	09/01/17	J		
229.					Buy (add'l)	10/02/17	J		
230.					Buy (add'l)	11/01/17	J		
231.					Buy (add'l)	12/01/17	J		
232. New Perspective MF	A	Dividend	L	T					
233. Washington Mutual Inv. MF	B	Dividend	K	T	Buy (add'l)	03/30/17	J		
234.					Buy (add'l)	05/01/17	J		
235.					Buy (add'l)	06/01/17	J		
236.					Buy (add'l)	07/03/17	J		
237.					Buy (add'l)	08/01/17	J		
238.					Buy (add'l)	09/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	10/02/17	J		
240.					Buy (add'l)	11/01/17	J		
241.					Buy (add'l)	12/01/17	J		
242. Short Term Bd Fund America Class A	A	Interest	K	T	Buy	03/30/17	K		
243.					Sold (part)	03/30/17	J	A	
244.					Sold (part)	05/01/17	J	A	
245.					Sold (part)	06/01/17	J	A	
246.					Sold (part)	07/03/17	J	A	
247.					Sold (part)	08/01/17	J	A	
248.					Sold (part)	09/01/17	J	A	
249.					Sold (part)	10/02/17	J	A	
250.					Sold (part)	11/01/17	J	A	
251.					Sold (part)	12/01/17	J	A	
252. TRUST #4									
253. Wells Fargo Account	A	Interest	J	T					
254. AMCAP MF	A	Dividend	M	T					
255. Fundamental Inv. MF	B	Dividend	M	T					

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Growth Fund of America MF	A	Dividend	M	T	Sold (part)	03/22/17	J	C	
257. Investment Co. of America MF	B	Dividend	M	T					
258. New Perspective MF	A	Dividend	M	T					
259. Washington Mutual Inv. MF	C	Dividend	M	T					
260. TRUST #5									
261. Wells Fargo Bank Account	A	Interest	M	T					
262. AMCAP MF	A	Dividend	L	T	Buy (add'l)	03/30/17	K		
263. Capital Income Builder MF	B	Dividend	K	T					
264. Capital World Gr. & Income MF	A	Dividend	K	T					
265. Eaton Vance Gr. India MF	C	Dividend	L	T					
266. INTL Growth & Income MF	A	Dividend	K	T					
267. Fundamental INVS Inc. MF	A	Dividend	K	T	Buy (add'l)	03/30/17	K		
268. Growth Fund of America MF	A	Dividend	K	T					
269. Investment Co. of America MF	B	Dividend	K	T	Buy (add'l)	03/30/17	K		
270. MFS Municipal Limited Maturity Fund Class A	C	Dividend	M	T	Buy	03/30/17	M		
271. Washington Mutual Investors	A	Dividend	K	T	Buy	03/30/17	K		
272. JJ Lorick LLC		None	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/09/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. New Perspectives FD Inc. MF	A	Dividend	K	T					
274. Allergen PLC	A	Dividend			Sold	03/08/17	K	B	
275. Short Term Bd Fund America Class A	A	Dividend	L	T	Buy	03/30/17	K		
276. Alphabet Inc. Class A		None	K	T					
277. Alphabet Inc. Class C		None	K	T					
278. Apple Inc.	A	Dividend			Sold	03/08/17	K	D	
279. Bank of NY Mellon	A	Dividend	K	T					
280. Berkshire Hathaway		None	L	T					
281. Citigroup	A	Dividend	K	T					
282. Continental Building Products		None			Sold	05/03/17	K	D	
283. Cooper-Standard		None			Sold	05/31/17	K	D	
284. Dish Network CS		None			Sold	02/28/17	K	E	
285. DSW	A	Dividend			Sold	03/08/17	J	A	
286. Express Scripts		None			Sold	03/08/17	K	C	
287. General Motors	B	Dividend			Sold	03/08/17	K	C	
288. Goldman Sachs	A	Dividend			Sold	05/31/17	K	D	
289. JPMorgan Chase CS	B	Dividend	L	T	Sold (part)	02/15/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Kinross		None			Sold	03/08/17	J	A	
291. Vaneck Vectors ETF	A	Dividend			Sold	05/31/17	J	A	
292. Natural Grocers by Vitamin Cottage		None			Sold	03/08/17	J	A	
293. Netscout		None			Sold (part)	05/03/17	K	A	
294.					Sold	10/09/17	J	B	
295. Packaging Corp.	A	Dividend			Sold	03/08/17	K	D	
296. Range Resources	A	Dividend			Sold	03/08/17	J	A	
297. Robert Half Int'l	A	Dividend			Sold	03/08/17	J	B	
298. Kinross Gold Corp -- same as Kinross on Line 291									
299. Spirit Airlines		None			Sold (part)	05/31/17	K	C	
300.					Sold	10/19/17	J	A	
301. T Mobile		None			Sold (part)	01/26/17	J	C	
302.					Sold (part)	02/13/17	J	C	
303.					Sold	10/09/17	K	E	
304. Twenty First Century Fox	A	Dividend			Sold	10/09/17	K	D	
305. US Bancorp CS	A	Dividend	K	T					
306. Linmar Corp	A	Dividend			Buy	02/06/17	J		

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold	05/31/17	J	A	
308. United States Steel		None	J	T					
309. REAL ESTATE PARTNERSHIP									
310. West Moon Twp. Assoc.	E	Distribution	M	U					
311. FC Donegal Assoc.	G	Distribution	P1	U					
312. McIntyre Square Assoc.	D	Distribution	L	U					
313. Madison NM	E	Distribution	M	U					
314. WELLS FARGO ACCOUNT: REVOCABLE TRUST									
315. AMCAP MF	A	Dividend	M	T	Buy (add'l)	01/06/17	J		
316.					Buy (add'l)	02/06/17	J		
317.					Buy (add'l)	03/06/17	J		
318.					Buy (add'l)	04/06/17	J		
319.					Buy (add'l)	05/08/17	J		
320.					Buy (add'l)	06/06/17	J		
321.					Buy (add'l)	07/06/17	J		
322.					Buy (add'l)	08/07/17	J		
323.					Buy (add'l)	09/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Capital World Growth & Income Fd	B	Dividend	M	T	Buy (add'l)	01/06/17	J		
325.					Buy (add'l)	02/06/17	J		
326.					Buy (add'l)	03/06/17	J		
327. Fudamental Inv. MF	B	Dividend	M	T	Buy (add'l)	01/06/17	J		
328.					Buy (add'l)	02/06/17	J		
329.					Buy (add'l)	03/06/17	J		
330.					Buy (add'l)	04/06/17	J		
331.					Buy (add'l)	05/08/17	J		
332.					Buy (add'l)	06/06/17	J		
333.					Buy (add'l)	07/06/17	J		
334.					Buy (add'l)	08/07/17	J		
335.					Buy (add'l)	09/06/17	J		
336. Growth Fund America	B	Dividend	M	T	Buy (add'l)	01/06/17	J		
337.					Buy (add'l)	02/06/17	J		
338.					Buy (add'l)	03/06/17	J		
339.					Buy (add'l)	04/06/17	J		
340.					Buy (add'l)	05/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	06/06/17	J		
342.					Buy (add'l)	07/06/17	J		
343.					Buy (add'l)	08/07/17	J		
344.					Buy (add'l)	09/06/17	J		
345. Lord Abbett Invt Tr	D	Dividend	N	T	Sold (part)	03/30/17	J		
346. MFS Municipal Limited Maturity Fund	E	Dividend	O	T	Buy	03/30/17	O		
347. New Perspective Fd	A	Dividend	M	T					
348. Smallcap World	A	Dividend	M	T					
349. Short Term Bd Fd Amer	A	Dividend	L	T	Sold (part)	01/06/17	K	A	
350.					Sold (part)	02/06/17	K	A	
351.					Sold (part)	03/06/17	K	A	
352.					Sold (part)	04/06/17	K	A	
353.					Sold (part)	05/08/17	K	A	
354.					Sold (part)	06/06/17	K	A	
355.					Sold (part)	07/06/17	K	A	
356.					Buy (add'l)	08/07/17	J		
357.					Sold (part)	09/06/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/09/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Wash Mut Invs Fd	C	Dividend	M	T	Buy (add'l)	01/06/17	J		
359.					Buy (add'l)	02/06/17	J		
360.					Buy (add'l)	03/06/17	J		
361.					Buy (add'l)	04/06/17	J		
362.					Buy (add'l)	05/08/17	J		
363.					Buy (add'l)	06/06/17	J		
364.					Buy (add'l)	07/06/17	J		
365.					Buy (add'l)	08/07/17	J		
366.					Buy (add'l)	09/06/17	J		
367. TRUST #6									
368. Growth Fund America	A	Dividend	L	T	Buy (add'l)	01/03/17	J		
369.					Buy (add'l)	02/01/17	J		
370.					Buy (add'l)	03/01/17	J		
371.					Buy (add'l)	04/03/17	J		
372.					Buy (add'l)	05/01/17	J		
373.					Buy (add'l)	06/01/17	J		
374.					Buy (add'l)	07/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/09/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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375.					Buy (add'l)	08/01/17	J		
376.					Buy (add'l)	09/01/17	J		
377.					Buy (add'l)	10/02/17	J		
378.					Buy (add'l)	11/01/17	J		
379. Fundamental Invs. Inc. Class A	A	Dividend	L	T	Buy (add'l)	01/03/17	J		
380.					Buy (add'l)	02/01/17	J		
381.					Buy (add'l)	03/01/17	J		
382.					Buy (add'l)	04/03/17	J		
383.					Buy (add'l)	05/01/17	J		
384.					Buy (add'l)	06/01/17	J		
385.					Buy (add'l)	07/03/17	J		
386.					Buy (add'l)	08/01/17	J		
387.					Buy (add'l)	09/01/17	J		
388.					Buy (add'l)	10/02/17	J		
389.					Buy (add'l)	11/01/17	J		
390. AMCAP Fund Inc. Class A	A	Dividend	L	T	Buy (add'l)	01/03/17	J		
391.					Buy (add'l)	02/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/09/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	03/01/17	J		
393.					Buy (add'l)	04/03/17	J		
394.					Buy (add'l)	05/01/17	J		
395.					Buy (add'l)	06/01/17	J		
396.					Buy (add'l)	07/03/17	J		
397.					Buy (add'l)	08/01/17	J		
398.					Buy (add'l)	09/01/17	J		
399.					Buy (add'l)	10/02/17	J		
400.					Buy (add'l)	11/01/17	J		
401. Wells Fargo MM	A	Dividend	J	T					
402. ANNUITIES									
403. PNC Fixed Annuity	A	Interest	J	T					
404. Security Benefit Fixed Annuity	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/09/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/09/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. Hardiman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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