

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2015**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial)  Roberts, John G.	<b>2. Court or Organization</b>  Supreme Court of the U.S.	<b>3. Date of Report</b>  05/10/2016
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  Chief Justice	<b>5a. Report Type</b> (check appropriate type)  <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2015 to 12/31/2015
<b>7. Chambers or Office Address</b>  One First Street, NE Washington, DC 20543		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 07/7-16/15	University of Tokyo	\$13,559.11
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Major, Lindsey & Africa, LLC -- Attorney Search Consultants
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Tokyo, Japan	07/7-16/15	Tokyo, Japan	Taught course on The U.S. Supreme Court - Historical Perspective	Air transportation, meals, and lodging
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Time Warner Inc. New TWX	D	Dividend	N	T					
2. Hewlett-Packard (Common) HPQ	A	Dividend	J	T					
3. Hillenbrand (Common) HI	A	Dividend	J	T					
4. Hill-Rom Holdings, Inc. HRC	A	Dividend	J	T					
5. Microsoft (Common) MSFT	D	Dividend	N	T					
6. Nokia (Common) NOK	A	Dividend	J	T					
7. Lam Research LRCX	A	Dividend	K	T					
8. Texas Instruments (Common) TXN	C	Dividend	M	T					
9. TMO (Common)	A	Dividend	M	T					
10. Sirius XM Radio (Common)		None	M	T					
11. Am Cent Gr Fund TWCGX	C	Dividend	L	T					
12. Putnam Multi-Cap Growth Fund		None	J	T					
13. Putnam Voyager Fund		None	J	T					
14. Vanguard Int'l Gr Fund VWILX	B	Dividend	L	T					
15. Vanguard Sm Cap Index Fund VSMAX	B	Dividend	L	T	Sold (part)	01/09/15	J	A	
16.					Sold (part)	04/10/15	J	A	
17.					Sold (part)	07/10/15	J	A	

- |  |  |  |  |  |                         |
|--|--|--|--|--|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value  | R = Cost (Real Estate Only)<br>V = Other   | S = Assessment<br>W = Estimated  | T = Cash Market  |                         |
| 3. Value Method Codes<br>(See Column C2)         |  |  |  |  |                         |

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	10/09/15	J	A	
19. C. Schwab Muni M. Fund SWXXX	A	Dividend	K	T					
20. Wells Fargo bank accounts	A	Interest	L	T					
21. Capital One, Inc. bank accounts		None	P1	T					
22. 1/8 int. cottage, Knocklong, Co. Limerick, Ireland	A	Rent	K	W					
23. Utah Educ. Svgs Plan Equity - 10% Int'l	E	Dividend	O	T					
24. Utah Educ. Svgs Plan Age-Based Moderate, 13-15	D	Dividend	M	T					
25. Allegis Group Ret. Plan (H)									
26. -- Vanguard Target Retirement 2020 Trust II	B	Dividend	L	T					
27. Time Warner Cable TWC	C	Dividend	M	T					
28. AOL stock purchased by Verizon thru tender offer on 6/22/2015		None			Sold	06/30/15	K	E	
29. Eaton Vance Income Fund of Boston EIBIX	A	Dividend	K	T	Sold (part)	02/04/15	J	A	
30.					Sold (part)	02/05/15	J	A	
31. Eaton Vance Global Macro Absolute Return EIGMX	C	Dividend	M	T	Buy (add'l)	02/05/15	J		
32.					Buy (add'l)	02/06/15	J		
33. First Eagle Global SGIIX	C	Dividend	M	T	Sold (part)	02/04/15	J	A	
34.					Sold (part)	02/05/15	J	A	

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000



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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Gabelli Equity Income GCIEX	C	Dividend	N	T	Sold (part)	02/04/15	J	C	
36.					Sold (part)	02/05/15	J	B	
37. Gabelli Small Cap Growth GACIX	D	Dividend	M	T	Sold (part)	02/04/15	J	C	
38.					Sold (part)	02/05/15	J	B	
39. MFS Bond MBDIX	D	Dividend	M	T	Sold (part)	02/04/15	J	A	
40.					Sold (part)	02/05/15	J	A	
41. Virtus Emerging Markets Opportunities HIEMX CMV	B	Dividend	M	T	Buy (add'l)	02/05/15	K		
42.					Buy (add'l)	02/06/15	K		
43. RS Global Natural Resources RSNYX		None			Sold (part)	02/04/15	K		
44.					Sold	02/05/15	K		
45. T. Rowe Price Real Estate TRREX	B	Dividend	L	T	Sold (part)	02/04/15	J	B	
46.					Sold (part)	02/05/15	J	A	
47. Royce Value Fund RVVHX		None			Sold (part)	02/04/15	M		
48.					Sold	02/05/15	L		
49. T. Rowe Price Blue Chip Growth TRBCX	D	Dividend	M	T	Sold (part)	02/04/15	K	D	
50.					Sold (part)	02/05/15	J	C	
51. Wells Fargo Inc. Adv. Treas. Plus Mon. Mkt. Fund WTPXX	C	Dividend	K	T	Sold (part)	01/09/15	J		

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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52.					Buy (add'l)	02/05/15	K		
53.					Buy (add'l)	02/06/15	J		
54.					Sold (part)	04/10/15	J		
55.					Sold (part)	07/10/15	J		
56.					Sold (part)	10/09/15	J		
57. Wells Fargo Absolute Ret Fund WARDX	C	Dividend	M	T	Buy (add'l)	02/05/15	J		
58.					Buy (add'l)	02/06/15	J		
59.					Sold (part)	12/04/15	K		
60. AQR FDS Mgd. Futures Strategy AQMIX	C	Dividend	L	T	Sold (part)	02/04/15	J	A	
61.					Sold (part)	02/05/15	J	A	
62. Dodge & Cox FDS Int'l Stk Fd DODFX	D	Dividend	M	T	Sold (part)	02/04/15	J	A	
63. BlackRock Emerging Mkt. L/S BLSIX	A	Dividend	K	T	Buy (add'l)	02/05/15	J		
64.					Buy (add'l)	02/06/15	J		
65. TIME, Inc. TIME	A	Dividend	J	T					
66. Jane Brain, LLC									
67. -- Empowered Learning Institute of DC, LLC		None	M	T					
68. Principal FDS Inc.PCBIX (X)	D	Dividend	M	T	Buy	02/04/15	K		

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(See Columns B1 and D4)

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G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes  
(See Columns C1 and D3)

J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000

M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

R = Cost (Real Estate Only)  
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S = Assessment  
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69.					Buy (add'l)	02/05/15	M		
70.					Buy (add'l)	02/06/15	K		
71. Prudential Jennison PNRZX (X)		None	K	T	Buy	02/04/15	K		
72.					Buy (add'l)	02/05/15	K		
73.					Sold (part)	12/04/15	J		
74. NATIXIS FDS TR II GAFYX (X)		None	K	T	Buy	12/07/15	K		
75. Hewlett Packard Ente HPE (Spinoff from Hewlett-Packard)	A	Dividend	K	T	Spinoff (from line 2)	10/30/15	J		

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H11 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000



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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John G. Roberts**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544