

FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2016

1. Person Reporting (last name, first, middle initial)  
Sykes, Diane S.

2. Court or Organization  
Seventh Circuit United States Court of Appeals

3. Date of Report  
05/15/2017

4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time)  
Circuit Judge, Active Status

5a. Report Type (check appropriate type)  
 Nomination  Date  
 Initial  Annual  Final

5b.  Amended Report

6. Reporting Period  
01/01/2016  
to  
12/31/2016

7. Chambers or Office Address  
721 United States Courthouse  
517 East Wisconsin Avenue  
Milwaukee, WI 53202

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1992	WI Department of Employee Trust Funds (retirement account), no control
2.	
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income  
 NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section. (Dollar amount not required except for honoraria.)  
 NONE (No reportable non-investment income.)

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Georgetown University Law Center	May 24-26, 2016	Washington, D.C.	Teaching at Educational Seminar	Transportation (Airline & Ground), Lodging, Baggage Fees, Parking & Meals
2. The Federalist Society & Rainymede Society of Canada, at McGill University Law School	October 18-20, 2016	Montreal, Canada	Lecture	Transportation (Airline & Ground), Lodging, Baggage Fees, Parking & Meals
3. The Federalist Society, National Convention	November 16-20, 2016	Washington, D.C.	Speaker & Conference	Transportation (Airline & Ground), Lodging, Baggage Fees, Parking & Meals
4.				
5.				

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Name of Person Reporting: Sykes, Diane S. Date of Report: 05/15/2017

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. Federal Judicial Guest Privileges	Union League Club, Chicago, Illinois - See Part VIII	
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. U.S. Department of Education	Parent Plus College Loan	L
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BlackRock Mid Cap Equity-Collective W (via WI Def. Comp. Prog.) (H)		None	J	T					
2. T Rowe Price Mid Cap Gr (via WI Def. Comp. Program) (H)		None	J	T					
3. Fid Contrafund (via WI Def. Comp. Program) (H)		None	J	T					
4. Vanguard Admiral Treasury Money Market (via WIDef.Comp.) (H)		None	J	T					
5. BlackRock US Debt Index Fund (via WI Def. Comp. Program) (H)		None	J	T					
6. AllianceBernstein US Gov A (H)		None	J	T					
7. WI Department of Employee Trust Funds, retirement acct (H)		None	M	T					
8. BMO Harris Bank Accounts	B	Interest	M	T					
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

- 1) Part II. The agreement regarding the Wisconsin Department of Employee Trust Funds commenced in 1992, when Judge Sykes began employment as a Milwaukee County Circuit Judge.
- 2) Part V, Item 1. The Union League Club of Chicago is a social and civic association with dining, lodging, and athletic facilities located adjacent to the federal complex in Chicago. The Club offers guest privileges to federal judges. The charge for these limited privileges for 2016 was \$1,426 (full Club membership dues for a member of the same age for 2016 were \$3,610). Members of the federal judiciary who receive such Club privileges may not hold office, serve on committees, or be entitled to vote. Judicial officers with these guest privileges pay for food and athletic services on the same basis as Club members.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Diane S. Sykes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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