AO 10
Rev. 1/2018

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BREYER, STEPHEN G.	**2. Court or Organization** U.S. SUPREME COURT	**3. Date of Report** 05/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOC. JUSTICE SUPREME COURT	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2017 to 12/31/2017
7. Chambers or Office Address SUPREME COURT OF UNITED STATES 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	TRUSTEE (HONORARY)	DANA-FARBER CANCER INSTITUTE
2.	JUROR	THE PRITZKER ARCHITECTURE PRIZE
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	05/04/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2017	PENGUIN RANDOM HOUSE LLC.; ROYALTY INCOME	$4,765.68
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Pritzker Organization	Jan 13-16, 2017	New York City, NY	Pritzker Jury Deliberations	Transportation, Lodging & Meals
2.	Tau Epsilon Rho	Feb 10-11, 2017	Sarasota, FL	96th Annual Convention of Tau Epsilon Rho & Cardoza Award	Transportation, Lodging & Meals
3.	Columbia Law School	April 12-13, 2017	New York City, NY	Justice Breyer to receive Wolfgang Friedmann Memorial Award and give speech	Transportation & Meal
4.	Yale Law School	May 23, 2017	New Haven, CT	Dean Robert Post Retirement Dinner	Transportation & Meal

5. Israel Democracy Institute	Sept 9-11, 2017	Baltimore, MD	International Advisory Council Meeting	Transportation, Lodging & Meals
6. Yale Global Constitutional Symposium	Sept 13-15, 2017	New Haven, CT	Annual Symposium	Lodging & Meals
7. Nexus Instituut	Sept 21-24, 2017	Amsterdam, Netherlands	Annual Nexus Lecture	Transportation for Justice & Mrs. Breyer , Lodging & Meals
8. The Connecticut Forum	Sept 28, 2017	Hartford, CT	The Connecticut Forum Interview	Meal
9. Hofstra University	Oct 23, 2017	Hempstead, NY	30th Anniversary of Sentencing Commission	Transportation
10. CORIM Luncheon & Lord Reading Law Society & Supreme Court of Canada	Oct 25-26, 2017	Montreal, Canada & Ottawa, Canada	CORIM Lunch Lord Reading Law Society Dinner & Supreme Court of Canada Forum	Transportation & Meals
11. Harvard Law School	Oct 26, 2017	Cambridge, MA	Attended Harvard Law School's Bicentennial	Transportation
12. Pritzker Organization	Nov 12-19, 2017	Mexico City, Mexico & Lima, Peru	Pritzker Jury member travel to view architectural sites	Transportation & Meals
13. Univ. of South Carolina	Dec 18, 2017	Columbia, SC	Honorary Degree & Commencemen Ceremony	Transportation & Meal
14.				
15.				
16.				
17.				
18.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT:									
2. DEUTSCHE TOTAL RETURN BOND FUND-S (FKA DEUTSCHE CORE PLUS INC FD) -IRA	A	Dividend	K	T					
3. DEUTSCHE CROCI US FUND-S	B	Dividend	L	T					
4. OTHER HOLDINGS:									
5. DEUTSCHE TOTAL RETURN BOND FUND-S (FKA DEUTSCHE CORE PLUS INC FD) -IRA	A	Dividend	K	T					
6. BANK OF AMERICA - CHECKING	A	Interest	L	T					
7. TIAA/CREF:									
8. TIAA/CREF - TIAA TRADITIONAL (FIXED ANNUITY)	E	Int./Div.	O	T					
9. TIAA/CREF - TIAA REAL ESTATE	D	Int./Div.	M	T					
10. TIAA/CREF - CREF BOND MARKET R3	D	Int./Div.	M	T					
11. TIAA/CREF - CREF STOCK R3	G	Int./Div.	P1	T					
12. TIAA/CREF - CREF INF-LINKED BOND R3	D	Int./Div.	N	T					
13. TIAA/CREF - CREF STOCK R1	E	Int./Div.	L	T					
14. TRUST:									
15. TAI SHAN FUND	B	Int./Div.	M	T					
16. VANGUARD WINDSOR FUND (FKA WINDSOR FUND)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. AUTOMATIC DATA PROCESSING INC COMMON STOCK	C	Dividend	M	T					
18. CINTAS CORP. COMMON STOCK	A	Dividend	L	T	Sold (part)	03/13/17	L	F	
19. SYSCO CORP. COMMON STOCK	C	Dividend	M	T	Sold (part)	03/13/17	L	E	
20. VANGUARD 500 INDEX FUND	D	Dividend	O	T					
21. APPLIED ANALYSIS INC - COMMON STOCK		None	J	T					
22. APPLIED ANALYSIS INC - PREFERRED STOCK		None	J	T					
23. VANGUARD DIVIDEND GROWTH FUND -IV	C	Dividend	M	T					
24. VANGUARD MID-CAP INDEX FUND -A	A	Dividend	L	T	Sold (part)	04/26/17	L	F	
25. DODGE & COX INTERNATIONAL STOCK FUND	B	Dividend	L	T					
26. ROYCE LOW-PRICED STOCK SERVICE FUND	A	Dividend	K	T					
27. OAKMARK INTERNATIONAL FUND - I	B	Dividend	L	T					
28. FEDERATED US TREASURY CASH RSV FD-I	A	Dividend	K	T					
29. VANGUARD SMALL CAP INDEX-INV	A	Dividend	K	T					
30. FIDELITY MA MUNICIPAL INCOME	A	Dividend	K	T					
31. US TREASURY NOTES 1.875% 8/31/17	A	Interest			Matured	08/31/17	K	A	
32. FASTENAL CO.	B	Dividend	L	T					
33. US TREASURY NOTES 1.375% 6/30/18	B	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT Page 7 of 10	**Name of Person Reporting** **BREYER, STEPHEN G.**	**Date of Report** 05/04/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. US TREASURY NOTES 1.500% 5/31/19	C	Interest	N	T	Buy (add'l)	03/16/17	M		
35. US TREASURY NOTES 1.625% 3/15/20	A	Interest	L	T	Buy	09/05/17	K		
36.					Buy (add'l)	10/24/17	L		
37. OTHER ASSETS:									
38. TIAA/CREF RETIREMENT ACCOUNTS:									
39. TIAA/CREF - TIAA TRADITIONAL (FIXED ANNUITY)	C	Int./Div.	L	T					
40. TIAA/CREF - CREF STOCK R2	D	Int./Div.	L	T					
41. SK-N-A NATIONAL BANK SAVINGS ACCT	A	Interest	J	T					
42. PEARSON PLC ORD. STOCK	E	Dividend	N	T	Sold (part)	02/23/17	M	G	
43. RENTAL PROPERTY - NEVIS WEST INDIES	E	Rent	N	W					
44. LAND IN PLAINFIELD, NH		None	N	W	Sold (part)	08/21/17	K	E	
45. LOWES COMPANIES INC - COMMON STOCK	B	Dividend	M	T					
46. PACCAR INC - COMMON STOCK	C	Dividend	M	T					
47. UNITED TECHNOLOGIES CORP - COMMON STOCK	C	Dividend	M	T					
48. CISCO SYSTEMS INC - COMMON STOCK	A	Dividend			Sold	01/18/17	L	E	
49. AIR PRODUCTS & CHEMICAL INC - COMMON STOCK	A	Dividend			Sold	01/12/17	K	E	
50. SSGA MONEY MARKET FUND	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. LEXINGTON MA GO 4% 2/15/21	B	Interest	M	T					
52. STANCROFT TRUST LIMITED		None	O	W					
53. FIRST CARIBBEAN INTERNATIONAL BANK - CHECKING		None	K	T					
54. EATON VANCE LARGE CAP GROWTH FUND - I	E	Dividend	N	T					
55. EATON VANCE LARGE CAP VALUE FUND - I	E	Dividend	N	T	Buy (add'l)	03/09/17	J		
56. EATON VANCE TAX M S/C	D	Dividend	L	T					
57. EATON VANCE ATLANTA SM CP-I	C	Dividend	M	T					
58. VERSUM MATERIALS INC.		None			Sold	01/12/17	J	B	
59. DFCI RETIREMENT PLAN (401A) (FIDELITY INVESTMENTS) - NO CONTROL	C	Int./Div.	K	T					
60. VANGUARD INT - TERM CORPORATE	B	Dividend	L	T	Buy	05/18/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE REPORT WAS PREPARED BY:

CITRIN COOPERMAN & COMPANY, LLP
529 FIFTH AVENUE
NEW YORK, NEW YORK 10017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ STEPHEN G. BREYER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544